

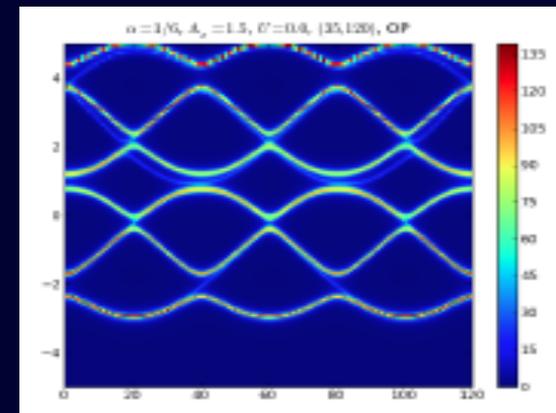
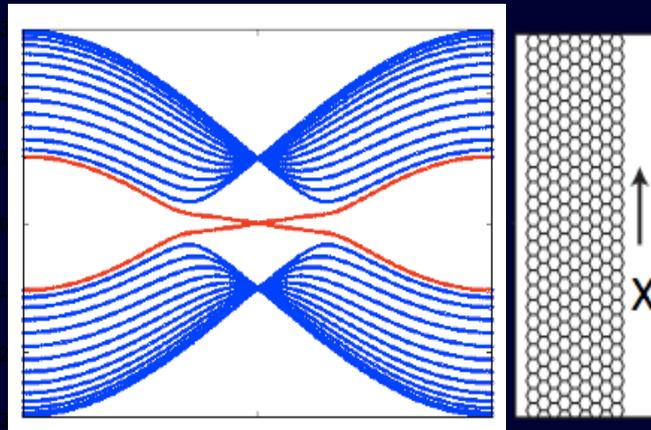
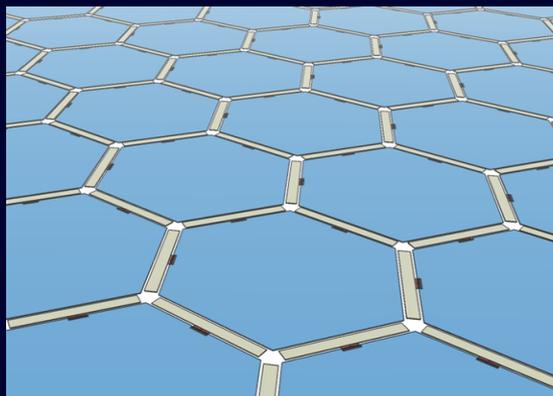


MPIPKS Dresden 2012: From Nano to Macro-scale  
June 26th 2012, QUAM 2012

# Correlations & Topological Phases

Karyn Le Hur

Ecole Polytechnique, France & CNRS



# Special Acknowledgment

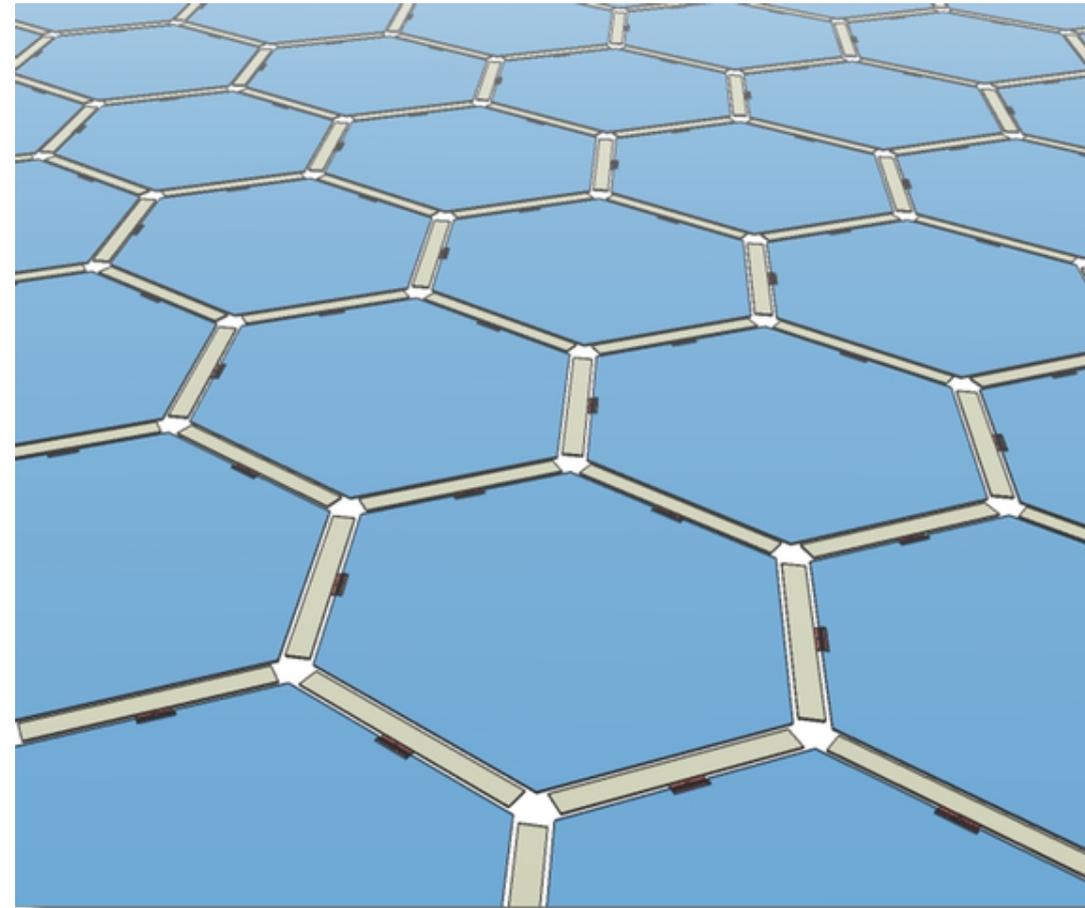


**Bernard  
Coqblin**

**Heavy Fermion Systems and Kondo Effect**

Coqblin-Schrieffer Model 1969

# New phases of matter on the Honeycomb lattice (**example**: the Kitaev model; next talk)



*Topological Insulators*  
*Interaction Effects*  
(Mott physics)

**Spin Liquid**  
**d+id Superconductivity**  
(Laughlin, 1997)

**Photons & QED (A. Houck):**  
**Quantum Hall phases**  
following Haldane & Raghu  
Experiment at MIT, Soljacic  
Also M. Hafezi et al. 2011

Artificial gauge fields:  
**Also Relation to cold atoms**  
(I. Spielman; F. Gerbier & J. Dalibard)

Common Language: “Honeycomb Lattice”: see colloquium by Hari Manoharan

# Some Acknowledgments: ...



Wei Wu  
(Yale & Beijing)  
→ Sherbrooke



Stephan Rachel  
Yale → Dresden



Alexandru  
Petrescu  
Yale

Jens Koch  
Northwestern



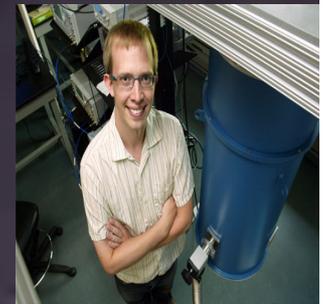
Andrew  
Houck  
Princeton



Ion Garate (Yale → Sherbrooke)

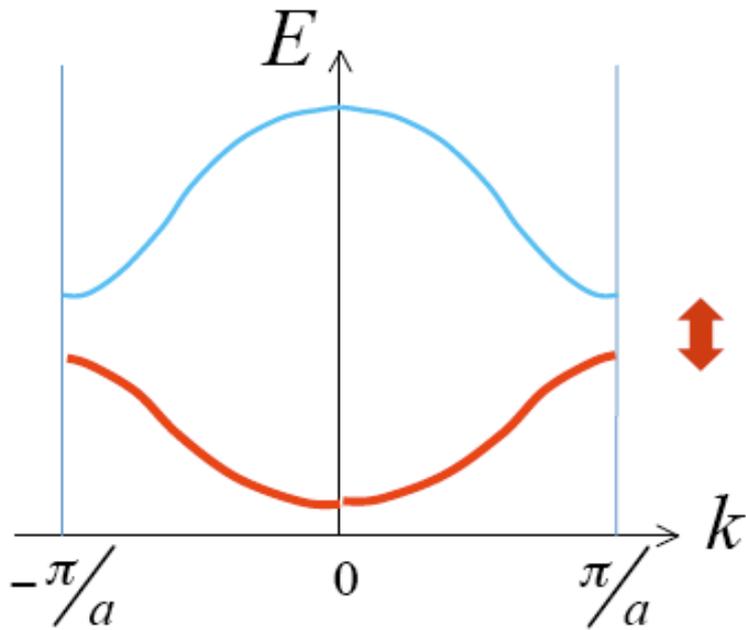


Peter Orth  
(Yale → KIT)

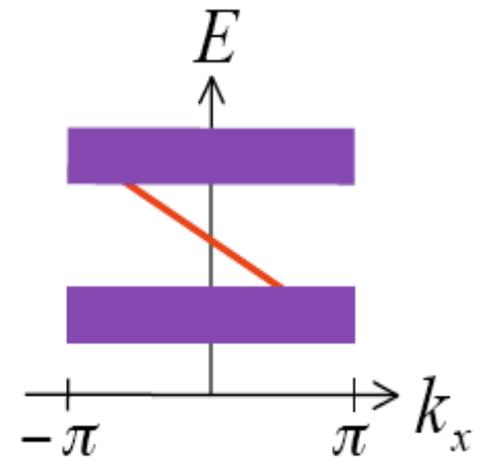
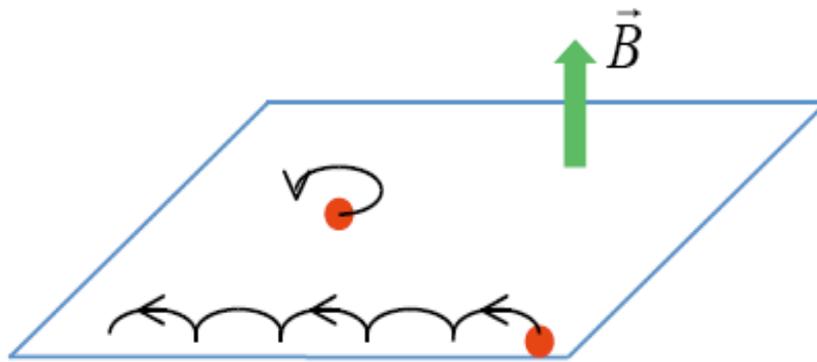


Tianhan Liu (Jussieu, Paris 06 and Ecole Polytechnique)  
Benoit Doucot (Jussieu, Paris 06)

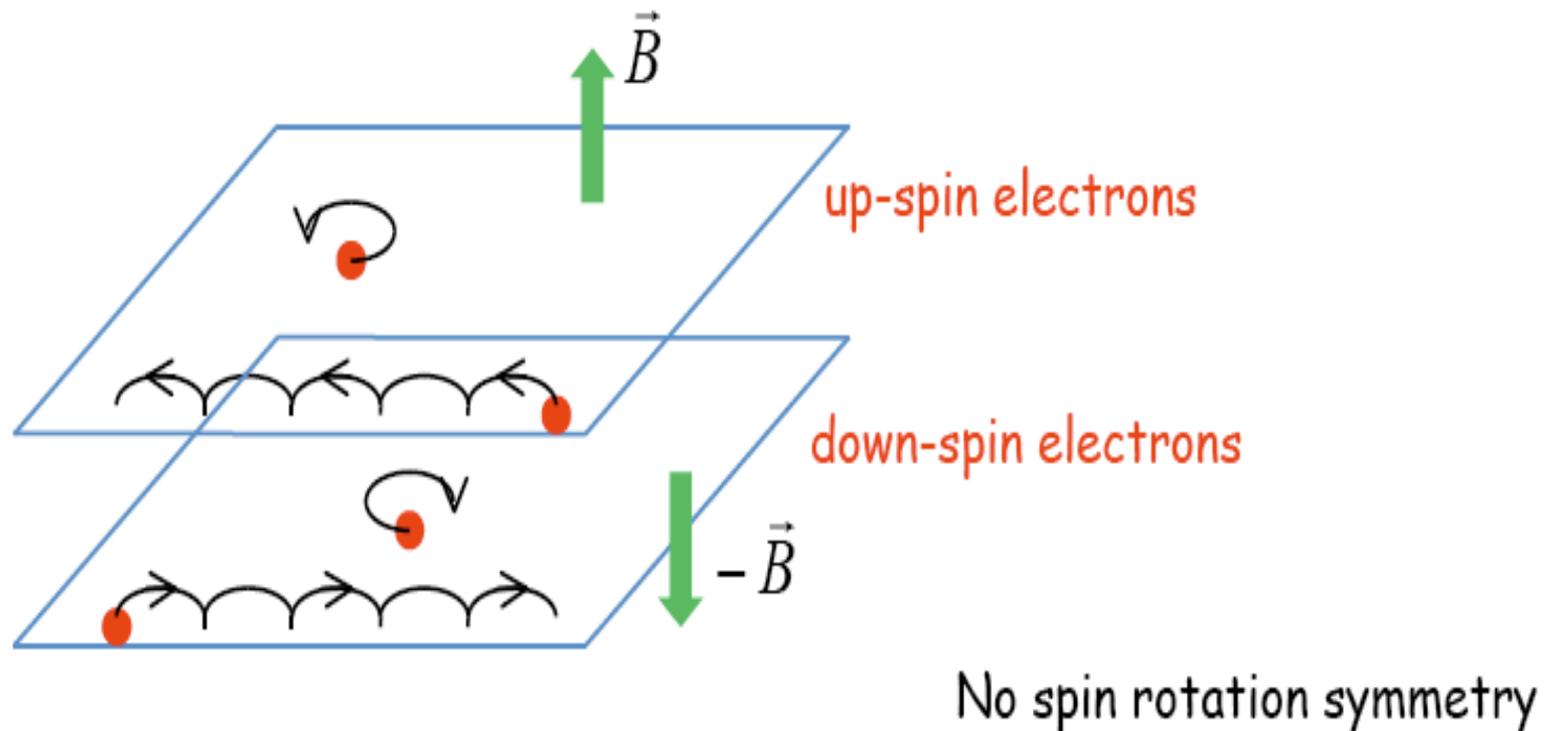
# Insulators: Basic View



That's all? No



- Time-reversal invariant band insulator
- Strong spin-orbit interaction  $\lambda \vec{L} \cdot \vec{\sigma}$
- Gapless helical edge mode (Kramers pair)



**Microscopic Description: Simple Standard Model**

# Time reversal invariant of Haldane model (1988): Kane-Mele model

**Kane & Mele, PRL 95, 226801 (2005)**

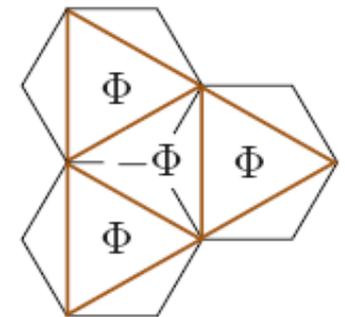
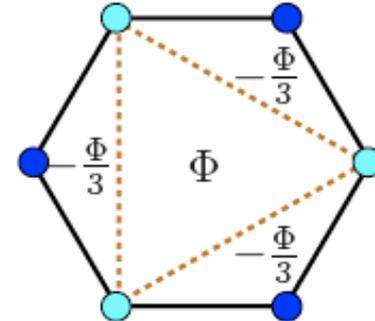
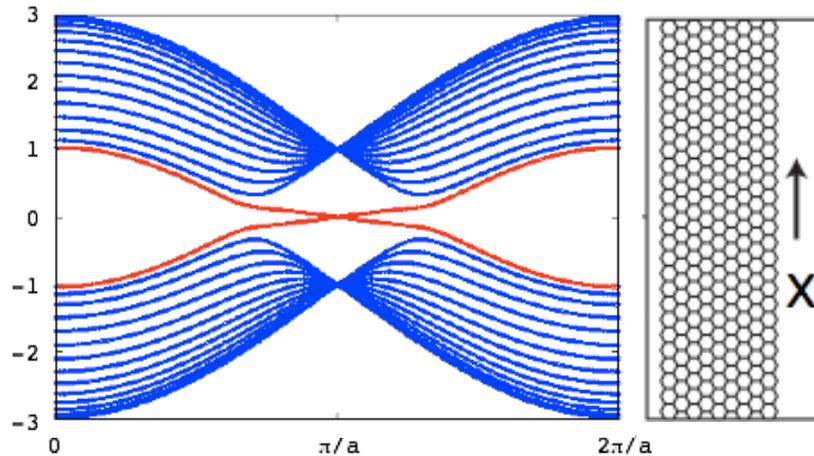
**see also: Bernevig, Hughes, and Zhang, Science 314, 1757 (2006) + Molenkamp-experiments**

$$\mathcal{H} = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\sigma\sigma'} \nu_{ij} \sigma_{\sigma\sigma}^z c_{i\sigma}^\dagger c_{j\sigma'}$$

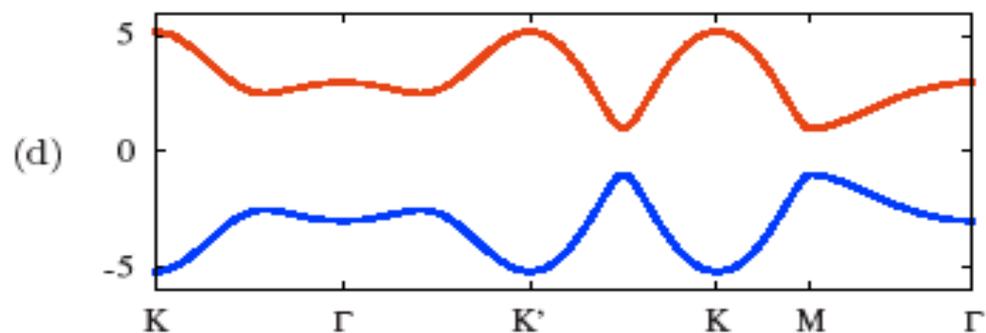
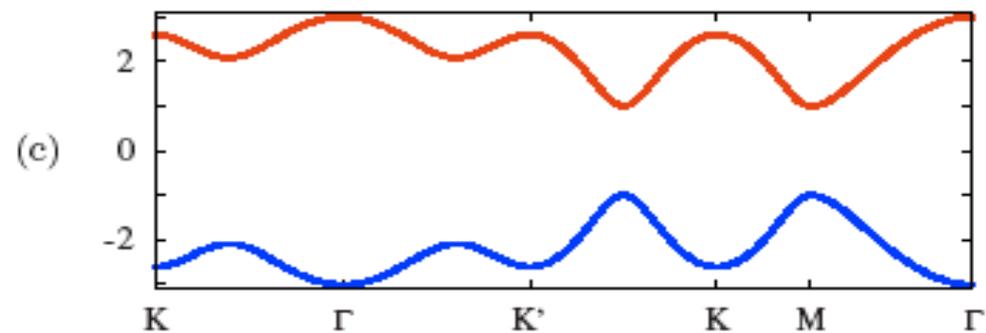
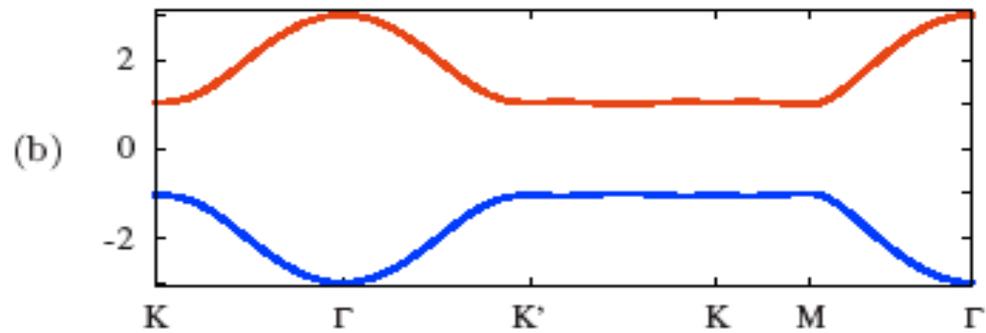
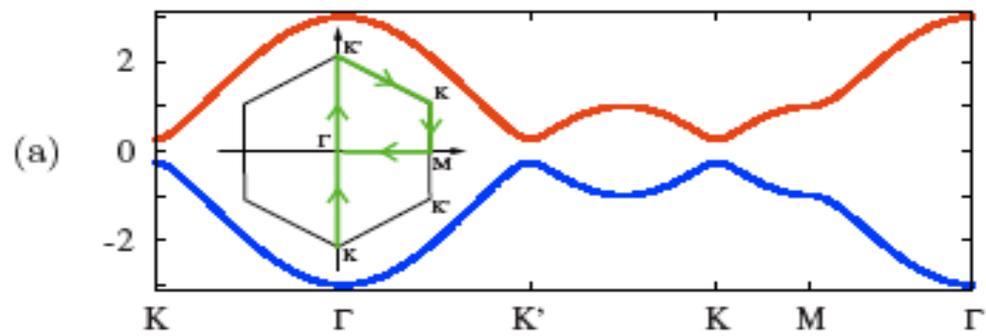
$$\nu_{ij} = \pm 1$$

$$\mathcal{H} \propto \Psi_k^\dagger \sigma^z \tau^z \Psi_k$$

**strip geometry:**



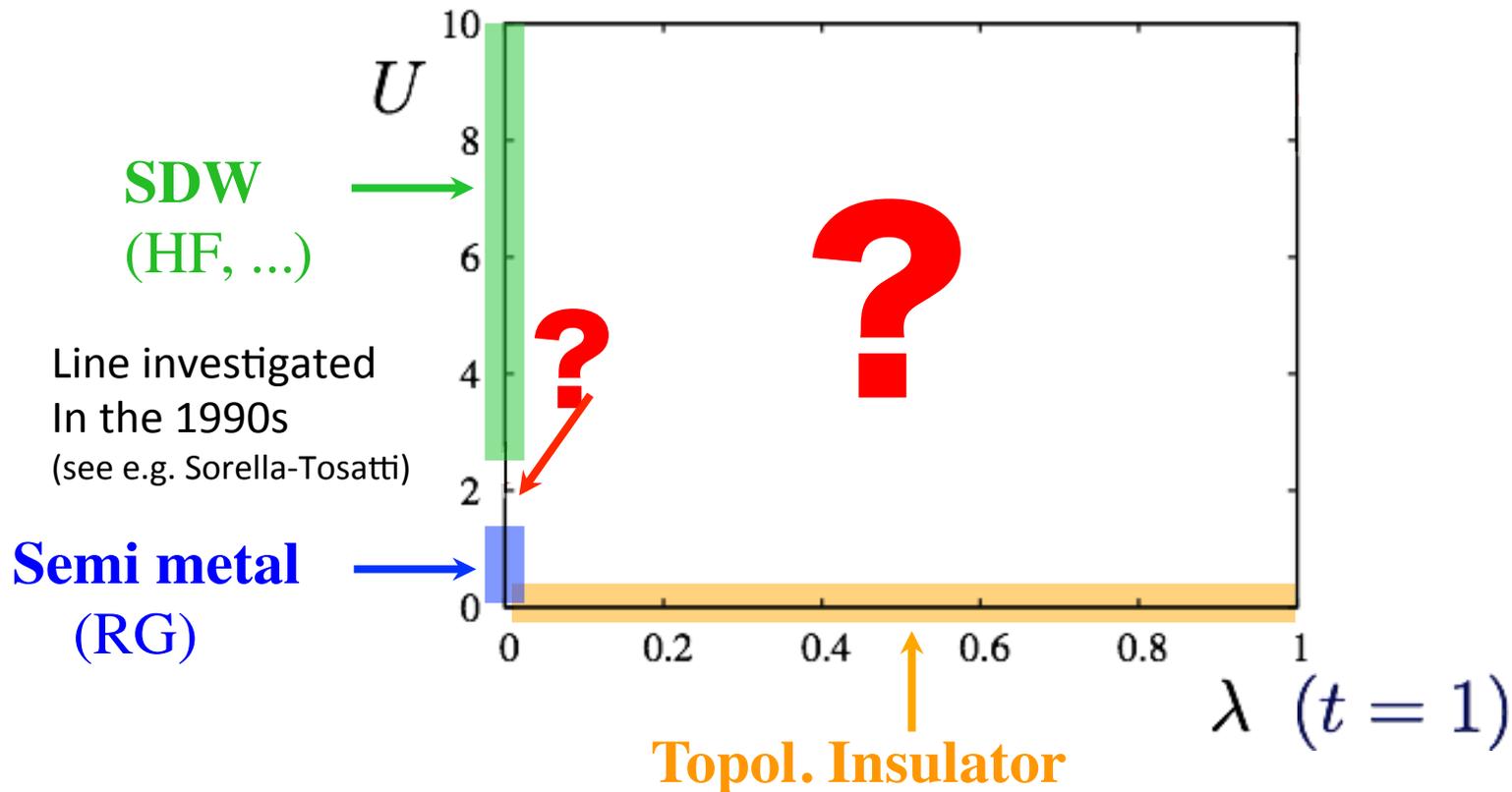
**edge states: Kramer's pair**



Gap independent  
of  $\lambda$  for  $\lambda \sim 0.2t$

# Effect of electron-electron interaction?

$$\mathcal{H} = -t \sum_{\langle ij \rangle \sigma} c_{i\sigma}^\dagger c_{j\sigma} + i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\sigma\sigma'} \nu_{ij} \sigma_{\sigma\sigma'}^z c_{i\sigma}^\dagger c_{j\sigma'} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$



S. Rachel & KLH, PRB **82** 075106 2010

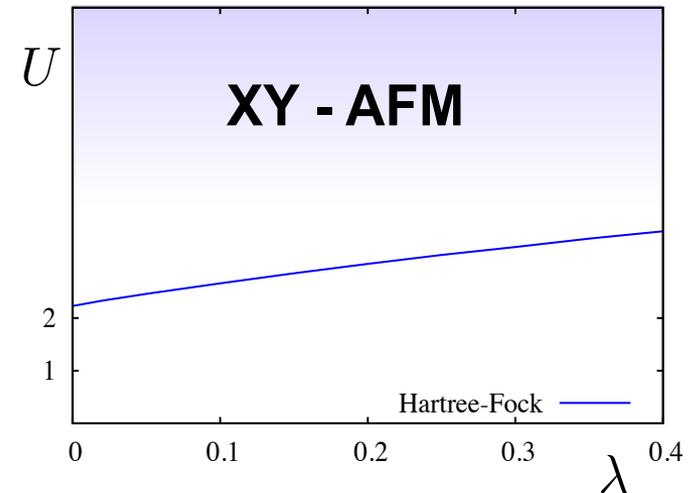
Many other related works: see later for references

# Presence of spin orbit coupling: S. Rachel & KLH, PRB 2010

- ▶ **Simple Hartree Fock yields transition to magnetically ordered phase (Mott phase)**
- ▶ **critical  $U$  increases with increasing spin orbit coupling**

$$m = (-1)^\zeta \langle c_{i\uparrow}^\dagger c_{i\uparrow} - c_{i\downarrow}^\dagger c_{i\downarrow} \rangle$$

(cf. Sorella & Tosatti EPL 19, 699 (1992))



increase of  $U_c$  can be understood from

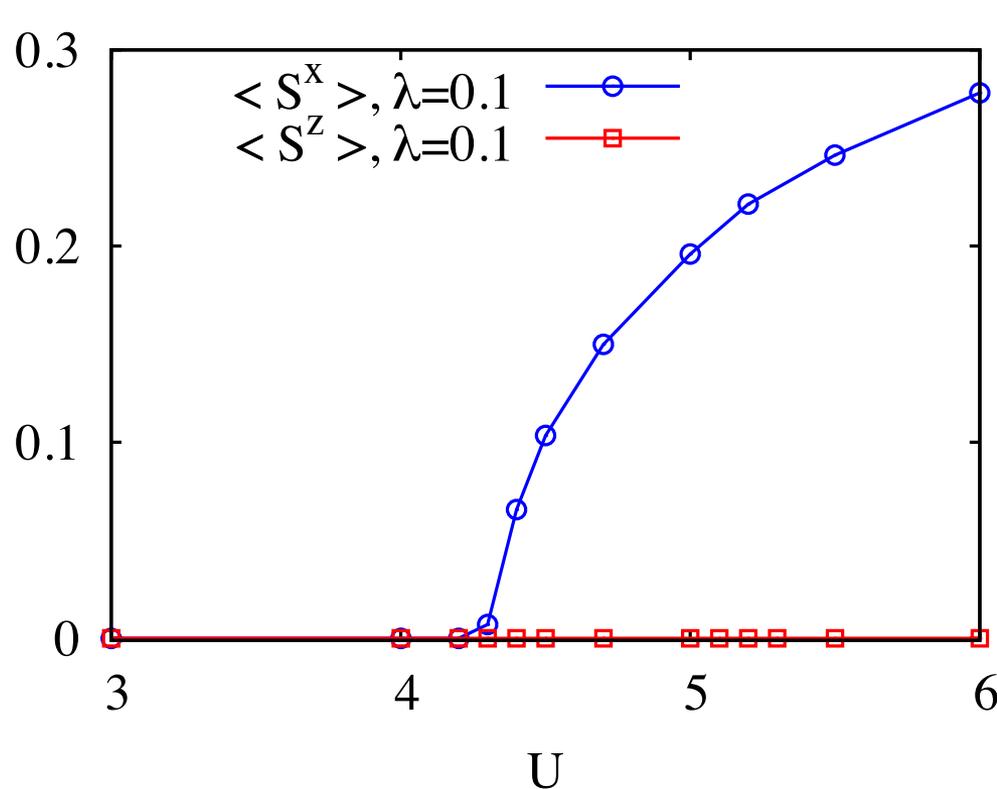
$$\mathcal{H}^{\text{eff}} = \sum_{\langle ij \rangle} \frac{4t^2}{U} \mathbf{S}_i \mathbf{S}_j + \sum_{\langle\langle ij \rangle\rangle} \frac{4\lambda^2}{U} \left( -S_i^x S_j^x - S_i^y S_j^y + S_i^z S_j^z \right)$$

favors Neel

competes with nearest neighbor term

$\lambda \neq 0$  : SDW order is turned into XY plane

# XY ordering



## C-DMFT

Real-space version  
QMC continuous-time  
Impurity solver

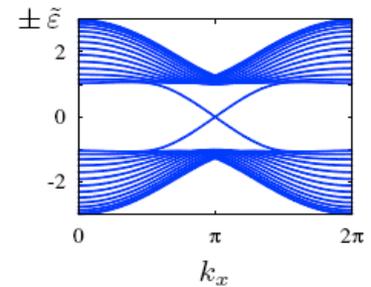
### Some Reviews (not full list):

G. Kotliar et al, RMP 2006  
T. Maier et al, RMP 2005

**Wei Wu**, Stephan Rachel, Wu-Ming Liu and KLH, PRB **85**, 205102 (2012)  
(same result can be obtained from “simple” Hartree-Fock theory)  
**HF shows that the single-particle gap does not close at the transition**

# Presence of spin orbit coupling

- ▶ Apply (Slave)-Rotor theory of Florens & Georges, PRB 70, 035114 (2004)
- ▶ See review E. Zhao & A. Paramakanti
- ▶ Rewrite fermions as rotors (charge degrees of freedom) and spinons



$$c_{i\sigma} = e^{i\theta_i} f_{i\sigma}$$

- ▶ Introduce constraint

$$\sum_{\sigma} f_{i\sigma}^{\dagger} f_{i\sigma} + L_i = 1$$

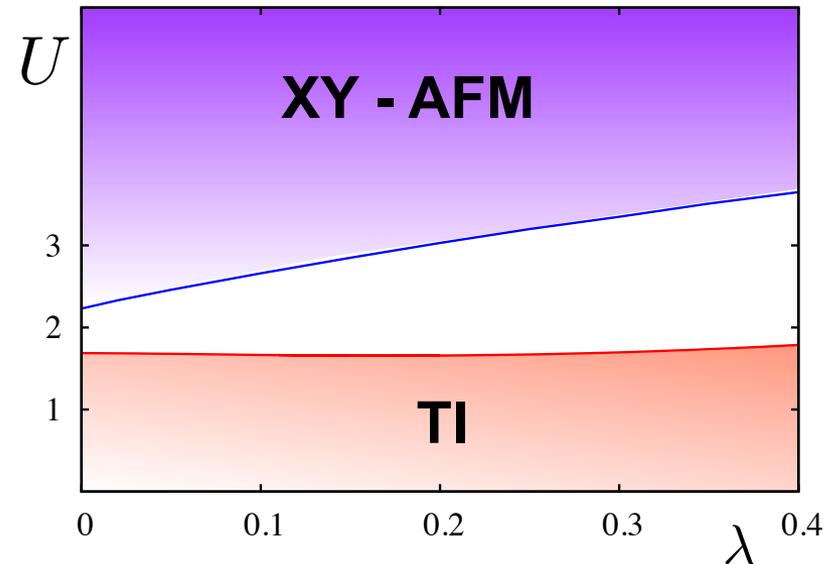
$$\frac{U}{2} \sum_i \left( \sum_{\sigma} n_{i\sigma} - 1 \right)^2 \rightarrow \frac{U}{2} \sum_i L_i^2$$

- ▶ Hubbard interaction simplifies

$$L = (i/U) \partial_{\tau} \theta.$$

- ▶ Interaction affects rotor only

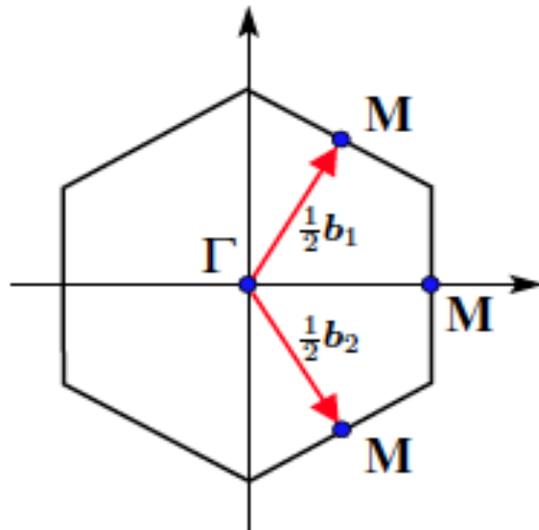
- ▶ weak  $U$ : rotor condense,  $f_{\sigma} \propto c_{\sigma}$



S. Rachel & KLH, PRB 2010

[+ other theory arguments]

# $Z_2$ Invariant: Spin Chern number



Time-reversal invariant  
Points of the Brillouin zone

Following L. Fu and C. Kane:

$$\mathcal{H}_{\mathbf{k}} = \sum_{a=1}^5 d_a(\mathbf{k}) \Gamma^a$$

$$\mathcal{P} = \tau^x \otimes I = \Gamma^1$$

$$\mathcal{T} = i(I \otimes \sigma^y) K$$

Time-Reversal & Inversion Symmetry

$Z_2$  invariant given by (here,  $\nu=0$  or  $1$ ):

$$(-1)^\nu = \prod_{i=1}^4 -\text{sign}[d_1(\Gamma_i)]$$

Single-particle band structure: see also Balents & Moore

Efforts to define top. Invariants for interacting systems:

Qi-S.C. Zhang; V. Gurarie; Y. B. Kim (CDMFT); A. Kitaev; Savrasov (LDA+U)

# Edge Theory: Helical Liquid

C. Xu & J. Moore; C. Wu, A. Bernevig & S.-C. Zhang;...

$$H_0 = v_F \int dx \left( \psi_{R\uparrow}^\dagger i \partial_x \psi_{R\uparrow} - \psi_{L\downarrow}^\dagger i \partial_x \psi_{L\downarrow} \right)$$

$\psi_{R\uparrow}^\dagger \psi_{L\downarrow} + \text{h.c.}$  (**elastic**) Backscattering forbidden

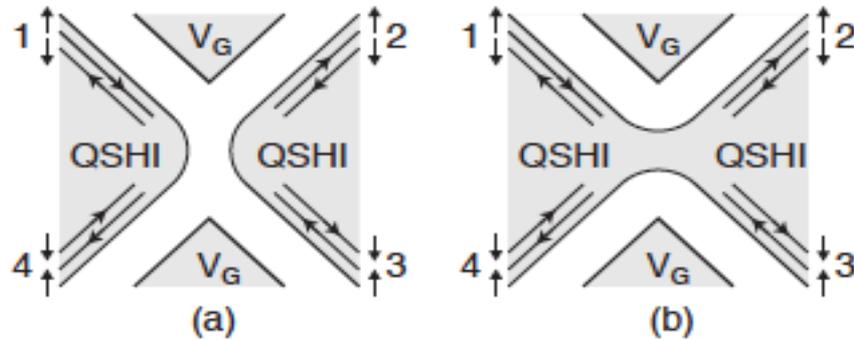
$$H_I = U \int dx \left( \psi_{R\uparrow}^\dagger \psi_{R\uparrow} \psi_{L\downarrow}^\dagger \psi_{L\downarrow} \right)$$

$$H = \int dx \frac{v}{2} \left[ \frac{1}{K} (\partial_x \phi)^2 + K (\partial_x \theta)^2 \right] - \frac{Um \sin \sqrt{4\pi} \phi}{(\pi a)^2}$$

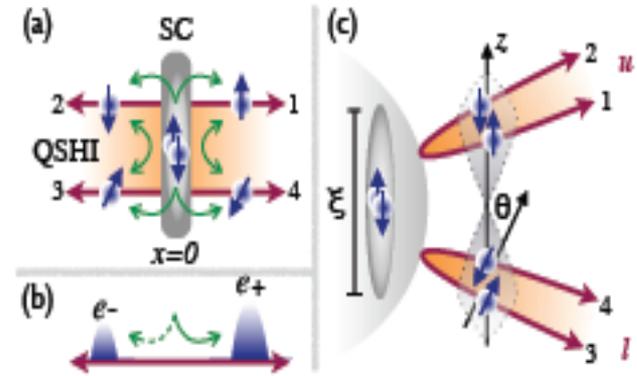
**Minimal Model**  
for TBI/QSH phase

with  $m = \langle \psi_{R\uparrow}^\dagger \psi_{L\downarrow} \rangle$

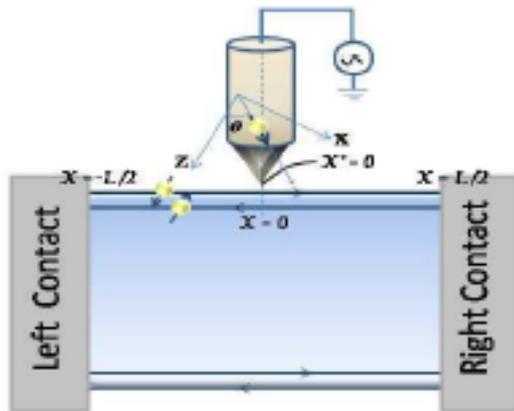
# new “Edge Directions” (+Majoranas)



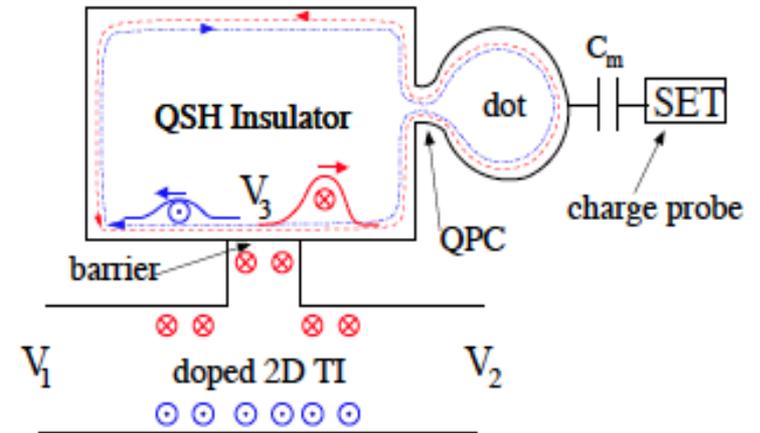
J. Teo & C. Kane, Phys. Rev. B 79, 235321 (2009)  
 C.-Y. Hou, E.-A. Kim & C. Chamon, PRL 2009



Sato, Tserkovnyak & Loss  
 Phys. Rev. Lett. **105** (2010) 226401



S. Das and S. Rao,  
 Phys. Rev. Lett. **106**, 236403 (2011)



I. Garate & KLH, PRB 85, 195465 (2012)  
 I. Garate (Yale → U. Sherbrooke)

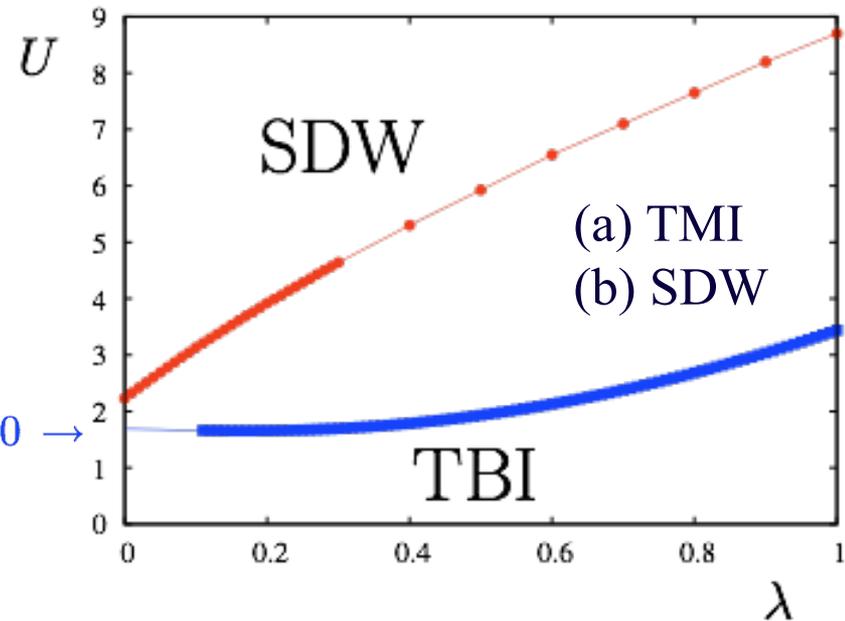
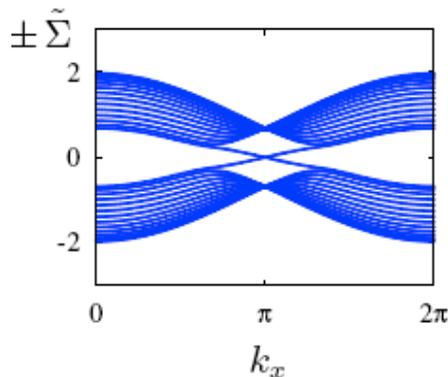
# Presence of spin orbit coupling

- ▶ **More Slave-Rotor: use sigma-model representation**
- ▶ **introduce bosonic  $X$ -fields (S. Florens & A. Georges)**

$$X = e^{i\theta} \quad |X|^2 = 1$$

- ▶ **mean-field decoupling or Hubbard Stratonovich**
- ▶ **insulating gap (zero at the transition)**

$$\Delta_g = 2\sqrt{U(\rho + \min \xi_k)}$$



**S. Rachel & KLH, PRB 82, 075106 (2010);  
arXiv:1003.2238, 20 pages**

**Mean-Field Solution allows TMI phase:**

- Mott gap
- Spin degrees of freedom form a topological Kane-Mele phase

**Analogue of S=1 spin Haldane chain  
(probed through thermal transport?)**

See also S.S. Lee & P. Lee PRL 2005  
Young, S. S. Lee, C. Kallin, PRB 2008  
Pesin & Balents, Nat. Phys. 2010  
Y.-B. Kim & et al. 2010 + many recent works

# 2D: Direct Transition from TBI to XY

$$\mathcal{L}_{MF} = m \sum_{a=\pm} \left( f_{\uparrow a}^\dagger \tau^z f_{\uparrow a} - f_{\downarrow a}^\dagger \tau^z f_{\downarrow a} \right)$$

Monopole insertion = “spin flip” operator

Localized  $+2\pi$  flux of the gauge field implies that a single extra spin-up spinon will be induced along with the gauge flux, while one spin-down spinon will be depleted

Fermions are gapped:

$$\mathcal{L}_{Maxwell} = (1/2e^2) \sum_{\mu} (\epsilon_{\mu\nu\lambda} \partial_\nu a_\lambda)^2$$

Monopoles only cost a finite action: monopole propagator is long-ranged

Here, this implies magnetic order in the XY plane:  $\langle S^+ \rangle$  is finite

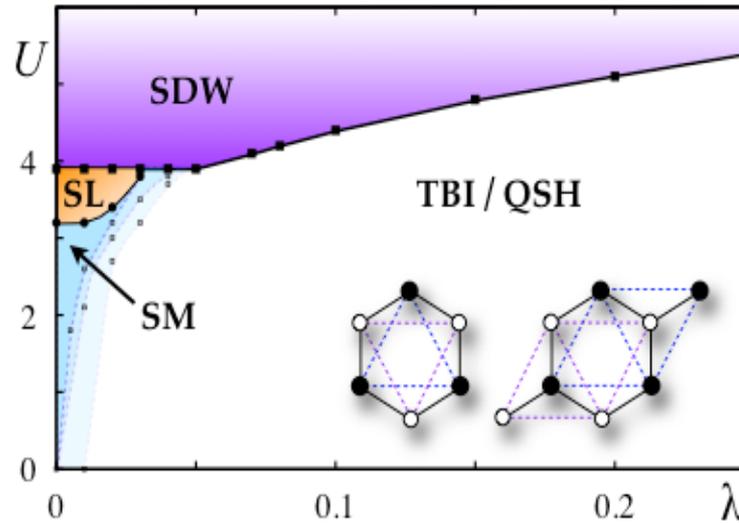
Polyakov's gauge field argument: see also S. S. Lee & P. Lee; Y. Ran et al; M. Hermele...

# Phase Diagram

Wei Wu,  
Stephan Rachel,  
Wu-Ming Liu  
and KLH, PRB 2012

## CDMFT

Real-space version  
QMC continuous-time  
Impurity solver

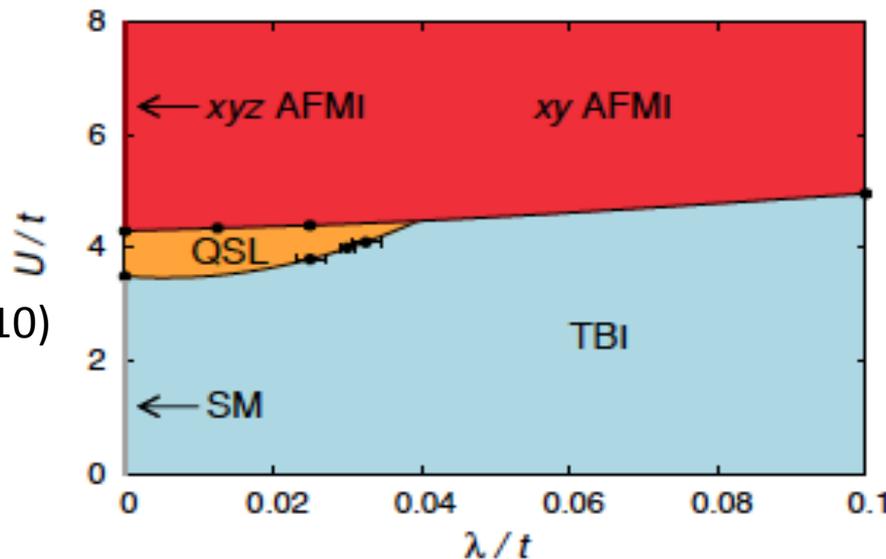


## 3D XY

S. Rachel & KLH, 2010  
Griset & C. Xu, 2011  
D.-H. Lee, 2011

## QMC

Z.Y. Meng et al.  
Nature **464**, 847 (2010)



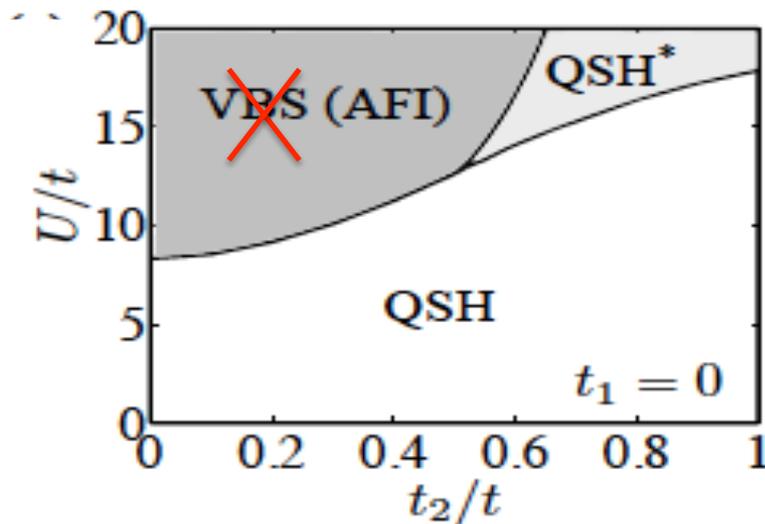
M. Hohenadler et al.  
arXiv:1111.3949

Phys. Rev. Lett. **106**,  
100403 (2011)

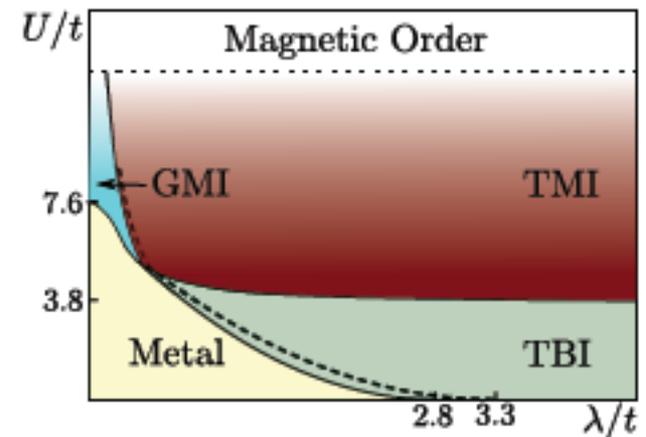
# Connection to reality?

(Takagi; Gegenwart; S. Jullian;...)

- $\text{Na}_2\text{IrO}_3$ : honeycomb layers (experiment: e.g. Gegenwart)  
Spin-orbit coupling slightly different:  
Shitade et al. PRL **102** 256402 (2009)



Ruegg & G. Fiete, PRL 2012



D. Pesin & L. Balents, Nature Phys. 2010

Relevance for 3D pyrochlore Iridates (?)

Y. B. Kim and co-authors

Also quest for Weyl fermions

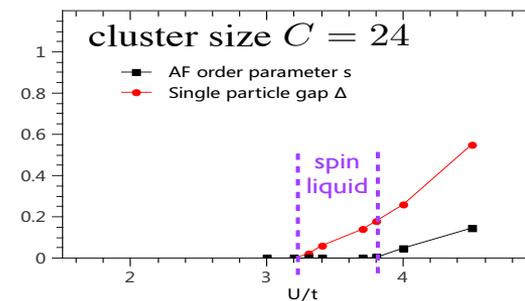
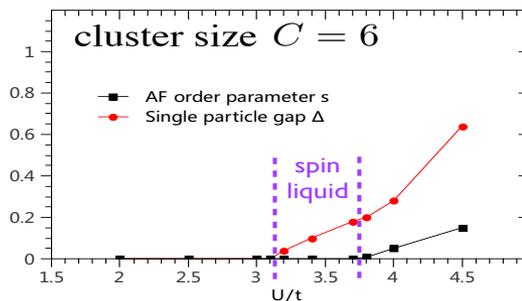
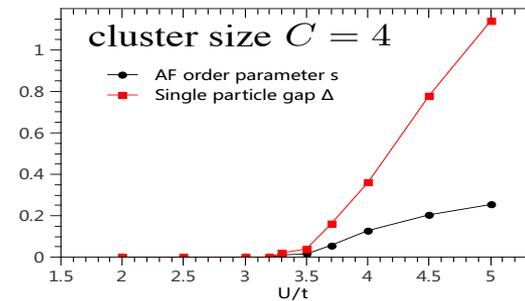
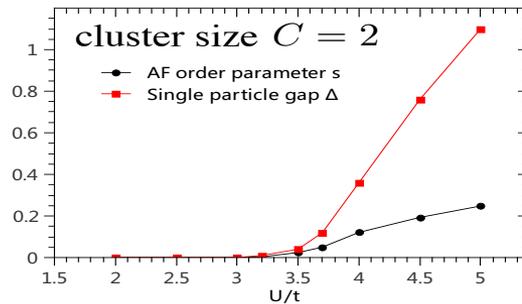
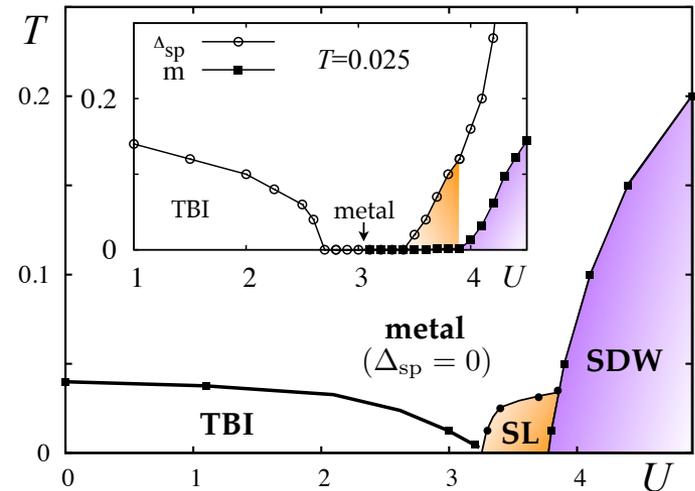
- GaAs heterostr.: A. Singha et al. Science 2011
- Cold atoms: L. Tarruel et al. (Esslinger) 2012

# “Spin Liquid” (?)

**Numerical Facts: CDMFT**

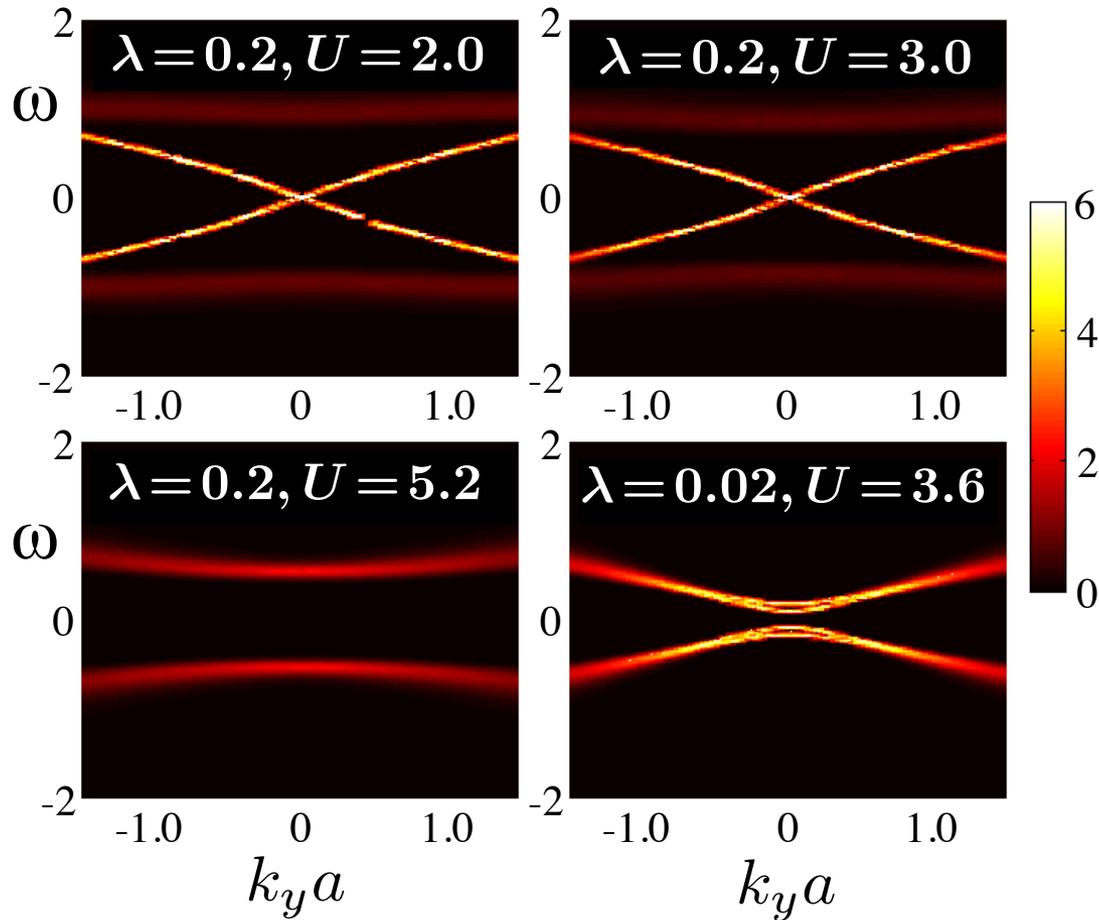
Single-particle gap

No long-range order



**Wei Wu**  
**CDMFT**

# (No) Edge States in $A_s(k, \omega)$

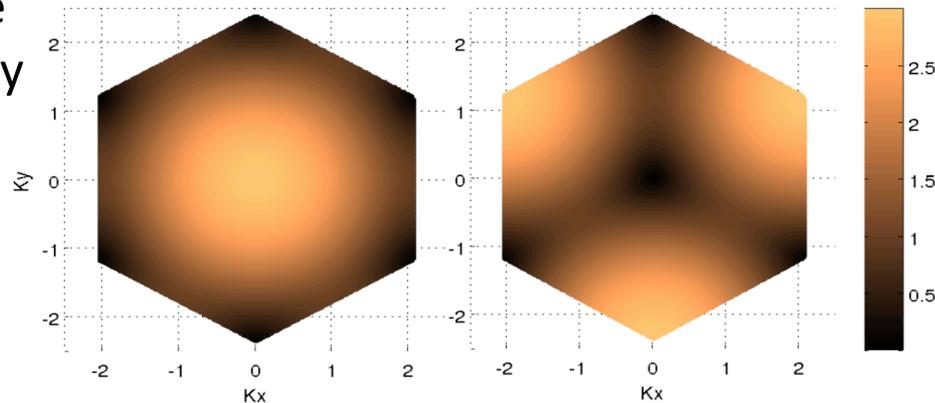


# Analytical Development

## Possibility of gapped spin liquid

**$J_1$ - $J_2$  model**; Tran & Kim, PRB 2011 & Clark, Abanin & Sondhi PRL 2010

Difficulty to solve  
this model exactly

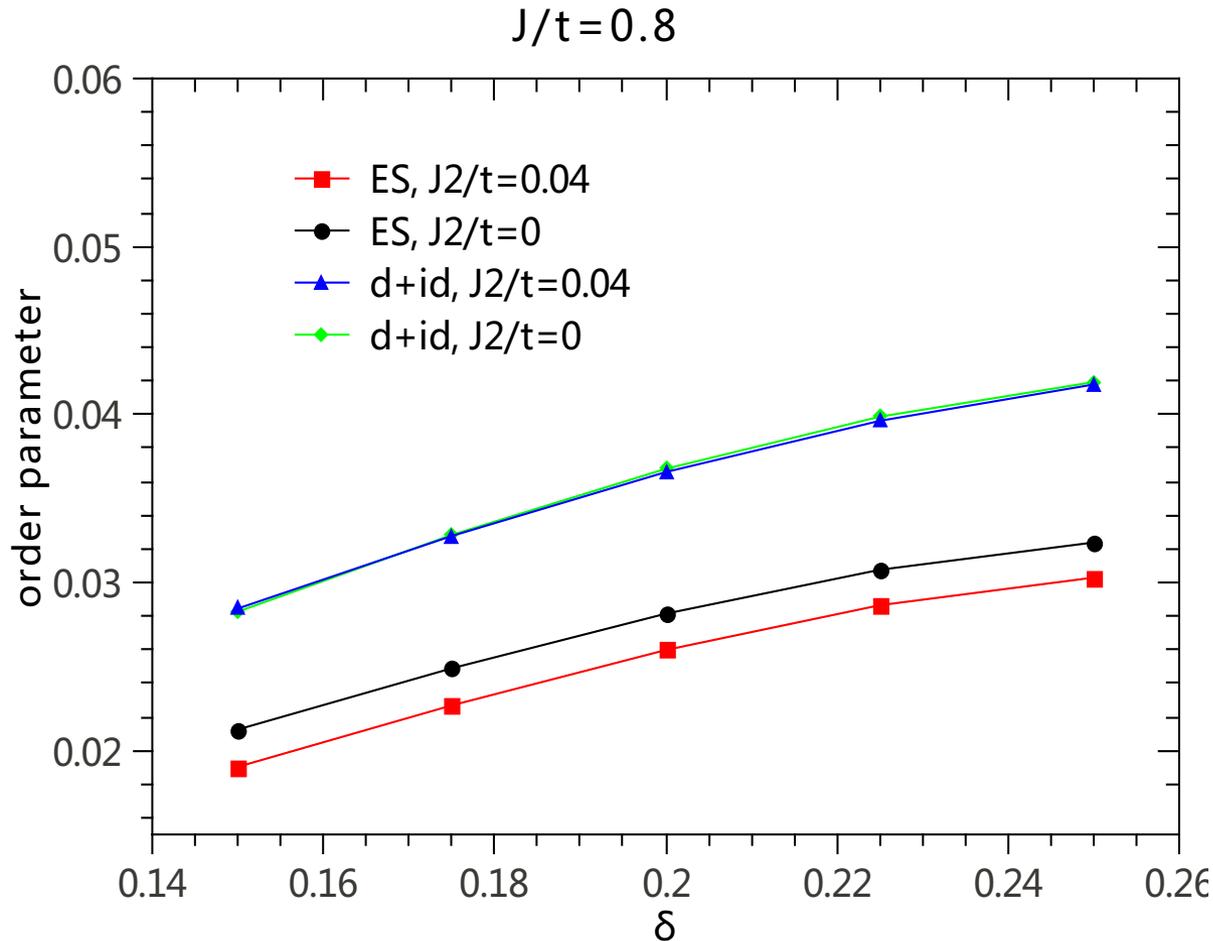


**D+iD spin gap :**  
plausible scenario?

$$\Delta_{\mathbf{k}}^{d+id} = \cos\left(\frac{\pi}{3}\right)\Delta_{x^2-y^2} + i \sin\left(\frac{\pi}{3}\right)\Delta_{xy}.$$

Other variants of spin liquid: C. Xu & S. Sachdev; Vaezi et al; ...

# d+id Pairing



In progress

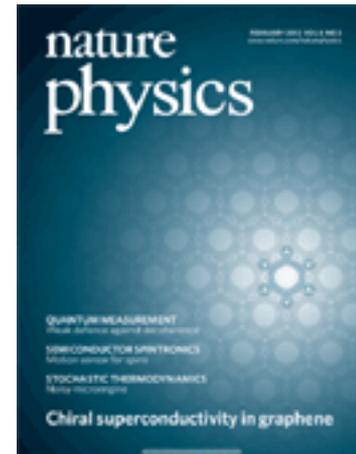
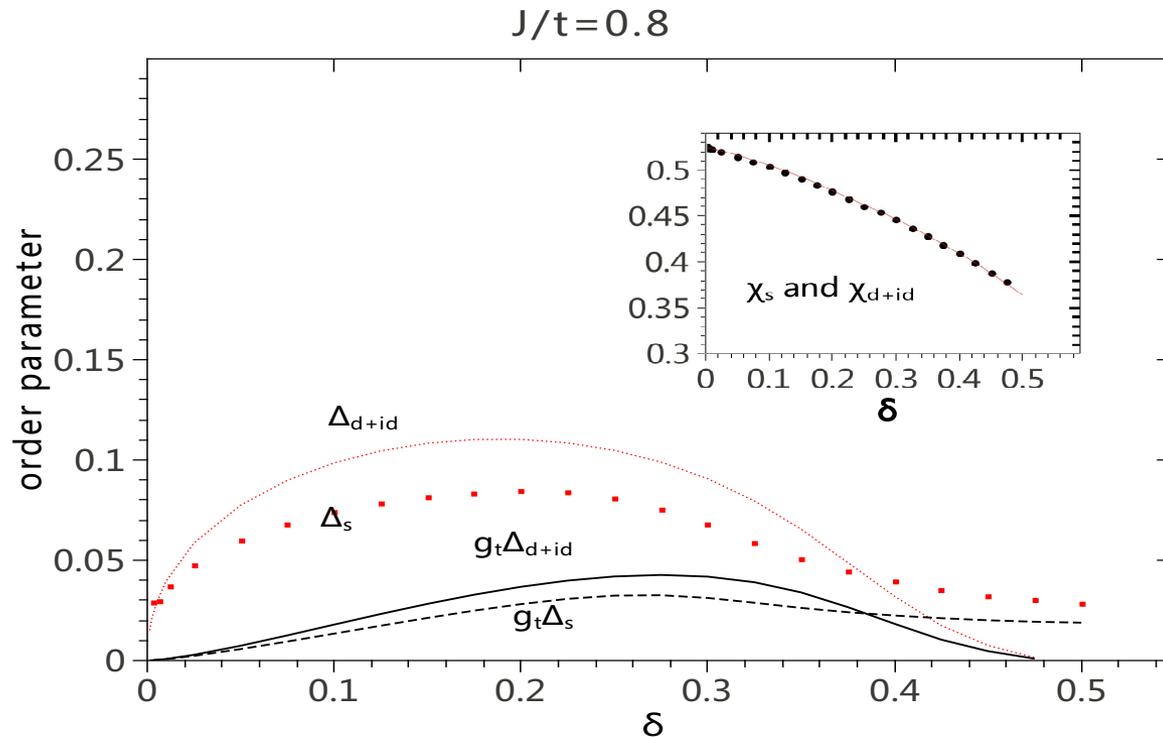
Other possible  
SC Pairings:  
B. Rosenow's  
talk

RMFT, Method review: KLH & T. Maurice Rice, Annals of Physics **324**, 1452 (2009)

Superconductivity close to the Mott state: high- $T_c$  SCs and cold atoms

# Unconventional SC

RMFT



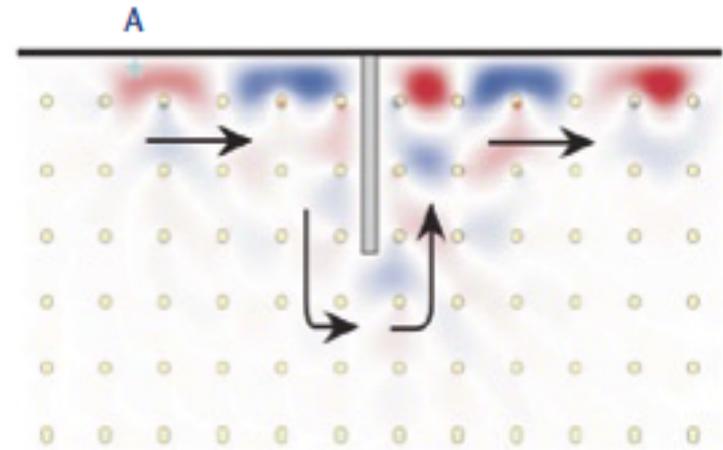
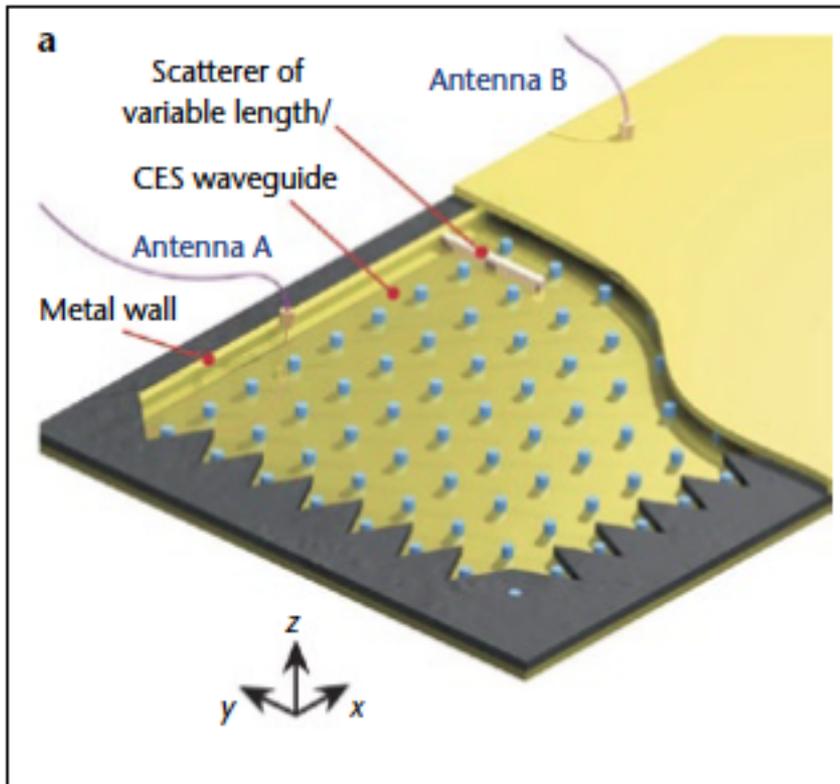
O. Vafek, review

This shows that d+id SC might arise below half-filling  
A. Black-Schaffer & Doniach; Baskaran; L. Balents & X. Wen et al. (numerics/progress)...

Heavily doped graphene: R. Nandkishore, L. Levitov & A. Chubukov, Nature Phys. 2012;...  
fRG: C. Honerkamp; R. Thomale ...

# One-Way Road in a Photonic Crystal

Chiral edge states channel light waves in one direction, like electrons in the quantum Hall effect



(a) A model of the photonic crystal. The distance between the ferrite rods is 4 cm.

Realizations of AQH effect in Photonic crystals: following Haldane & Raghu (lattice of rods and Faraday effect opens a gap breaking time-reversal symmetry)

**Experiment:** M. Soljacic et al. Nature **461**, 772 (2009)

n.b: QSH phase, M. Hafezi et al Nature Phys. 2011; A. Khanikaev, arXiv:1204.5700

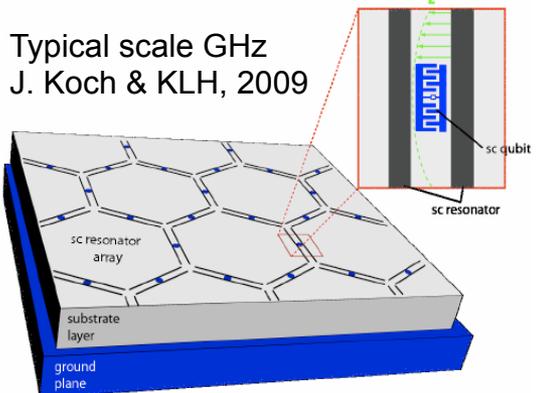
# Systems of interacting photons: Theory surveys

- ▶ M. Hartmann et al., Laser & Photonics Review 2, 527 (2008)
- A. Tomadin & R. Fazio, J. Opt. Soc. Am B 27, A130 (2010)
- I. Carusotto and C. Ciuti, arXiv:1205.6500

## proposed realizations

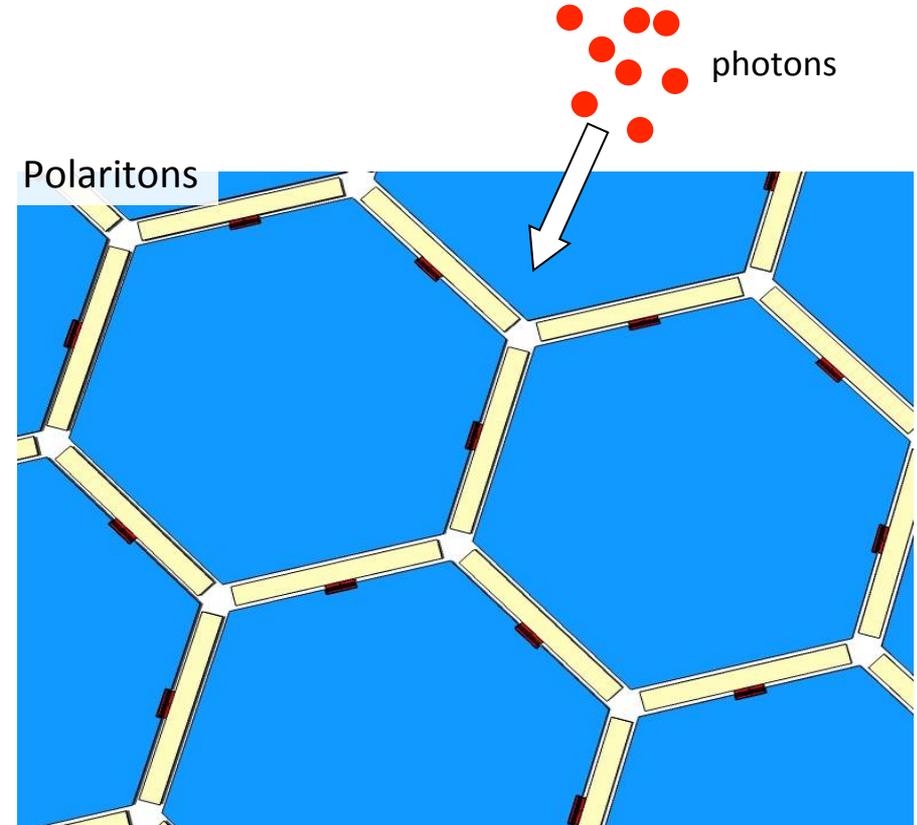
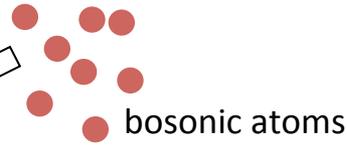
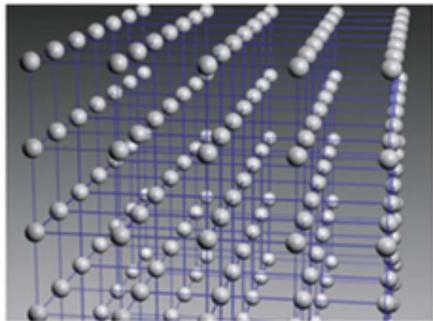
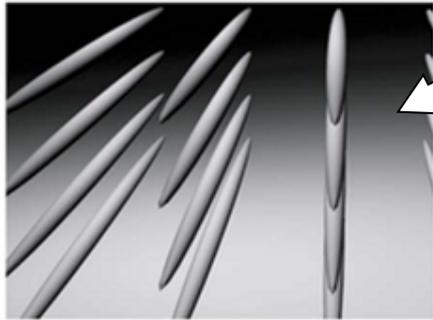
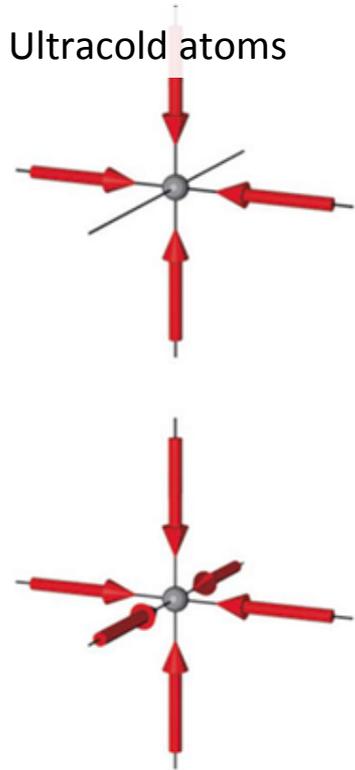
- \* photonic band gap cavities
- \* arrays of silicon micro-cavities
- fibre based cavities
- **Progress circuit QED: A. Houck; H. Tureci; J. Koch**  
**Nature Physics 2012**

Typical scale GHz  
J. Koch & KLH, 2009



- + tunability
- + access to single lattice site
- must be treated as open system
- + interesting: transitions between different steady states

# Interacting bosons on a lattice



D. Jaksch et al., Phys. Rev. Lett. **81**, 3108 (1998)

M. Greiner et al., Nature **415**, 39 (2002)

I. Bloch et al., Rev. Mod. Phys. **80**, 885 (2008)

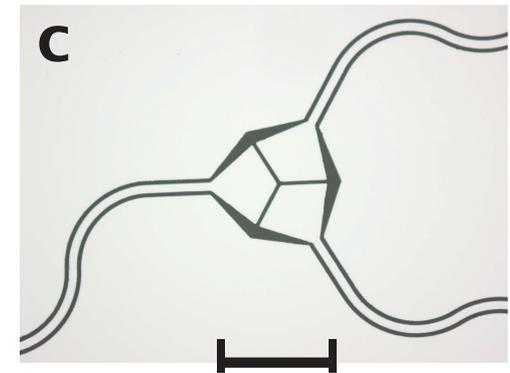
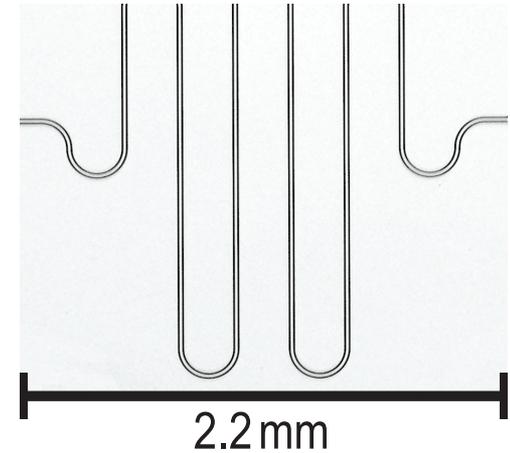
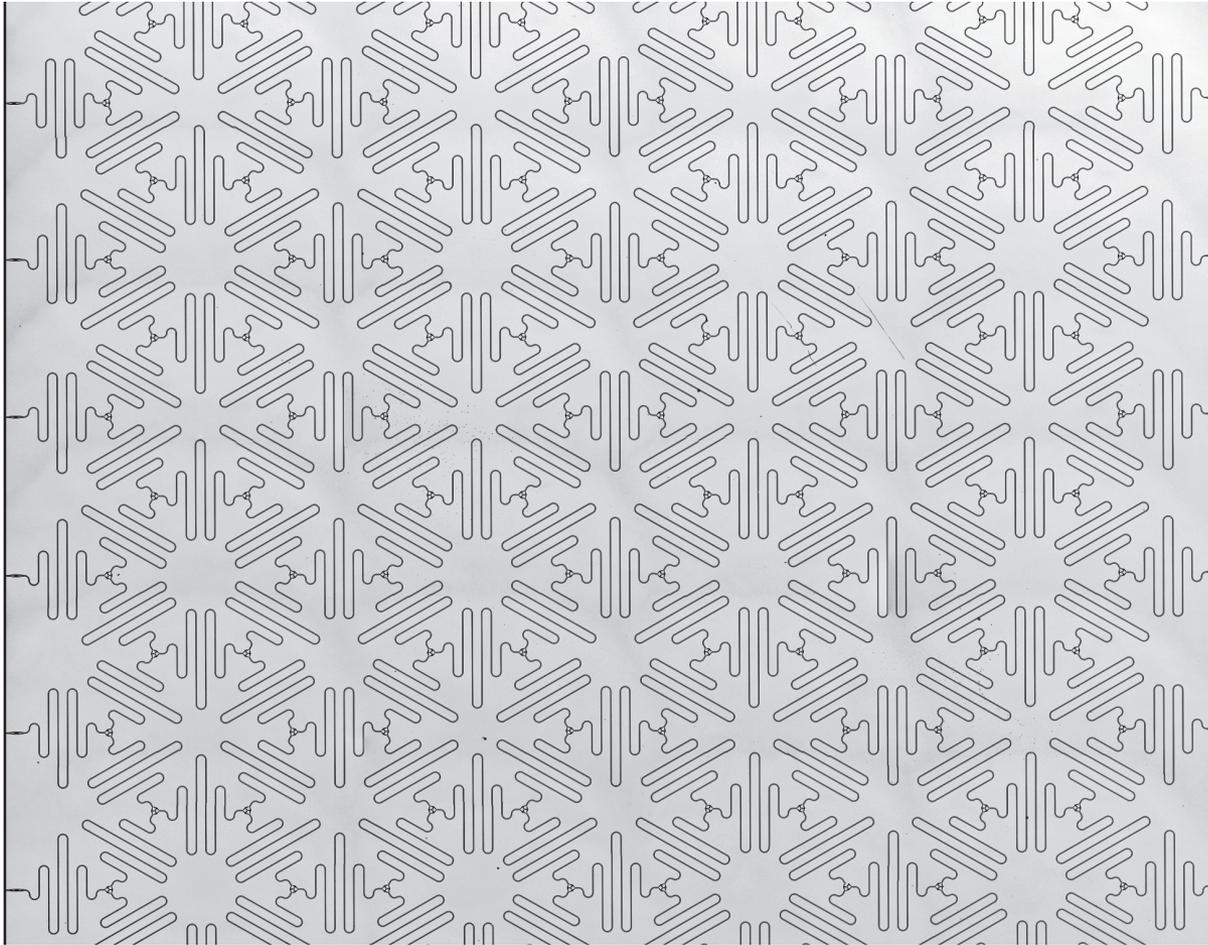
Greentree et al., Nat. Phys. **2**, 856 (2006)

Angelakis et al., PRA **76**, 031805 (2007)

Jens Koch and Karyn Le Hur, PRA **80**, 023811 (2009)...

# On-going experiment

## A. Houck at princeton



Experimental progress: [arXiv:1203.5363](https://arxiv.org/abs/1203.5363)

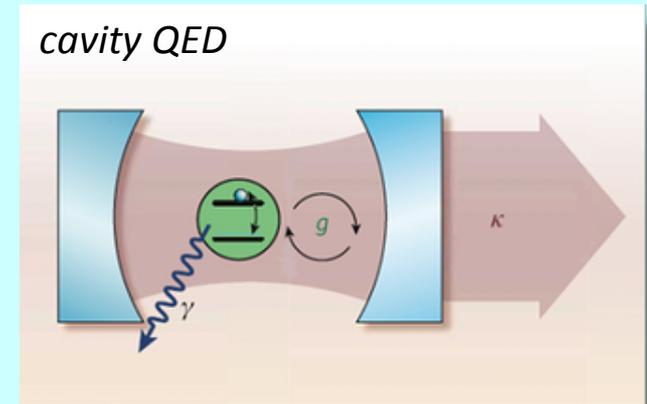
- Review: A. Houck; H. Tureci; J. Koch Nature Physics 2012

# Cavity & Circuit QED: 1 cavity a lot of activity...

## Coupling atoms to the EM field

- atoms can couple to the EM field via dipole moment
- coupling strength can be enhanced by confining field to a cavity

$2g$  = vacuum Rabi frequency  
 $\gamma$  = atomic relaxation rate  
 $\kappa$  = photon escape rate

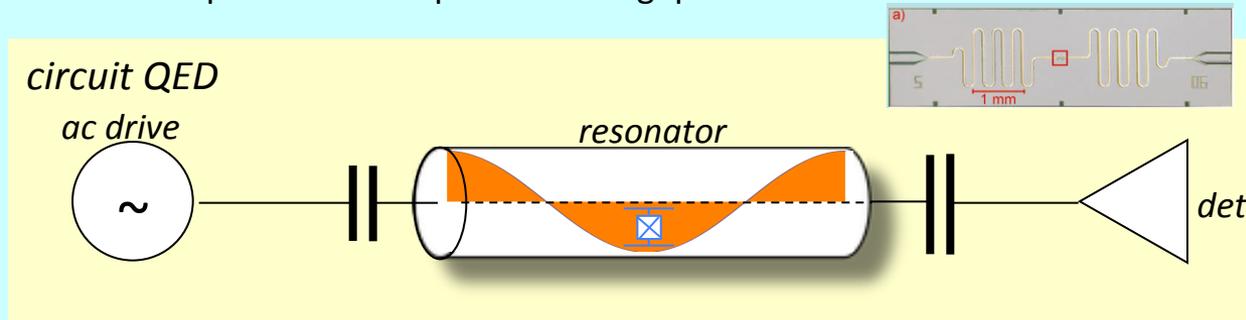


Jaynes-Cummings Hamiltonian

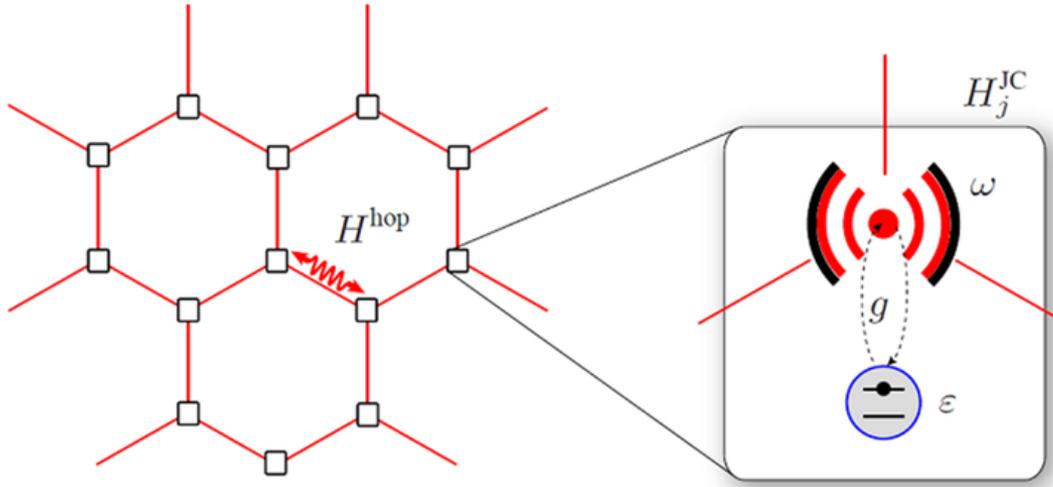
$$H = \frac{1}{2}\omega_a\sigma_z + \omega_r a^\dagger a + g(\sigma_- a^\dagger + \sigma_+ a) + (H_{\text{drive}} + H_{\text{baths}})$$

See also A. Blais et al

- same concept works for superconducting qubits!



# The Jaynes-Cummings “Lattice” Model



Jaynes-Cummings model: 1963  
(famous model in quantum optics)

Greentree et al., Nat. Phys. **2**, 856 (2006)

Angelakis et al., PRA **76**, 031805 (2007)

Jens Koch and KLH, PRA **80**, 023811 (2009)

Other groups: H. Tureci, R. Fazio, G. Blatter,  
S. Bose, Y. Yamamoto, P. Littlewood,  
M. Plenio, B. Simons, A. Sandvik,...

Jaynes-Cummings lattice model

$$H = \sum_j H_j^{\text{JC}} + H^{\text{hop}} - \mu N \quad \text{"chemical potential"}$$

► *Jaynes-Cummings:*  $H_j^{\text{JC}} = \omega a_j^\dagger a_j + \varepsilon \sigma_j^+ \sigma_j^- + g(a_j^\dagger \sigma_j^- + \sigma_j^+ a_j)$

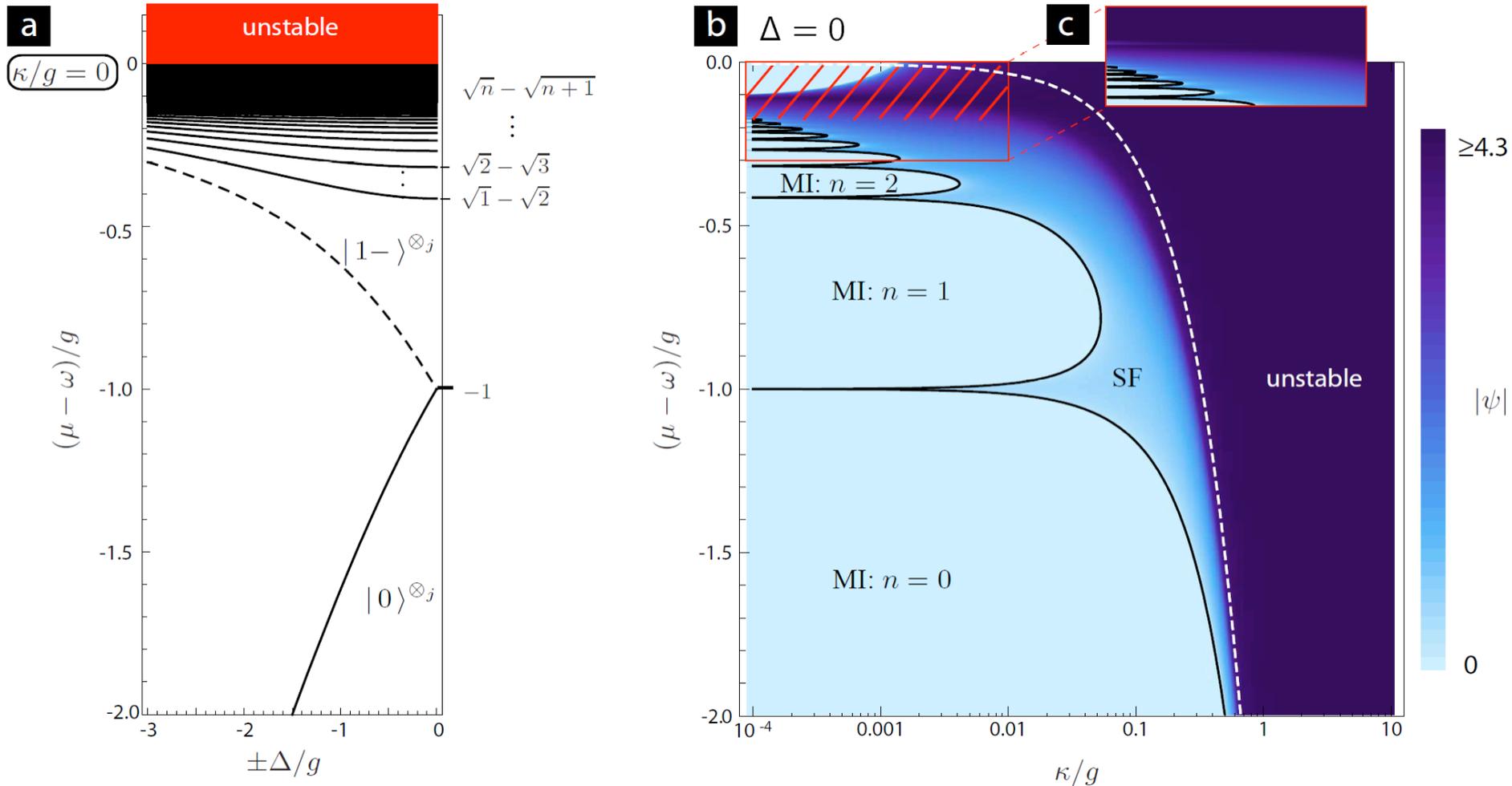
► *nearest-neighbor photon hopping:*  $H^{\text{hop}} = -\kappa \sum_{\langle i,j \rangle} (a_i^\dagger a_j + a_j^\dagger a_i)$

► *polariton number:*  $N = \sum_j (a_j^\dagger a_j + \sigma_j^+ \sigma_j^-)$

# MFT results for the JC lattice

Greentree et al., Nat. Phys. **2**, 856 (2006)

Angelakis et al., PRA **76**, 031805 (2007)



Jens Koch and KLH, PRA **80**, 023811 (2009)

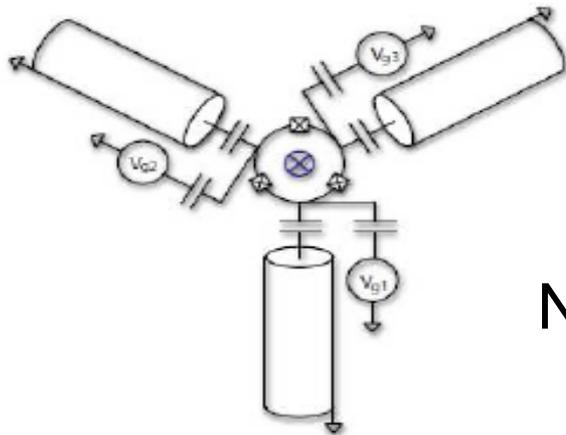
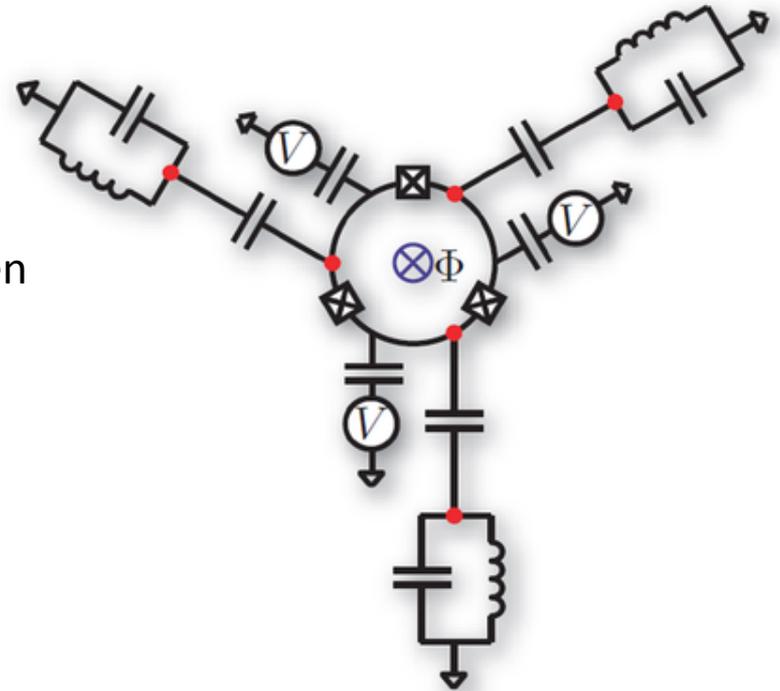
# J. Koch, A. Houck, KLH & SM Girvin, PRA 2010

(numerical check at intermediate couplings)

- ▶ Josephson ring provides one way to generate complex phase factors
- ▶ need magnetic flux to break t-reversal sym. additionally, particle-hole sym. must be broken
- ▶ large  $E_J/E_C$ : no complex phases, but *tunable coupling strength!*

**complex phases** for intermediate  $E_J/E_C$

- ▶ *random off-set charges can be controlled*



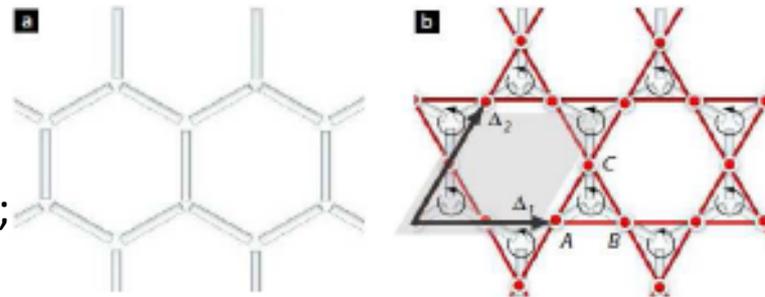
Nano quantum circulator

- ▶ **topological phases, Kagome lattice**

# Breaking T-reversal symmetry

## SC Waveguides with circulators

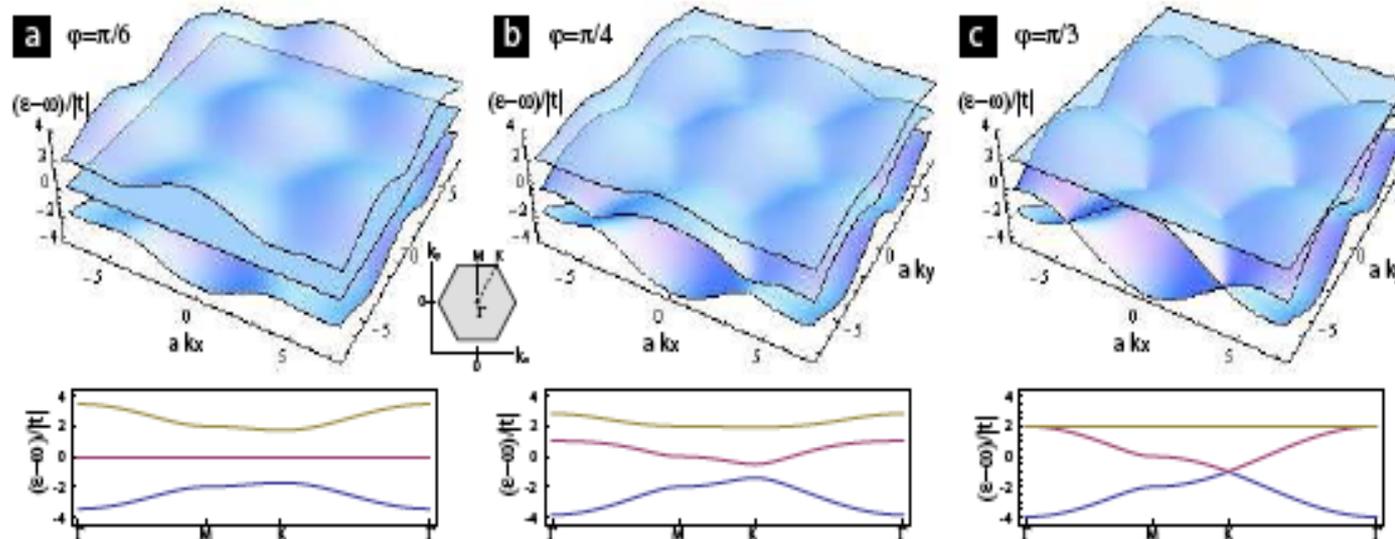
Analogy to cold  
Atoms: I. Spielman;  
F. Gerbier & J. Dalibard;  
N. Trivedi



J. Koch, A. Houck, KLH  
and S. Girvin  
PRA **82**, 043811 (2010)

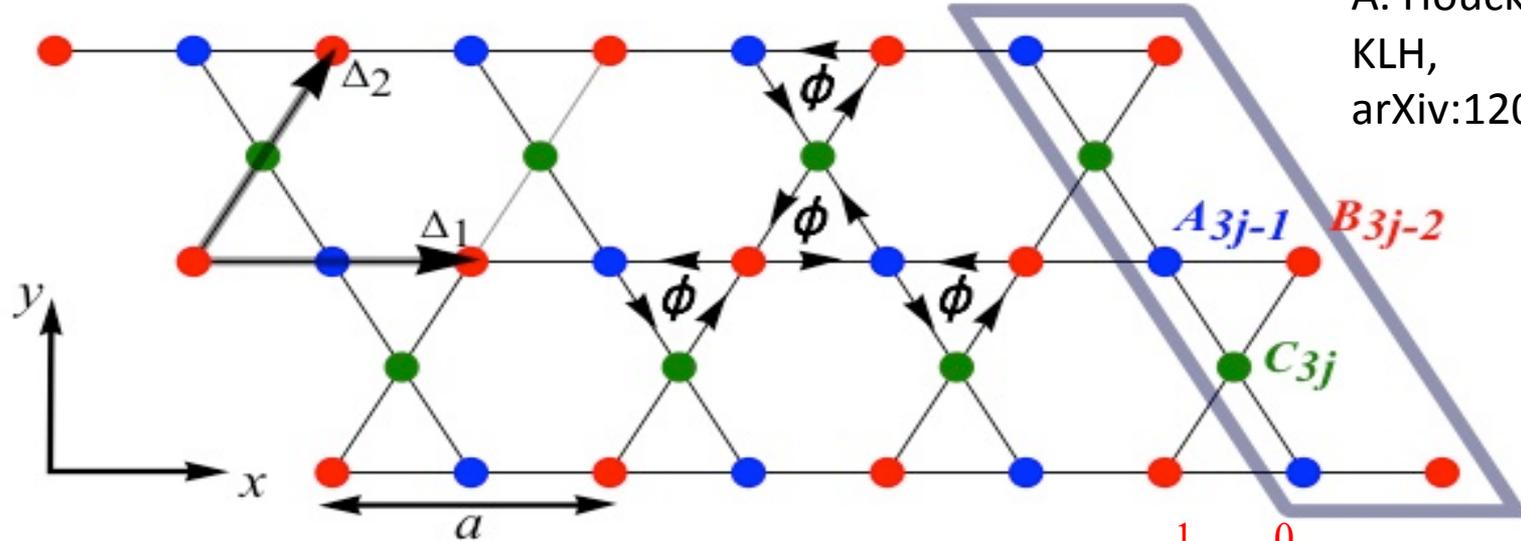
A. Greentree & A. Martin,  
Physics 3, **85** (2010)

H. Manoharan: molecular graphene

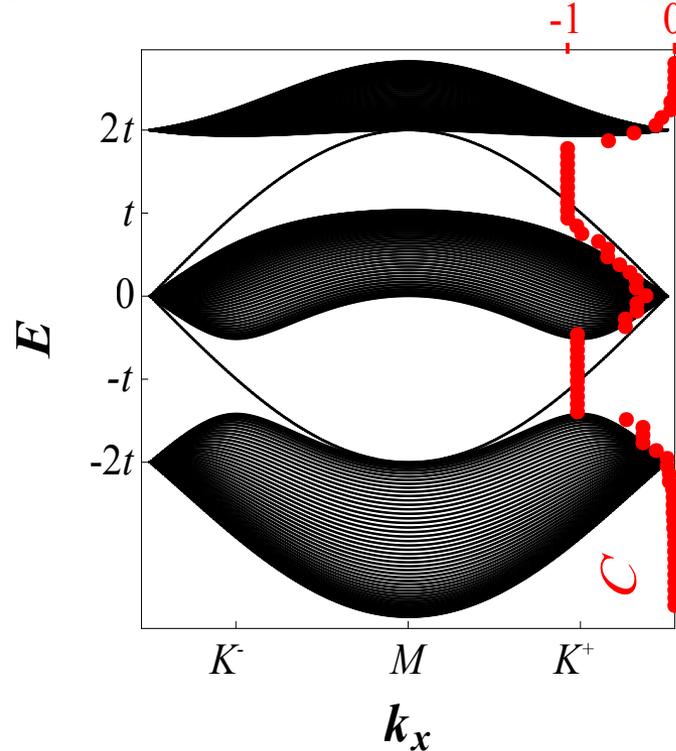
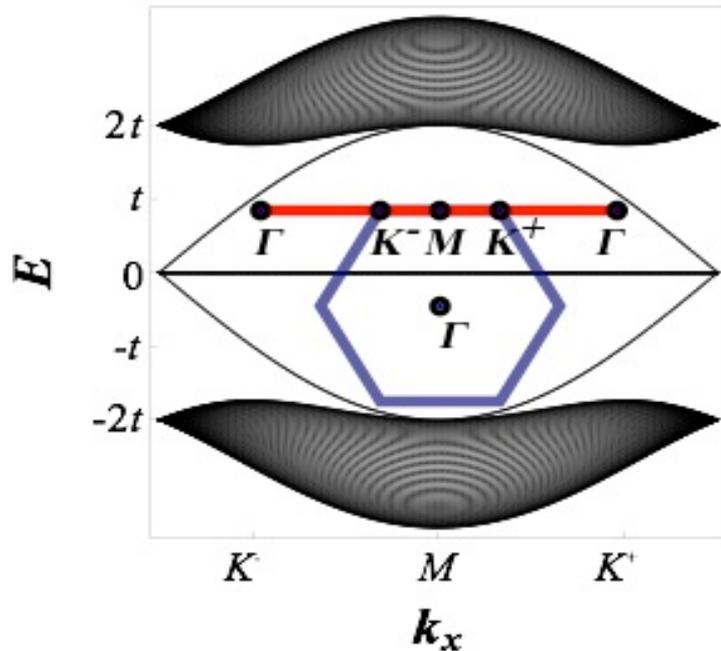


# Topological Phases?

A. Petrescu,  
A. Houck &  
KLH,  
arXiv:1206.1539



$\Phi = \pi/6$



Karplus-Luttinger,  
1954

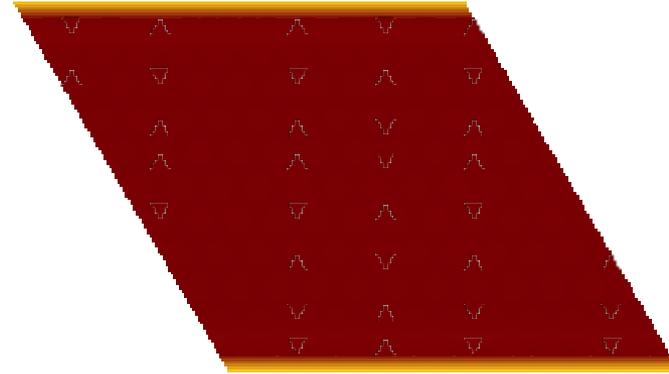
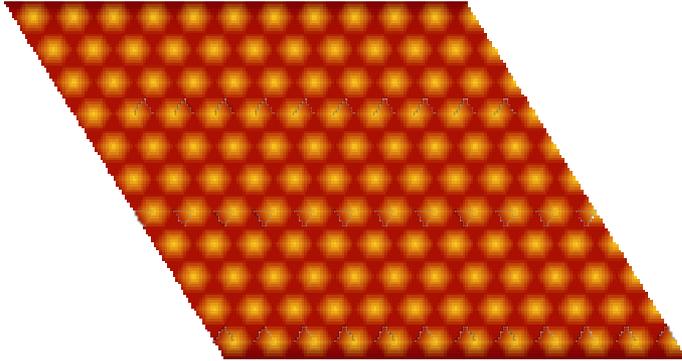
D. Haldane, 2004

See also  
D. Bergman  
& G. Refael, 2010

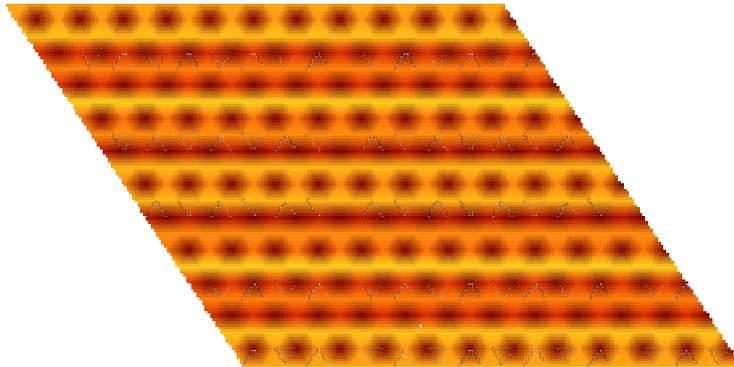
$\Phi = \pi/4$

# LDOS

$\Phi = \pi/6$

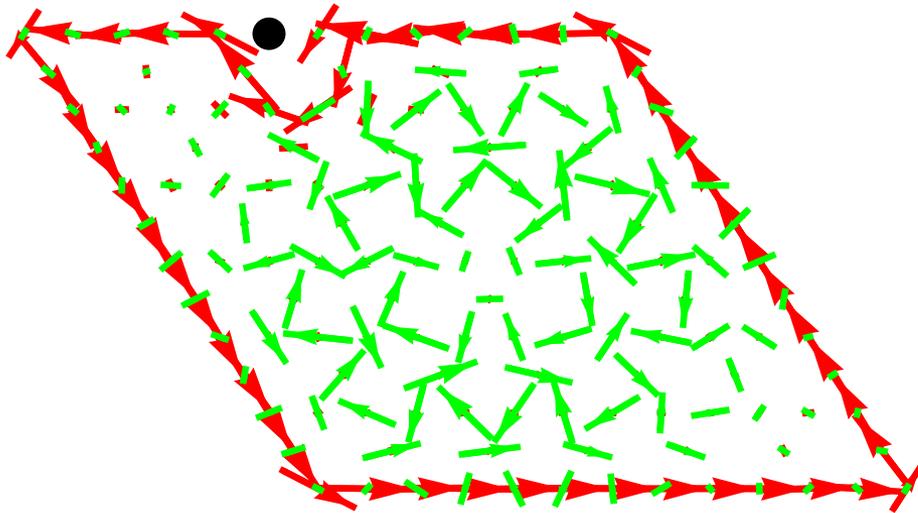


$\Phi = \pi/4$

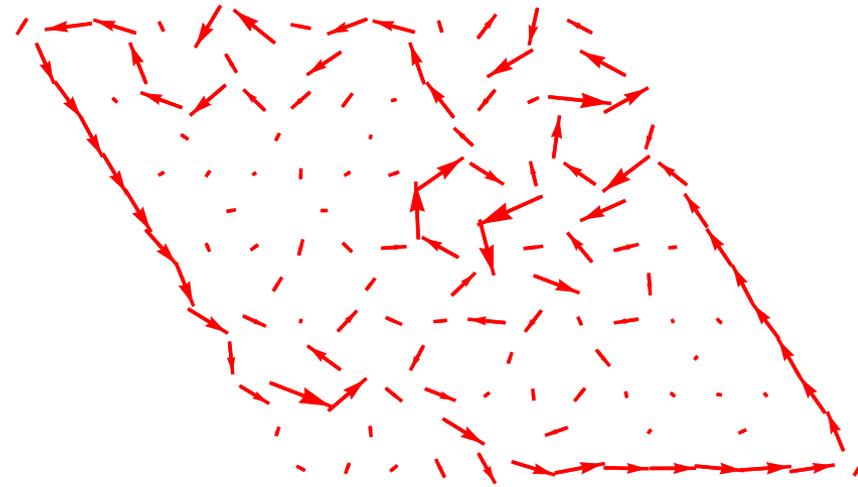


$\Phi = \pi/6$   
disorder

# Quantum versus Anomalous Hall Effect of Light...



Red: situation at  $\Phi = \pi/6$   
Green: situation at  $\Phi = \pi/4$



situation at  $\Phi = \pi/6$   
disordered case

Chern number **non-quantized** for AHE and measurable...  
Synthetic B-field: Loops in k space and interference experiment  
See also related idea by D. Price and N. Cooper, PRA 2012

# Kagome lattice: why interesting...

**Flat band** (search for ferromagnetism)

A. Mielke; H. Tasaki; E. Lieb

**Exotic Topological Phases:**

H. M. Guo & M. Franz, PRB 2009

E. Tang, J.-W. Mei, X.-G. Wen, PRL 2011

N. Regnault and A. Bernevig, PRB 2012,...

**Spin liquid search, classical degeneracies**

Experimentally relevant: 2D Materials (Orsay; Princeton;...)

Cold atoms: Berkeley; see D. Stamper-Kurn group, 2011

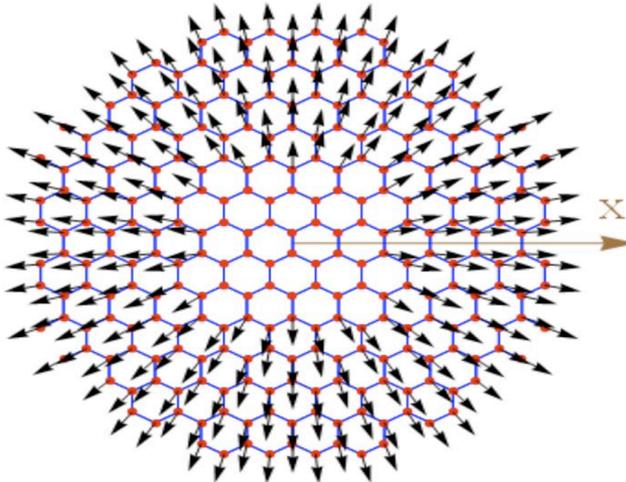
L. Balents, Nature 464, 199 (2010)

S. Yang, D. Huse and S. White, Science (2011)

# Summary: New Mysteries on the Honeycomb Lattice

- Mott & spin-orbit coupling; spin liquid & d+id SC
- Experimental effort: Iridates and Artificial Lattices
- Majoranas (J. Meyer; next talk)

4 Majorana fermions  
s-wave superconductor:  
attractive on-site interactions  
D. Bergman & KLH, PRB 2009  
P. Ghaemi & F. Wilczek



$\frac{1}{4}$  of SC graphene  
(topological insulators coupled to  
s-wave SCs: Fu-Kane or 1D wires)

