

Effective theories of pseudo-Goldstone bosons and melting of the field-induced Wigner solid

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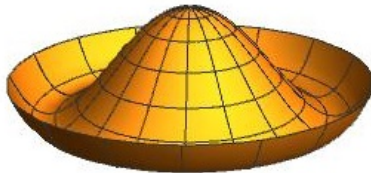


References:

- *'Hydrodynamic theory of quantum fluctuating superconductivity'* [[ARXIV:1602.08171](#)], PRB'17 with Richard Davison, Luca Delacrétaz and Sean Hartnoll
- With Luca Delacrétaz, Sean Hartnoll and Anna Karlsson
 - *'Bad Metals from Density Waves'* [[ARXIV: 1612.04381](#)], Scipost'17,
 - *'Theory of hydrodynamic transport in fluctuating electronic charge density wave states'* [[ARXIV:1702.05104](#)], PRB'17,
 - *'Theory of the collective magnetophonon resonance and melting of the field-induced Wigner solid'* [[ARXIV:1904.04872](#)], PRB'19.
- See also 'Theory of the supercyclotron resonance and Hall response in anomalous 2d metals', Luca V. Delacrétaz and Sean A. Hartnoll, [[ARXIV1803.01116](#)], PRB'18.

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- **Spontaneous breaking** of a continuous symmetry leads to the appearance of **extra gapless degrees of freedom**, the Nambu-Goldstone bosons.
- Superfluid: spontaneous breaking of a $U(1)$ symmetry.
Order parameter: complex scalar. Mexican hat potential.
The vev of the condensate is given by the modulus, the NGB by its phase.



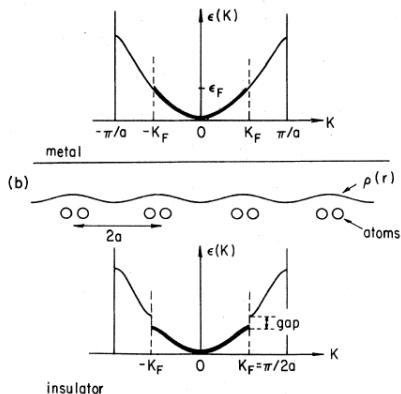
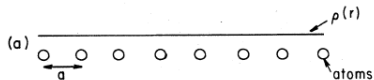
- At weak coupling, a CDW develops due to a electron-(lattice) phonon interactions which gaps out the Fermi surface [PEIERLS'55].

- Formation of a **collective electron-hole mode** at $k = 2k_F$.

- Order parameter: **complex scalar**

$$\rho(\vec{x}) = \rho_0 + \rho_1 \cos\left(2\vec{k}_F \cdot \vec{x} + \phi\right)$$

ϕ : CDW sliding mode, NGB.



[GRÜNER'88]

2d Wigner crystals: all translations are broken, longitudinal and transverse phonon $\lambda_{\parallel} = \nabla \cdot \phi$, $\lambda_{\perp} = \nabla \times \phi$, ϕ_i , $i = \{x, y\}$.

- An important property of Goldstones is that they are **shift-symmetric**: they realize non-linearly the broken symmetry. More concretely, take broken translations along x

$$x \rightarrow x + c \quad \Rightarrow \quad \phi \rightarrow \phi + c$$

- Shift symmetry: **only gradient terms** in the effective IR action:

$$f \sim \frac{1}{2} \rho_\phi \nabla \phi^2 + \dots$$

- ρ_ϕ is the 'stiffness' of the order parameter:
 Superfluids, $\rho_\phi = \rho_s$ the superfluid density;
 CDW, ρ_ϕ : CDW modulus.
 2d Wigner crystal, ρ_ϕ : bulk K and shear G moduli.

- Low energy effective field theory constructed based on symmetry principles. Describes late time, long wavelength dynamics:
 $\omega \ll 1/\tau_{eq}$ **extreme dominance of interactions.**
- Basic ingredients:
 - Write down **conservation equations**
 - Give **constitutive relations** to conserved currents in a gradient expansion.
- Compute retarded Green's functions using [KADANOFF & MARTIN'63]
- Example: charge diffusion

$$\partial_t \rho + \nabla \cdot j = 0, \quad = -\sigma_o \nabla \mu + O(\nabla^2), \quad \rho_0(k) = \chi \mu_0(k)$$

$$\langle \rho(\omega, k) \rangle = \frac{\rho_0(k)}{-i\omega + \sigma_o \chi k^2} = \frac{\chi \mu_0(k)}{-i\omega + Dk^2}$$

$$G_{\rho\rho}^R(\omega, k) - G_{\rho\rho}^R(\omega = 0, k) = -i\omega \frac{\langle \rho(\omega, k) \rangle}{\mu_0(k)} \Rightarrow G_{\rho\rho}^R(\omega, k) = \frac{\chi Dk^2}{-i\omega + Dk^2}$$

- If Q is the charge that generates the symmetry, then

$$[\phi(x), Q(y)] = i\delta(x - y) + \dots$$

- The effective Hamiltonian contains a term

$$H \sim \int d^d x s_Q(x) Q(x)$$

which leads to the '**Josephson**' equation

$$\nabla \dot{\phi} \equiv \partial_t \nabla \phi = [H, \nabla \phi] = \nabla s_Q$$

- Superfluids: $Q = \rho$ (U(1) charge) and $s_Q = -\mu$ (chemical potential);
- Translationally-ordered phases: $Q = \pi$ (momentum) and $s_Q = v$ (velocity) along the direction with broken symmetry.

Conservation of Q + Josephson equation for ϕ :

- new sound modes with velocity $v_s^2 \sim \rho_\phi$ (superfluid sound = NGB + U(1), shear sound = NGB + π_\perp)
- enhances existing sound velocity + new diffusive mode (λ_\parallel)

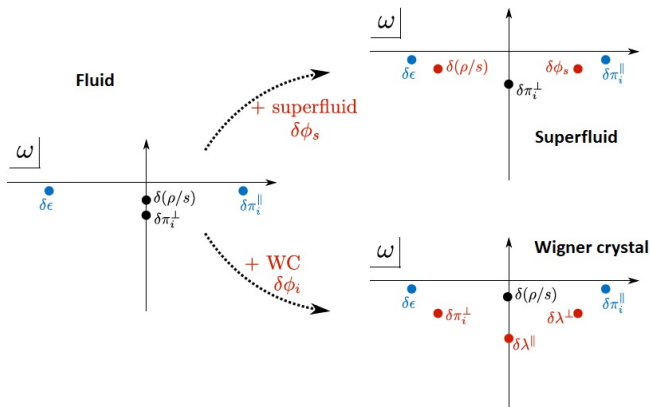


Figure: Credit: Luca V. Delacrétaz

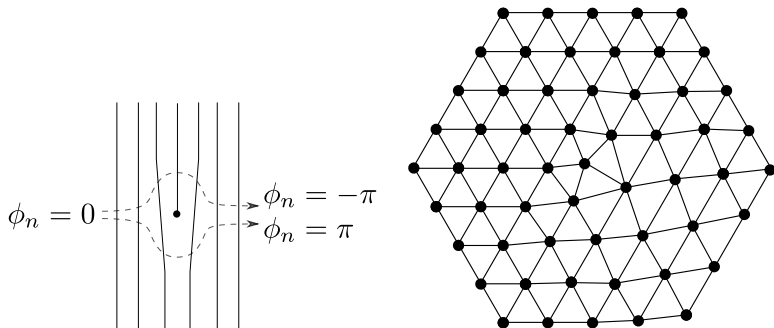
The new sound poles (propagating modes) give rise to $\omega = 0$ poles in the 'conductivity' of the current associated to the broken density

$$\text{superfluid: } \sigma_{jj} = \frac{i}{\omega} G_{jj}^R = \sigma_o + \frac{\rho_n^2}{\chi_{\pi\pi}} \frac{i}{\omega} + \frac{\rho_s}{\mu} \frac{i}{\omega}$$

$$\text{2d WC: } \sigma_{xy} = \frac{i}{\omega} G_{\tau^{xy}\tau^{xy}}^R = \eta + G \frac{i}{\omega}$$

Reflects that the new dofs are **gapless**.

- There are obstructions to the existence of true long range order for continuous symmetries in $d \leq 2$ [COLEMAN-MERMIN-WAGNER] ($d \leq 4$ in the presence of random couplings, [IMRY & MA'75]).
- The destruction of long range order occurs via the **proliferation of topological defects**, [BEREZENSKI-KOSTERLITZ-THOULESS]:

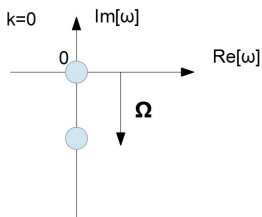


- Concretely, the defects **relax the phase gradients**

$$\nabla \dot{\phi} = \nabla \mu - \Omega \nabla \phi$$

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The Goldstone relaxation rate **gaps out** the $\omega = 0$ poles discussed above, $\omega = -i\Omega + \dots$



- This gives to **large diffusivities**

superfluid:
$$\sigma_{jj} = \frac{i}{\omega} G_{jj}^R = \sigma_o + \frac{\rho_n^2}{\chi_{\pi\pi}} \frac{i}{\omega} + \frac{\rho_s}{\mu} \frac{1}{\Omega - i\omega} \Rightarrow D \sim \sigma_o + \frac{\rho_s}{\mu\Omega}$$

2d WC:
$$\sigma_{xy} = \frac{i}{\omega} G_{\tau^{xy}\tau^{xy}}^R = \eta + \frac{G}{\Omega_{\perp} - i\omega} \Rightarrow D \sim \eta + \frac{G}{\Omega_{\perp}}$$

- Important phenomenological consequences: **destruction of superconductivity** in two-dimensional films, **melting of Abrikosov lattices** in a magnetic field.
- Occurs because the Goldstones become **shorter and shorter lived** as Ω increases: gradual loss of phase coherence.

- These Goldstone relaxation rates can be computed from the following Kubo formula:

$$\Omega = \lim_{\omega \rightarrow 0} \lim_{\Omega \rightarrow 0} \frac{1}{\omega} \text{Im} G_{j_\phi j_\phi}^R(\omega, k=0), \quad J_\phi = \int_{T^2/\{d.c.\}} \nabla \phi$$

- Crucial technical crutch: consider a Hamiltonian deformation involving the square of the density.

$$\Delta H = \frac{1}{2\chi} \int dx Q(x)^2 \Rightarrow$$

$$j_\phi = \partial_t J_\phi + i[\Delta H, J_\phi] = -\frac{2}{\chi} \int_{T^2/\{d.c.\}} \nabla Q = \frac{2}{\chi} \int_{\{d.c.\}} \nabla Q$$

$$\Omega = \frac{4}{\chi^2} \lim_{\omega \rightarrow 0} \frac{1}{\omega} \int_{\{d.c.\}} dx \int_{\{d.c.\}} dy \nabla_x \nabla_y \text{Im} G_{QQ}^R(\omega; x-y),$$

Assumption: Large enough cores that the hydro expression for G_{QQ}^R can be used. Assume that Q diffuses inside cores

$$G_{QQ}^R = -\frac{\chi D k^2}{i\omega - Dk^2}$$

- Disordered superfluid: recovers flux-flow resistance [BARDEEN & STEPHENS'65]

$$\Omega = 2\rho_s \frac{n_f \pi r_v^2}{\sigma_n}$$

- Clean 2d WC: [HALPERIN & NELSON'80]

$$\Omega = 2G \frac{n_f \pi r_v^2}{\eta_n}$$

- Ω is controlled by the 'conductivity' of the unbroken phase inside the cores.

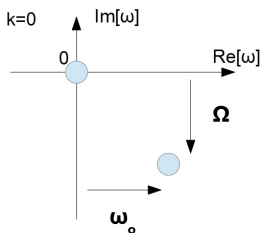
- Spacetime symmetries can be explicitly broken: focus on the case of broken translations.
- Impact on the Goldstones: 'tilts the Mexican potential', the Goldstones become **massive**, which breaks their shift symmetry

$$f \sim \frac{1}{2} \rho_\phi \nabla \phi^2 + \dots \rightarrow f \sim \frac{1}{2} \rho_\phi \nabla \phi^2 + \frac{1}{2} m^2 \phi^2 + \dots$$

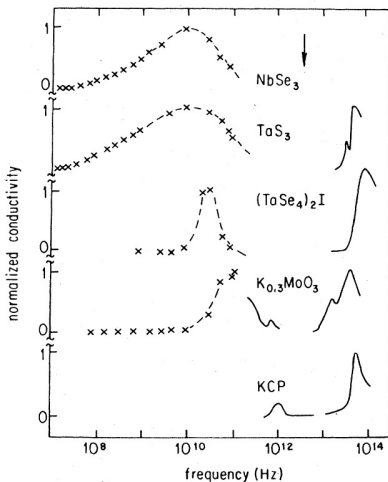
The Goldstones now resonate at a **pinning frequency** $\omega_o = m \sqrt{(G/\chi_{\pi\pi})}$.

Should also expect that the Goldstone are **damped** at a rate Ω (distinct from the contribution from defects).

Combined, these effects **gap the sound modes**.



If $\omega_0 \ll 1/\tau_{eq}$, the pinned Goldstones remain **light** and must be kept in the EFT: **pinning peak** in eg ac conductivity:



[GRUENER'88]

- In real materials, if disorder is too strong, ω_o does not remain in the IR and no collective peak is observed.
- Strong magnetic fields offer a way to bring the peak back to the IR.
- Application to the Wigner solid phase of 2d electron systems (GaAs/GaAlAs heterostructures). Vicinity to Quantum Hall phases.

- Now turn on a magnetic field: the longitudinal and transverse sound modes hybridize into (gapless) **magnetophonons** and gapped **magnetoplasmons** $\omega \sim \omega_c \sim B$ [FUKUYAMA & LEE'78].
- Upon turning on disorder, the magnetophonons are pinned at $\omega_{pk} \equiv \omega_o^2/\omega_c \sim O(1/B)$: within hydrodynamics at large magnetic fields, **even for strong disorder**.
- So if $\omega_{pk} \ll 1/\tau_{eq} \ll \omega_c$, **hydro theory of the magnetophonon alone**.
- Write down a similar hydrodynamic theory as before: conservation of charge, Josephson equation for magnetophonon, constitutive relations, solve and get conductivity.
- Also positivity of entropy production bound.
- Compute the relaxation rate due to mobile defects.
- **new**: relaxation due to dissipation into currents.

- Magnetic translations in a transverse B field

$$\sqrt{\rho B} \mathcal{P}_i = P_i + \int d^2x \rho A_i, \quad \vec{A} = (-By, 0).$$

$$\Rightarrow [\mathcal{P}_i, \mathcal{P}_j] = -iRB\epsilon_{ij}$$

- We want to **break magnetic translations**. When broken generators do not commute, reduction on the number of expected Goldstones [WATANABE & MURUYAMA'12].
- Under **magnetic translations**, Goldstones $\varphi_i \rightarrow \varphi_i + \delta x_i$. Leads to

$$\mathcal{L} = \epsilon^{ij} \varphi_i \dot{\varphi}_j$$

Upon quantizing

$$[\varphi_i(x), \varphi_j(y)] = -i\epsilon_{ij}\delta(x - y)$$

The Goldstones are **not** independent fields!

- From Noether, conserved densities are $\pi^i \sim \epsilon^{ij} \varphi_j$, which leads to the magnetic translation algebra.

- Extend the Lagrangian to include pinning and spatial gradients

$$\mathcal{L} = \epsilon^{ij} \varphi_i \dot{\varphi}_j - \varphi_j [\delta^{ij} \omega_{pk} + (Kk^i k^j + Gk^2 \delta^{ij}) + \dots] \varphi_j$$

- Leads to the modes [FUKUYAMA & LEE'78]

$$\omega(k) = \pm \sqrt{(\omega_{pk} + Gk^2)(\omega_{pk} + (K + G)k^2)}$$

$$\begin{cases} \omega_{pk} = 0 & \Rightarrow \omega(k) = \pm k^2 \\ k = 0 & \Rightarrow \omega = \pm \omega_{pk} \end{cases}$$

- Enter relaxation

$$\begin{pmatrix} j^i \\ \varphi^i \end{pmatrix} = \begin{pmatrix} \sigma_o^{ij} & \gamma^{ij} \\ \gamma^{ij} & \Omega^{ij}/\omega_{pk} \end{pmatrix} \begin{pmatrix} E_j \\ s_j - \omega_{pk}\varphi_j \end{pmatrix}$$

$$\sigma_o^{ij} = \sigma_o \delta^{ij} + \sigma_o^H \epsilon^{ij}, \gamma^{ij} = \gamma \delta^{ij} + \sqrt{\nu} \epsilon^{ij}, \Omega^{ij} = \Omega \delta^{ij} + \omega_{pk} \epsilon^{ij}$$

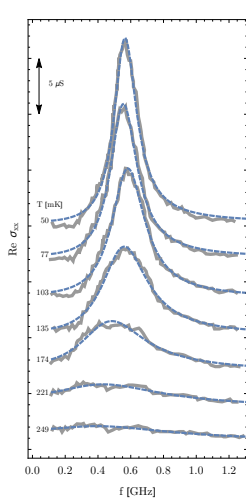
- Conductivity

$$\sigma_{xx}(\omega) = \sigma_o + \nu \omega_{pk} \frac{(1 - a^2)(-i\omega + \Omega) - 2a\omega_{pk}}{(-i\omega + \Omega)^2 + \omega_{pk}^2} \quad \nu = \frac{\rho}{B}.$$

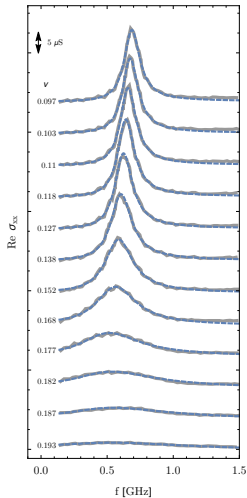
- **new:** $a \equiv \gamma/\sqrt{\nu}$ asymmetry parameter.
- Positivity of entropy production:

$$\gamma^2 \leq \frac{\sigma_o \Omega}{\omega_{pk}}$$

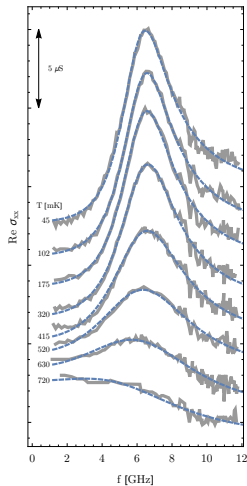
Fit to data on GaAs heterojunctions (2DEG) [YP CHEN ET AL, NATURE PHYSICS'06],
[YP CHEN ET AL, INTERNATIONAL JOURNAL OF MODERN PHYSICS B'07], [YP CHEN, PHD THESIS'05]



Sample A
Thermal melting

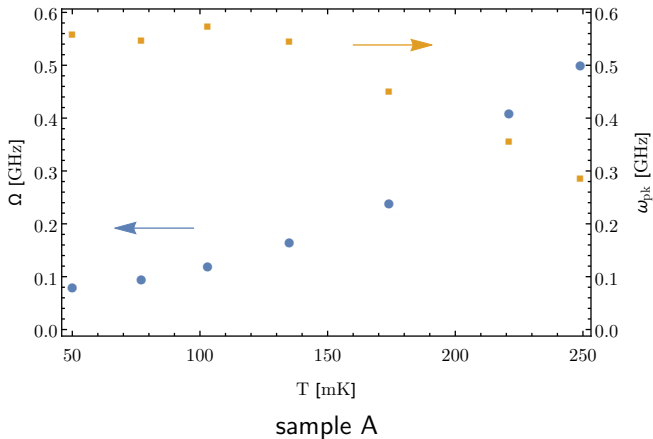


Sample B
Quantum melting

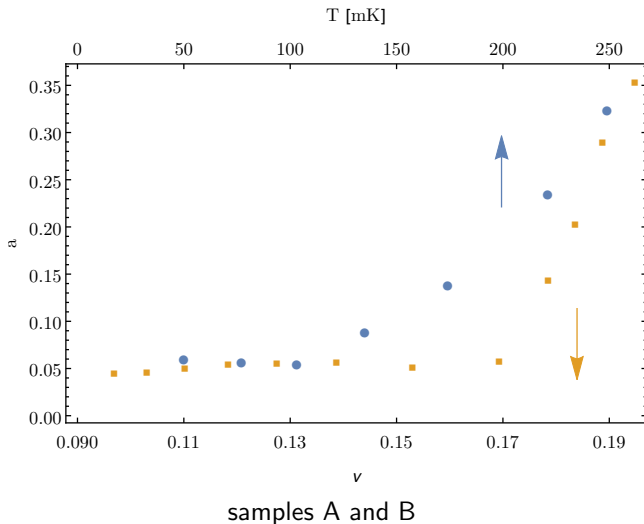


Sample C
Thermal melting
(more disordered)

Ω increases as melting is approached: **shorter-lived magnetophonon.**



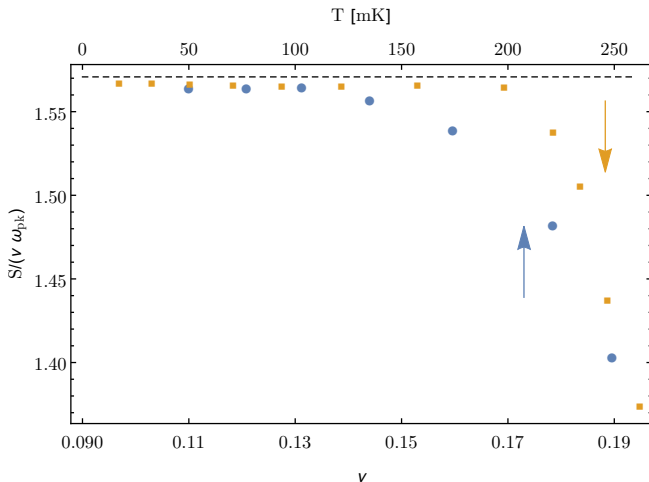
The fits require a **nonzero asymmetry parameter** $a \neq 0$:



$a \neq 0$ leads to a **violation of the Fukuyama-Lee sum rule**

$$S = \frac{\pi}{2}(1 - a^2)\nu\omega_{pk}$$

Consistent with previous violations reported in [YP CHEN ET AL PRL'03].



- Compute relaxation parameters? Use Kubo formulas

$$\Omega = \omega_{\text{pk}} \lim_{\omega \rightarrow 0} \lim_{\Omega, \gamma \rightarrow 0} \frac{1}{\omega} \text{Im} G_{\dot{\varphi}_x \dot{\varphi}_x}^R(\omega),$$

$$\gamma = \lim_{\omega \rightarrow 0} \lim_{\Omega, \gamma \rightarrow 0} \frac{1}{\omega} \text{Im} G_{j_x \dot{\varphi}_x}^R(\omega),$$

- Now need to compute $\dot{\varphi}$.

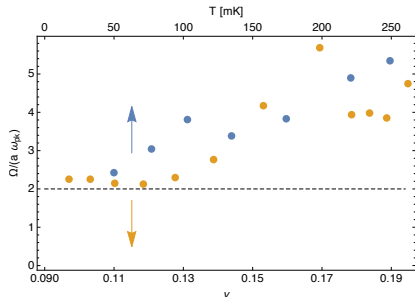
- **Mobile dislocations**

$$\Omega_{\text{vor}} = \frac{2x}{\sigma_n} \nu \omega_{\text{pk}}, \quad \gamma_{\text{vor}} = x \sqrt{\nu} \frac{\sigma_n^H}{\sigma_n} \quad \Rightarrow \quad \frac{\Omega}{a\omega_{\text{pk}}} = 2.$$

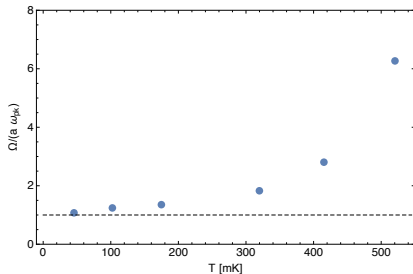
- **Relaxation into current** $H_{\text{dis}} = \frac{1}{\sqrt{\nu}} \int d^2x \epsilon_{ij} \varphi_i(x) j_j(x).$

$$\Omega_{\text{dis}} = \frac{\omega_{\text{pk}} \sigma_0}{\nu}, \quad \gamma_{\text{dis}} = \frac{\sigma_0}{\sqrt{\nu}} \quad \Rightarrow \quad \frac{\Omega}{a\omega_{\text{pk}}} = 1.$$

Different microscopic relaxation mechanisms appear to be at play in the different samples:



Samples A and B
mobile dislocations



Sample C (more disordered)
**universal dissipation
into hydrodynamic currents**

- I have described how to construct EFTs of pseudo-Goldstones (weak explicit symmetry breaking): applications to superfluids, CDWs, 2d Wigner crystals.
- Hydrodynamic theory of the magnetophonon: quantitatively accounts for experimental data on 2DEG.
- Distinct relaxation mechanisms observed in data: mobile defects or universal relaxation into currents.
- At zero field, detailed checks of the hydrodynamic theory of relaxed density waves using Gauge/Gravity duality.
- Similar universal relaxation into currents observed in [\[ARXIV:1812.08118\]](#), [\[ARXIV:1904.11445\]](#) with Andrea Amoretti, Daniel Areán and Daniele Musso.