July 2017: conference on Spin-Orbit Materials, Luxembourg organizers: Thomas Schmidt, Bjorn Trauzettel, Patrik Recher

Spin-Orbit Coupling in the Mott State : Quantum Spin Liquids, Superconductivity & Majorana Boxes

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Funding DFG & Labex Palm









Topological Phases, Interaction Effects & Gauge Fields

From Materials, to Ultra-Cold Atoms and Photon Simulators

Start from Graphene:

Majorana fermions in SC Graphene

D. Bergman and KLH, PRB 2009 following P. Ghaemi & F. Wilczek [di Bernardo et al 2017 (p-wave like?); progress in realization]

- Spin-orbit coupling in graphene lattices
 Kane-Mele Model, Interaction Effects (fermions, bosons)
 Topological Mott Insulators, quantum spin liquids in 2D?
 ladder system: QHE of bosons U=infinity
- Z2 quantum Majorana liquids
 Kitaev chain, Ladders
 Majorana Boxes, cQED
 SSH model of hole pair



Mesoscopic graphene cQED, group of G.-P. Guo

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J. Koch & KLH, 2009 Artificial graphene

Topological states of matter simple zoology from flat land



C. Z. Chang and M. Li, Topical Review, arXiv:1510.01754 From material science (spintronics), to cold atoms and photons

Stable towards interactions: exemples S. Rachel & KLH Kane-Mele-Hubbard model 2010 QSH; D. Pesin & L. Balents, 3D (2010) C. Varney, K. Sun, M. Rigol, V. Galitski (Maryland) 2010 QAH

- Time-reversal invariant band insulator
- Strong spin-orbit interaction $\lambda \vec{L} \cdot \vec{\sigma}$
- Gapless helical edge mode (Kramers pair)



Microscopic Description: Simple Standard Model, Kane-Mele

Time reversal invariant of Haldane model (1988): Kane-Mele model

Kane & Mele, PRL 95, 226801 (2005)

see also: Bernevig, Hughes, and Zhang, Science 314, 1757 (2006) + Molenkamp-experiments (Mercure) in three dimensions, experiments by M. Z. Hasan et al. (Bismuth materials)



Wallace Fu-Kane, invariant

$$\mathcal{H} = -t \sum_{\langle ij \rangle \sigma} c^{\dagger}_{i\sigma} c_{j\sigma} + i\lambda \sum_{\ll ij \gg} \sum_{\sigma\sigma'} \nu_{ij} \sigma^{z}_{\sigma\sigma'} c^{\dagger}_{i\sigma} c_{j\sigma'}$$

strip geometry:



 ${\cal H} \propto \Psi_k^\dagger \sigma^z au^z \Psi_k^{}$



edge states: Kramers's pair

Transition semi-metal to Mott graphene

Transition from topological band insulator to Mott Insulator? Relevant to iridates...

"Kane-Mele-Hubbard": XY Neel

Wei Wu, Stephan Rachel, Wu-Ming Liu and KLH, PRB 2012

CDMFT

Real-space version QMC continous-time Impurity solver



S. Rachel & KLH, PRB 2010

3D XY (charge model, Josephson model)

S. Rachel & KLH, 2010 Griset & C. Xu, 2011 D.-H. Lee, 2011

M. Hohenadler et al. arXiv:1111.3949

Phys. Rev. Lett. **106**, 100403 (2011)

Absence of spin liquid:

QMC

S. Sorella et al. Scientific Reports 2012; S. R. Hassan & D. Senechal PRL 2013 (large N limit, I. Herbut)

No single-particle gap closing at TBI-Mott transition

SDW, breaks time-reversal symmetry: edge states vanish in agreement with Luttinger theory + Sine Gordon argument



CDMFT

Real-space version QMC continous-time Impurity solver

Some Reviews (not full list):

G. Kotliar et al, RMP 2006 T. Maier et al, RMP 2005 A.-M. Tremblay, B.-S. Kyung, D. Senechal, 2006

DMFT:

A. Georges, G. Kotliar,W. Krauth & M. Rozenberg et al.;Metzner & Vollhardt

Wei Wu, Stephan Rachel, Wu-Ming Liu and KLH, PRB **85**, 205102 (2012) See also Yamaji & Imada, 2011; Yu, Xie & Li 2011; Zheng, Zhang & C. Wu, 2011

Connection to reality?

• Na₂IrO₃: anisotropic spin-orbit coupling (<u>thin films</u>: arXiv:1303:5245, M. Jenderka et al)

Shitade et al. PRL 102 256402 (2009); G. Jackeli & G. Khaliullin, PRL 102, 017205 (2009)

H.-C. Jiang, Z.-C. Gu, X.-L. Qi and S. Trebst, Phys. Rev. B 83, 245104 (2011);

S. Bhattacharjee, Sung-Sik Lee and Yong-Baek Kim, New J. Phys. 14, 073015 (2012)

Y. Singh et al. 2012; Z. Nussinov & J. van den Brink, arXiv:1303.5922 ...



α Lithium Iridates and Spiral order R. Coldea



Tianhan Liu, Benoit Doucot, Karyn Le Hur, PRB 2013 A. Ruegg and G. Fiete, PRL 2012 J. Reuther, R. Thomale & S. Rachel, PRB 2012 M. Kargarian, A. Langari, G. Fiete PRB 2012

D. Pesin & L. Balents, Nature Phys. 2010 Krempa, Choy, Y.-B. Kim & L. Balents

New attempt in 2D: Bosonic KMH model

K. Plekhanov, I. Vasic, A. Petrescu, R. Nirwan, G. Roux, W. Hofstetter & KLH, arXiv:1707.07037

 $\langle \langle ik \rangle \rangle$

 $H = -\sum_{\langle ij \rangle} \left[J_1 \left(S^+_{\boldsymbol{r}_i} S^-_{\boldsymbol{r}_j} + \text{h.c.} \right) - K_1 S^z_{\boldsymbol{r}_i} S^z_{\boldsymbol{r}_j} \right]$

$$\begin{split} S^{z}_{\boldsymbol{r}_{i}} &= (n_{\uparrow,\boldsymbol{r}_{i}} - n_{\downarrow,\boldsymbol{r}_{i}})/2\\ S^{x}_{\boldsymbol{r}_{i}} &+ iS^{y}_{\boldsymbol{r}_{i}} = b^{\dagger}_{\uparrow,\boldsymbol{r}_{i}}b_{\downarrow,\boldsymbol{r}_{i}}, S^{-}_{\boldsymbol{r}_{i}} = S^{x}_{\boldsymbol{r}_{i}} - iS^{y}_{\boldsymbol{r}_{i}} = b^{\dagger}_{\downarrow,\boldsymbol{r}_{i}}b_{\uparrow,\boldsymbol{r}_{i}} \end{split}$$

+ $\sum \left[J_2 \left(S^+_{r_i} S^-_{r_k} + \text{h.c.} \right) + K_2 S^z_{r_i} S^z_{r_k} \right] ,$ chiral spin state (CSS); Chern-Simons, topological?

 J_2/J_1

MOTT space

(a) $= (0, 4\pi/3a)$ Sedrakyan, Glazman, Kamenev J1,J2>0 BZ $\chi = \langle S_{r_i} \cdot (S_{r_i+u_1} \times S_{r_i+u_2}) \rangle$ J_2/J_1 (a) 0.21 0.36 1.32ED $q_1 = (2\pi/\sqrt{3}a, -2\pi/3a)$ FM CSS Collinear order u_2 8 (b) $h_x = J'_2 = 0.005J_1$ $m = \langle m_{\boldsymbol{r_i}} \rangle = \left< S^z_{\boldsymbol{r_i}} - S^z_{\boldsymbol{r_i} + \boldsymbol{u_2}} \right>$ (b) $= 0.02J_1$ 0.06 SF $= 0.05J_1$ 0.05 FM 0.04 D_ 0.03 **BDMFT** Spiral 50 (e) MI 0.02 (²/₄0 (¹/₄)/20 (¹/₄)/20 CSS; no order in XY CSF 0.01 plane (see next slide) 120° 0 0.01 0.02 0.03 10 t_2/U $S_{\text{PSDW}}(q) = \sum e$ $S_{PSDW}(\Gamma)$ **Discuss nature of** CSF **Phase transitions** 00 02 04 06 0.8 10 2 8

Magnetism & Berry analysis



can be related to Z2 pump for bosons



FIG. 4. ED calculations of the low energy spectra as a function of J_2/J_1 (a) on a lattice of 4×3 unit cells for various S_{Tot}^x ; (b) on a lattice of 4×4 unit cells in the $S_{\text{Tot}}^x = 0$ sector only. (c) Low energy spectrum as a function of the twist angle θ_1 for $J_2/J_1 = 0.3$ and $\theta_2 = 0$ on a lattice of 4×3 unit cells. (d) Typical shape of the Berry curvature calculated using the non-abelian formalism resulting in a vanishing Chern number shown for $J_2/J_1 = 0.3$, $h_x/J_1 = J_2'/J_1 = 0.02$ on a lattice of 4×3 unit cells.

Real space BDMFT & classical analysis



Cold Atoms & Gauge Fields

Goal: strongly correlated atoms in strong artificial magnetic fields. Maximal flux per plaquette of order π

Maximal flux per plaquette of order π

M. Aidelsburger



Laser-assisted tunneling in optical superlattice. PRL 107, 255301 (2011) (Immanuel Bloch's lab at Muenich)

Non-Abelian Models with interactions

P. P. Orth, D. Cocks et al J. Phys. B: At. Mol.Opt. Phys. 46 (2013) 134004 (review);M. Scheurer, S. Rachel, P. Orth Sci. Reports 2015



$$\hat{H}(t) = -\sum_{\langle ij \rangle} J_{ij} \hat{a}_i^{\dagger} \hat{a}_j + \sum_i v_i(t) \hat{n}_i + \hat{H}_{\text{on-site}}.$$

K. Sengstock's lab at Hamburg Realization of Haldane model at Zurich, Jotzu et al. (Esslinger lab)

A lot of efforts at NIST, Paris, MIT,... I. Spielman, W. Philipps (NIST) J. Dalibard, F. Gerbier (Paris)...

Ladder simulation: bosons



charges at the edges 2/5 (talk by M. Sasset bipartite fluctuations Talk by Loic Herviou)

XXI, Detect the Majorana in topological SCs: L. Kouwenhoven Delft, 2012 See F. Wilczek, Majorana returns, Nat. Physics 2009

They appear accidently in spin chains: via Jordan-Wigner transformation (1928) Generalization of Dirac algebra for harmonic oscillators 1925 (group theory) high energy physics (neutrino...)

Particle and its own antiparticle

Proposals: Alexei Kitaev Nick Read Leonid Levitov Hans Mooij Liang Fu **Charles Kane** Carlo Beenakker Matthew Fisher **Bert Halperin Daniel Loss Pascal Simon** Jelena Klinovaja...

Note: recent work on 2 coupled topological SC chains & Kondo box (B. Beri) Loic Herviou, Christophe Mora, KLH, 2016 Progress in nano-engineering to reveal the Majoranas (talks by F. Nichele, P. Grunberg)



T. Kontos, A. Cottet (ENS)

D. Aaasen et al. arXiv 2015 Charles Marcus group 2016 Also Ali Yazdani, Princeton The Majorana fermion states must be occupied in pairs, since the entire physical system can only occupy real fermion states.

So only combinations of Majorana fermions can be occupied

This occupied state is inherently delocalized – it has weight in two spatially separated vortex cores.

$$\hat{c}^{\dagger}|\Psi_{0}
angle = (\hat{\gamma}_{1} + i\hat{\gamma}_{2})|\Psi_{0}
angle$$

Exchange of 1 and 2 $\gamma_1 \rightarrow \gamma_2$ $\gamma_2 \rightarrow -\gamma$

$$\left(\hat{\gamma}_{2}+i\hat{\gamma}_{1}\right)\left|\Psi_{0}\right\rangle=i\left(\hat{\gamma}_{1}-i\hat{\gamma}_{2}\right)\left|\Psi_{0}\right\rangle=i\hat{c}|\Psi_{0}\rangle$$

Different final state! - Non-Abelian statistics.

Application qubits : quantum computing

Sankar Das Sarma, Michael Freedman, Chetan Nayak arXiv:1501.02813

Talks Frank Pollmann & Simon Trebst



N. Read & D. Green N. Read & G. Moore D. Ivanov, Volovik



T. Liu, B. Douçot, C. Repellin, N. Regnault, KLH

Anisotropic Spin-Orbit Z2 Models

Simulation in cold atoms: Duan, Demler, Lukin J. Rau & H. Y. Kee, review Iridate materials, Mixing angle (Heisenberg & Kitaev)



Kitaev model on honeycomb lattice: exactly solvable Majorana representation (2006) 3 gapped Anderson Resonating Valence Bond States with short range correlations B gapless phase

Possible application to quantum materials, iridates and RuCl3 (J. Banerjee et al. Nature)

Question: Engineering minimal Z2 spin liquid models, connection to p-wave BCS theory, topology from Mott, doped Mott insulators Ladders and Boxes in cQED & Josephson junctions; work with Fan Yang & Ariane Soret, see ArXiv 2017

Related works by F. Hassler (talk), B. Terhal; B. Beri; Egger-Altland-Flensberg; L. Fu;

RVB picture

work with Fan Yang & Ariane Soret, see ArXiv 2017

$$H = \sum_{k} (J_1 + J_2) \cos(kl) (a_k^{\dagger} a_k - a_k a_k^{\dagger}) + i(J_1 - J_2) \sin(kl) (a_{-k}^{\dagger} a_k^{\dagger} + a_{-k} a_k)$$

Phase transition: Power law decay (can be probed from Bipartite fluctuations Talk by Loic Herviou)



 Pairing term: odd parity → p-wave superconductors (topological)
 e.g. He³, Sr₂RuO₄, 1D quantum wire etc.
 Anderson-Morel; Anderson-Brinkmann; Balian-Werthamer; Leggett (He3)

•
$$\epsilon(k) = \pm \sqrt{J_1^2 + J_2^2 + 2J_1J_2\cos(2kl)}$$
: invariant under $J_i \to -J_i$, $i = 1, 2$.

J1 \rightarrow 0; edge modes are spin-1/2 Winding number can be computed (1 or 0) Perturbation theory in spin space couples edges to order (J1/J2)^{number sites} only Non-local topological string order parameter similar to spin-1 chain (Feng et al. 2007)

Majorana representation

Spin liquid states in gapped phases

 Pre-formed pairs are similar to spin-1 BCS pairs in He³. RVB states with exponentially decreasing correlation functions.



• Mapping to Majoranas:

$$\begin{cases} c_j = i(a_j^{\dagger} - a_j), & d_j = a_j^{\dagger} + a_j, & j = 2m - 1; \\ c_j = a_j^{\dagger} + a_j, & d_j = i(a_j^{\dagger} - a_j), & j = 2m. \\ H = -i \sum_{j=2m-1} (J_1 c_j c_{j+1} - J_2 c_{j+1} c_{j+2}). \end{cases}$$

The free d-Majorana fermions in the bulk traduce the 2-fold degeneracy of a bond The c1 Majorana fermion in the Ay phase weakly couples to the bulk The spin-1/2 edge mode thus turns into a d1 Majorana fermion in the *infinite* time limit for larger J1 (The d Majorana fermions are not protected against local fields in 1 chain) See also DeGottardi et al. 2011 and Pedrocchi et al. 2012 Ladder:

Feng et al. 2007 DeGottardi et al. 2011 Pedrocchi et al. 2012 (inhomogeneous ladder) H. H. Lai and O. Motrunich, PRB 2011



Lieb' theorem **Pi-flux here** In agreement with Kitaev view



$$J_1 \to -\infty$$
 $J_2 \to -\infty$

 $H = -i\sum_{j=2m-1} [J_1c_{j,1}c_{j+1,1} - J_2c_{j+1,1}c_{j+2,1}]$ + $J_1c_{i+1,2}c_{j+2,2} - J_2c_{j,2}c_{j+1,2}$ + $J_3 D_{i,1} c_{i,1} c_{i,2} + J_4 D_{i+1,1} c_{i+1,2}],$

 J_1 J_2 J_4 J_3

 $\widetilde{\sigma}_i^{\alpha} = i b_i^{\alpha} c_i.$

KLH, A. Soret and F. Yang, 2017

honeycomb or ribbon ladder: J4=0

0 flux for J4=0 $D_{j,\alpha} = (-i)d_{j,\alpha}d_{j,\alpha+1}$

Square ladder, Feng et al 2007 Az phase all Majoranas paired Ax and Ay phase, edge modes

Generality of the phase diagram? Honeycomb ladder, etc... gapless phase Z2 protection of ground state Loop operators

Generalized ladder: arXiv:1703.07322



No flux frustration in the ground state (pi flux; 0 flux J4=0) honeycomb ribbon

$$\begin{split} H &= H_{\text{chain},1} + H_{\text{chain},2} + H_I, \\ H_I &= \sum_{j=2m-1} J_3 \sigma_{j,1}^z \sigma_{j,2}^z + J_4 \sigma_{j+1,1}^z \sigma_{j+1,2}^z \quad \text{(four-body)} \\ &= -i \sum_{j=2m-1} (J_3 c_{j,1} c_{j,2} + J_4 c_{j+1,1} c_{j+1,2}) \quad \text{(Lieb's theorem, 1994)} \end{split}$$

Line : pre-formed pairs resonating along chains (rung tensor product states; bosonization c=1)

Loop qubit: « Majorana box »





Az phase of the phase diagram: Pi flux ground state protection the c-Majoranas and d Majoranas are massive (local noisy fields, robustness)

 $D_{1,3} = (-i)d_1d_3$ and $D_{2,4} = (-i)d_2d_4$ are fixed to the same value +1 or -1 in the ground state. one can introduce a four-spin operator $\sigma_1^z \sigma_2^z \sigma_3^z \sigma_4^z$ The qubit operator can be re-written as $d_1d_2d_3d_4$

Protocol to braid d1 and d2: operate and measure in spin space (x,y, z Bell correlation functions for the spins 1 and 2)

• Braiding: $\delta J_2 \sigma_j^y \sigma_{j+1}^y = i \delta J_2 d_j d_{j+1}, \quad \delta J_2 \to -\delta J_2 \Rightarrow d_j \leftrightarrow d_{j+1}.$

Quantization of transmon qubit

• Harmonic oscillators with anharmonicity from Josephson junctions $(t \gg 1)$:

$$\begin{aligned} H_q &= \frac{\hat{Q}^2}{2C_q} - E_{J_q} \cos \hat{\varphi} = -E_{J_q} + \hbar \omega_q \left(b^{\dagger} b + \frac{1}{2} \right) - \frac{E_{C_q}}{12} \left(b^{\dagger} + b \right)^4 \\ \left[\hat{\varphi}, \phi_0 \hat{Q} \right] &= i\hbar, \qquad \hat{\varphi} = \frac{1}{t} \left(b^{\dagger} + b \right), \qquad \hat{Q} = \frac{-et}{i} \left(b^{\dagger} - b \right), \quad t = \left(\frac{E_{J_q}}{2E_{C_q}} \right)^{1/4} \end{aligned}$$



Yale, Santa Barbara (implementation of a small toric code),...

Simulation of New Devices with SC devices and Transmons

Anderson RVB states and Majoranas, p-wave SC

KLH, Ariane Soret, Fan Yang (25 pages) : arXiv:1703.07322 « loop » devices in link with Sachdev-Ye-Kitaev models, ring exchange models Precise Device engineering in progress, Fan Yang master project M2 below

Su-Schrieffer-Heeger and Rice-Mele model with LC chains

T. Goren, K. Plekhanov, F. Appas, G. Roux, KLH – **in progress** Probe of topology, Bloch bands, and transport with photons





Coupling 4 Majoranas

A 4-site toric code has been initiated Y. P. Zhong et al PRL 117, 110501 (2016)

Exemple Realization of a Kitaev spin chain (emergent Majorana chain): NMR device on each port

Loic Herviou, C. Mora and KLH (collaboration with P. Roushan, C. Neill – google Santa Barbara on generalized quenches and bi-partite fluctuations in XY and Ising quantum spin chains)

Networks in circuit QED and atoms: progress

Zhong et al. arXiv: 1608.04890 (PRL)





Other proposals to realize ring processus H. P. Buchler et al. (PRL 2005) **Non-Abelian statistics**

$$\begin{split} H_{\rm exp}/\hbar &= \omega_r a^{\dagger} a + \sum_{j=1}^4 \omega_j \sigma_j^{\dagger} \sigma_j + g \sum_{j=1}^4 (\sigma_j^{\dagger} a + \sigma_j a^{\dagger}), \\ C_{\rm loop} &= X'_4 X'_3 X'_2 X'_1 \\ C_{\rm loop} &|\psi_g\rangle = |\psi_g\rangle \\ \bar{Z'C}_{\rm loop} Z' |\psi_g\rangle = -|\psi_g\rangle. \end{split}$$

H. N. Dai et al. arXiv:1602.05709 Simulation of ring processus



 $\hat{H}_{\mathrm{T}} \!=\! -J_{\mathrm{D}} \sigma_1^x \sigma_2^x \sigma_3^x \sigma_4^x - \frac{J_+}{4} \sum_{\langle j,k \rangle} \sigma_j^z \sigma_k^z,$

Topology of hole pair



Analogy to SSH model for hole pair:

- Insulating phase : hole pair at boundary
- Superconducting transition at intermediate Coupling (p-wave SC); non topological SC can also be found from Az phase



2D work with T. Liu, C. Reppelin, B. Doucot, N. Regnault; see also B. Rosenow, G. Khaliullin, C. Honerkamp,...

Doping at the edges



Still Chirality from Hubbard...

Possibility of gapped spin liquid

Let's start from Néel half-filled phase and dope the system



Wei Wu, Michael M. Scherer, Carsten Honerkamp & KLH, PRB 2013 (RMFT, fRG: t-J1-J2)
 A. Black-Schaffer, W. Wu and KLH, PRB 2014 (symmetries, RMFT t-J, QMC on Hubbard)
 A. Black-Schaffer & KLH, arXiv:1503.02509 (topological phase transition due to SDW)

d+id Pairing: RMFT & QMC

2.5

1.5

Renormalized mean-field theory

2)

1/2

filling

k_y

 E_{2a}

Intraband pairing wins

- F. C. Zhang, C. Gros, T. M. Rice, and H. Shiba, Supercond. Sci. Tech. 1, 36 (1988).
- P. W. Anderson, P. A. Lee, M. Randeria, T. M. Rice, N. Trivedi, and F. C. Zhang, J. Phys.: Condens. Matter 16, R755 (2004).
- B. Edegger, V. N. Muthukumar, and C. Gros, Adv. Phys. 56, 927 (2007).
- M. Ogata and H. Fukuyama, Rep. Prog. Phys. **71**, 036501 (2008).
- K. Le Hur and T. M. Rice, Ann. Phys. 324, 1452 (2009).



See also results from L. Balents, X. G. Wen : Z. G. Gu et al. Phys. Rev. B **88**, 155112 (2013) Grassmann Tensor Network Approach, DMRG

 $(k_x^2 - k_y^2, 2k_x k_y)$

SDW and SC: Two chiral Superconductors



Universality class C=2 (2 co-propagating edge modes)

Counter-propagating helical edge modes (class A): analogy to Kane-Mele model **Topological Phases, Interaction Effects & Gauge Fields**

From Materials, to Ultra-Cold Atoms and Photon Simulators

Spin-orbit coupling in graphene lattices
 Kane-Mele Model, Interaction Effects (fermions and Mott, XY Neel)
 bosons yield a new CSS (chiral spin state) phase

ladder system: FQHE of bosons U=infinity

Z2 quantum Majorana liquids
 Kitaev chain, Ladders (linking RVB states with BCS theory exactly)
 Majorana Box, cQED
 SSH model of hole pair

Progress on stochastic dynamics and simulation in dissipative media KLH, L. Henriet, L. Herviou, K. Plekhanov, A. Petrescu, T. Goren M. Schiro, C. Mora and P. P. Orth, arXiv:1702.05135



Mesoscopic graphene Kondo cQED, group of G.-P. Guo