

# Effective theories of phases with slowly fluctuating broken symmetries

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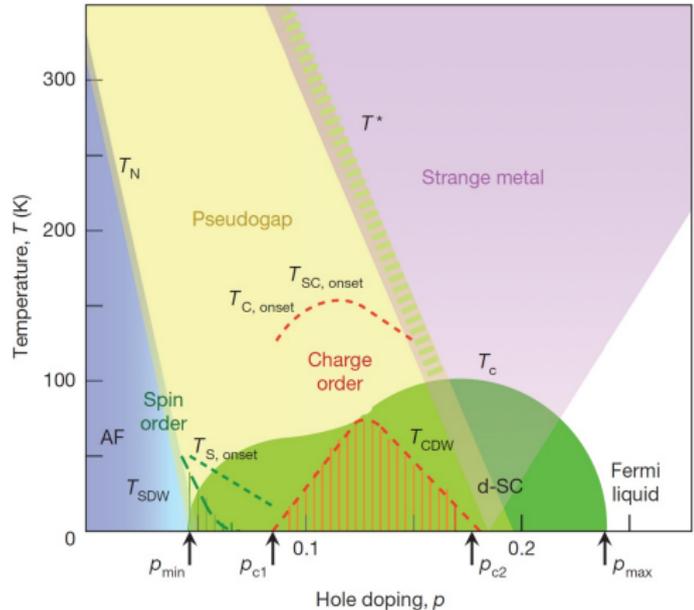
Bringing holography to the lab workshop,  
Lorentz center, Leiden, The Netherlands



## Acknowledgments and references:

- *'Hydrodynamic theory of quantum fluctuating superconductivity'* [[ARXIV:1602.08171](#)] with Richard Davison, Luca Delacrétaz and Sean Hartnoll
- *'Bad Metals from Density Waves'* [[ARXIV: 1612.04381](#)], *'Theory of hydrodynamic transport in fluctuating electronic charge density wave states'* [[ARXIV:1702.05104](#)] and ongoing work on magnetotransport with Luca Delacrétaz, Sean Hartnoll and Anna Karlsson.
- *'DC resistivity of quantum critical, charge density wave states from gauge-gravity duality'* [[ARXIV:1712.07994](#)], *'Effective holographic theory of charge density waves'* [[ARXIV:1711.06610](#)] and *'A holographic strange metal with slowly fluctuating translational order'* [[ARXIV:1812.08118](#)] with Andrea Amoretti, Daniel Areán, Daniele Musso.

- The phase diagram of high  $T_c$  superconductors reveals the presence of many phases with spontaneously broken symmetries.



[KEIMER ET AL, NATURE (2015)]

- It is plausible that these phases exist also as fluctuating phases in other parts of the phase diagram. What are their experimental signatures in transport?
- Interplay between fluctuating, broken symmetry phases and quantum criticality.

- The low energy dynamics of the ordered phase differ from those of the disordered phase by the necessity to include **new gapless degrees of freedom** (the Goldstones): eg fluid hydrodynamics vs superfluid hydrodynamics.
- An important property of Goldstones is that they are **shift-symmetric**: they realize non-linearly the broken symmetry. More concretely, take broken translations along  $x$

$$x \rightarrow x + c \quad \Rightarrow \quad \phi \rightarrow \phi + c$$

- Shift symmetry: **only gradient terms** in the effective IR action:

$$f \sim \frac{1}{2} \rho_\phi \nabla \phi^2 + \dots$$

- $\rho_\phi$  is the 'stiffness' of the order parameter: in superfluids,  $\rho_\phi = \rho_s$  the superfluid density; in phases with translational order,  $\rho_\phi$  will be related to the the bulk and shear moduli.

- If  $Q$  is the charge that generates the symmetry, then

$$[\phi(x), Q(y)] = i\delta(x - y) + \dots$$

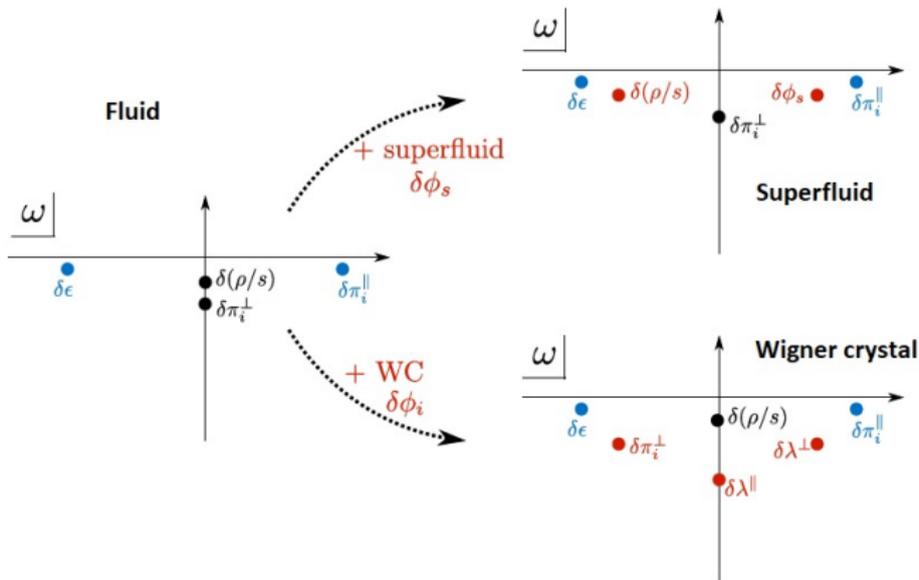
- The effective Hamiltonian contains a term

$$H \sim \int d^d x \mu(x) Q(x)$$

which leads to the 'Josephon' relation

$$\nabla \dot{\phi} \equiv \partial_t \nabla \phi = [H, \nabla \phi] = \nabla \mu$$

- Examples: in superfluids,  $Q$  is the U(1) charge density and  $\mu$  the chemical potential; in translationally-ordered phases,  $Q$  is the momentum density and  $\mu$  the velocity along the direction with broken symmetry.

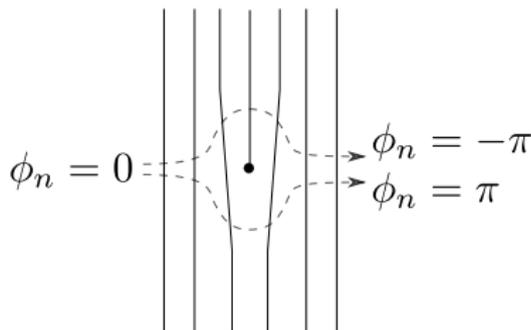


The new sound poles (propagating modes) give rise to  $\omega = 0$  poles in the 'conductivity' of the current associated to the broken density

$$\text{superfluid: } \sigma_{jj} = \frac{i}{\omega} G_{jj}^R = \sigma_o + \frac{\rho_n^2}{\chi_{\pi\pi}} \frac{i}{\omega} + \frac{\rho_s}{\mu} \frac{i}{\omega}$$

$$\text{cdw: } \sigma_{xy} = \frac{i}{\omega} G_{\tau^{xy}\tau^{xy}}^R = \eta + G \frac{i}{\omega}$$

- There are obstructions to the existence of true long range order for continuous symmetries in  $d \leq 2$  [COLEMAN-MERMIN-WGNER] ( $d \leq 4$  in the presence of random couplings, [IMRY & MA'75]).
- The destruction of long range order occurs via the **proliferation of topological defects**, [BEREZENSKI-KOSTERLITZ-THOULESS]:

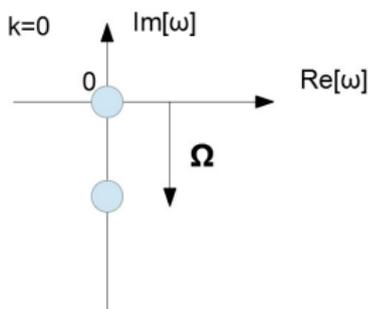


- Concretely, the defects **relax the phase gradients**

$$\nabla \dot{\phi} = \nabla \mu - \Omega \nabla \phi$$

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The Goldstone relaxation rate **gaps out** the  $\omega = 0$  poles discussed above,  $\omega = -i\Omega + \dots$



- This gives to **large diffusivities**

superfluid: 
$$\sigma_{jj} = \frac{i}{\omega} G_{jj}^R = \sigma_o + \frac{\rho_n^2}{\chi_{\pi\pi}} \frac{i}{\omega} + \frac{\rho_s}{\mu} \frac{1}{\Omega - i\omega} \Rightarrow D \sim \sigma_o + \frac{\rho_s}{\mu\Omega}$$

cdw: 
$$\sigma_{xy} = \frac{i}{\omega} G_{\tau^{xy}\tau^{xy}}^R = \eta + \frac{G}{\Omega - i\omega} \Rightarrow D \sim \eta + \frac{G}{\Omega}$$

- Important phenomenological consequences: **destruction of superconductivity** in two-dimensional films, **melting of Abrikosov lattices** in a magnetic field.
- Occurs because the Goldstones become **shorter and shorter lived** as  $\Omega$  increases: gradual loss of phase coherence.

- These Goldstone relaxation rates can be computed using the **memory matrix formalism**. Crucial technical crutch: consider a Hamiltonian deformation involving the square of the density.

- Disordered superfluid: recovers flux-flow resistance [BARDEEN & STEPHENS'65]

$$\Omega = 2\rho_s \frac{n_f \pi r_v^2}{\sigma_n}$$

- Clean CDW: [HALPERIN & NELSON'80]

$$\Omega = 2G \frac{n_f \pi r_v^2}{\eta_n}$$

- Can these rates be computed in a holographic setup? Motivation: eg vortex contribution to the resistivity in a critical metallic state.

- Spacetime symmetries can be explicitly broken: focus on the case of broken translations. Momentum **relaxes slowly**

$$\dot{\pi} = -\Gamma\pi + \dots$$

- Impact on the Goldstones: 'tilts the Mexican potential', the Goldstones become **massive**, which breaks their shift symmetry

$$f \sim \frac{1}{2}\rho_\phi \nabla\phi^2 + \dots \rightarrow f \sim \frac{1}{2}\rho_\phi \nabla\phi^2 + \frac{1}{2}m^2\phi^2 + \dots$$

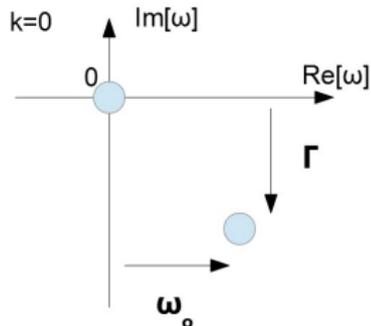
Also contributes to the momentum conservation equation

$$\dot{\pi} = -\Gamma\pi - Gm^2\phi \dots$$

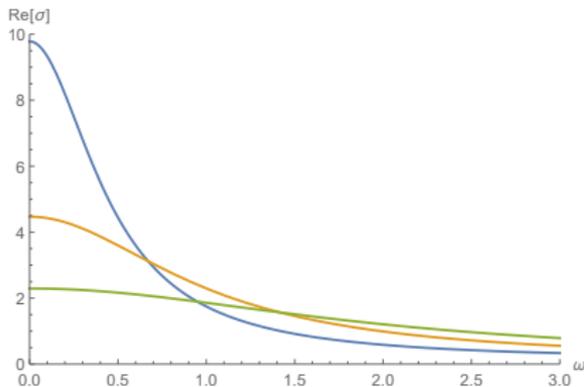
The Goldstones now **resonate at a frequency**

$$\omega_o = m\sqrt{(G/\chi_{\pi\pi})}.$$

A peek ahead: shouldn't we also expect that  $m \neq 0 \Rightarrow \Omega \neq 0$ ? I.e., explicit breaking also gives the Goldstones a finite lifetime.



## Weakly-disordered metal

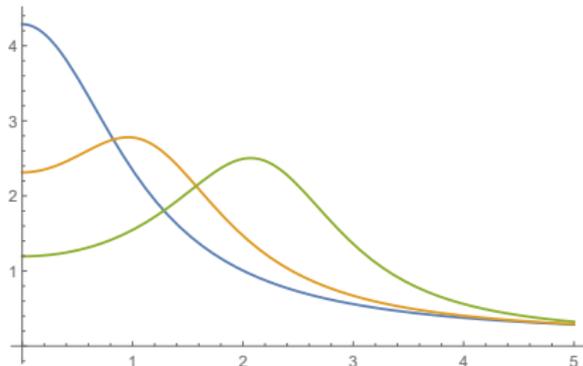


$$\sigma(\omega) = \sigma_o + \frac{\omega_p^2}{\Gamma - i\omega}$$

$$\sigma_{dc} \sim \frac{1}{\Gamma}$$

The dc conductivity is dominated by **momentum relaxation**

## Weakly-pinned CDW

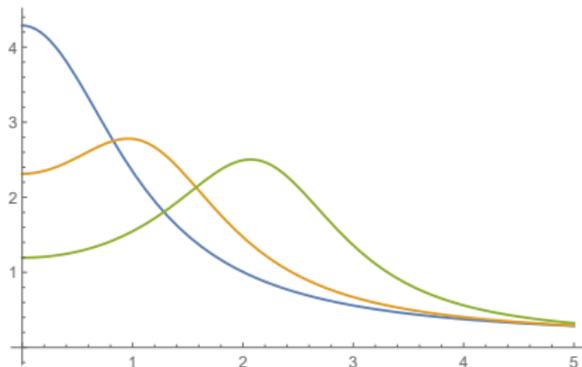


$$\sigma(\omega) = \sigma_o + \omega_p^2 \frac{\Omega - i\omega}{(\Omega - i\omega)(\Gamma - i\omega) + \omega_o^2}$$

$$\sigma_{dc} = \sigma_o + \frac{\omega_p^2}{\Gamma_{cdw}}, \quad \Gamma_{cdw} = \Gamma + \frac{\omega_o^2}{\Omega}$$

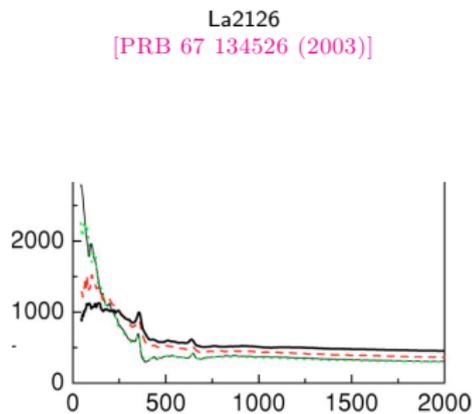
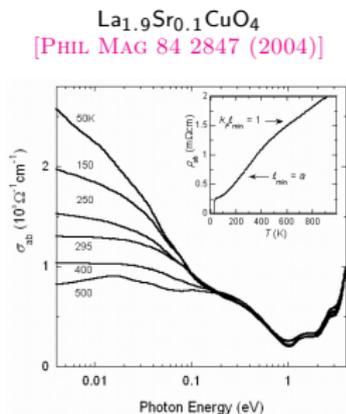
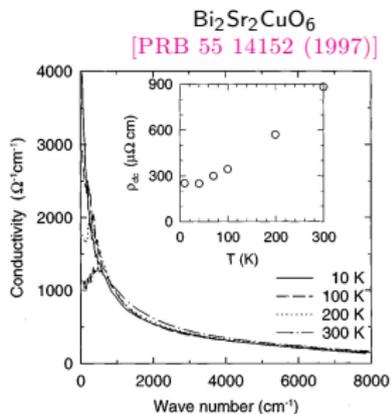
$$\sigma(\omega) = \sigma_o + \omega_p^2 \frac{\Omega - i\omega}{(\Omega - i\omega)(\Gamma - i\omega) + \omega_o^2}$$

$$\sigma_{dc} = \sigma_o + \frac{\omega_p^2}{\Gamma_{cdw}}, \quad \Gamma_{cdw} = \Gamma + \frac{\omega_o^2}{\Omega}$$

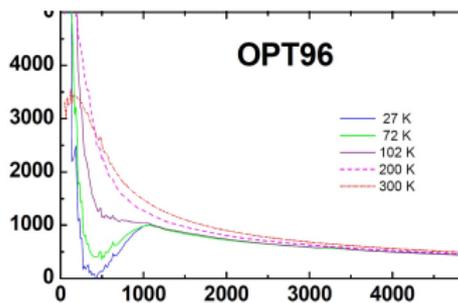


- Insulating phase:  $\Gamma$ ,  $1/\Omega$  increase as  $T$  decreases; the low temperature dc conductivity is dominated by **diffusive coefficients** computed in the clean theory.
- Metallic phase:  $\Gamma$ ,  $1/\Omega$  decrease as  $T$  decreases; the dc conductivity is set by  $\Gamma_{cdw}$  and is **large**.
- **A peak at  $\omega = 0$**  is recovered if  $\Omega$  becomes sufficiently large: 'destruction of translational order'.

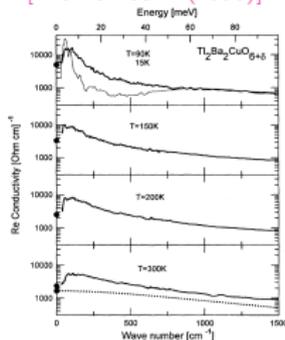
# Interplay between off-axis and Drude-like peaks also observed in cuprates:



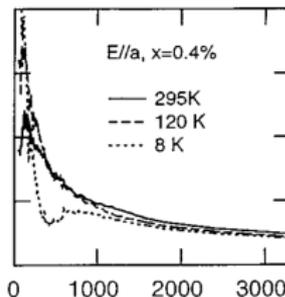
**Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>8+δ</sub>**  
[J. OF PHY: COND MAT 19 125208 (2007)]



**Tl<sub>2</sub>Ba<sub>2</sub>CuO<sub>6+δ</sub>**  
[PRB 51 3312 (1995)]

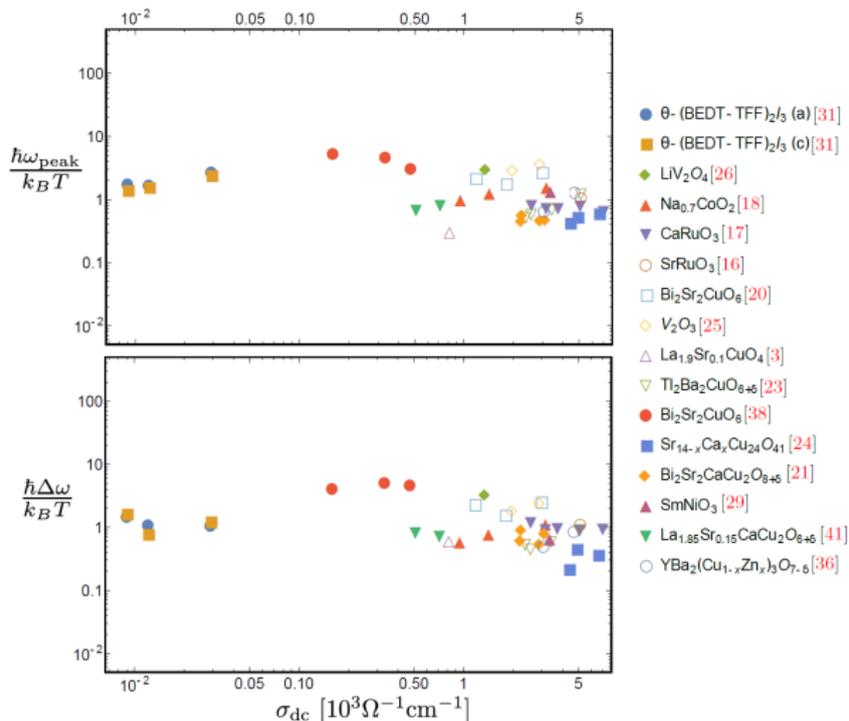


**YBa<sub>2</sub>(Cu<sub>1-x</sub>Zn<sub>x</sub>)<sub>3</sub>O<sub>7-δ</sub>**  
[PRB 57 081 (1998)]



# Planckian dynamics in the optical conductivity:

$$\hbar\omega_{\text{peak}} \sim k_B T, \quad \hbar\Delta\omega \sim k_B T,$$



I will now turn to holographic techniques to study this problem:

- Construct a model **breaking translations homogeneously**, easier to analyze than inhomogeneous models (but not suitable for 'UV' questions).
- Describes the **low energy dynamics of phonons coupled to conserved densities**: check of cdw hydrodynamics, including in the presence of weak explicit translation breaking.
- What happens when the phase becomes **critical**?
- Based on [[ARXIV:1711.06610](#), [ARXIV:1712.07994](#), [ARXIV:1812.08118](#)] with Andrea Amoretti, Daniel Areán and Daniele Musso.
- See also [[ANDRADE, KRIKUN ET AL, ARXIV:1708.08306](#)], [[ANDRADE & KRIKUN, ARXIV:1812.08132](#)], [[A. DONOS' TALK](#)] yesterday, [[ALBERTE ET AL', ARXIV:1708.08477, ARXIV:1711.03100](#)], [[JOKELA ET AL, ARXIV:1612.07323, ARXIV1708.07837](#)]

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} \partial\phi^2 - \frac{Z(\phi)}{4} F^2 - V(\phi) - Y(\phi) (\partial\psi_x^2 + \partial\psi_y^2) \right]$$

$$Y(\phi) = \phi^2 + O(\phi^3), \quad Z(\phi) = 1 + O(\phi), \quad V(\phi) = -6 + \phi^2 + O(\phi^3)$$

- Homogeneous Ansatz [ANDRADE & WITHERS'13, DONOS & GAUNTLETT'13]:

$$\psi_i = kx^i.$$

- UV boundary conditions on  $\phi$

$$\phi = \phi_s r + \phi_v r^2 + \dots$$

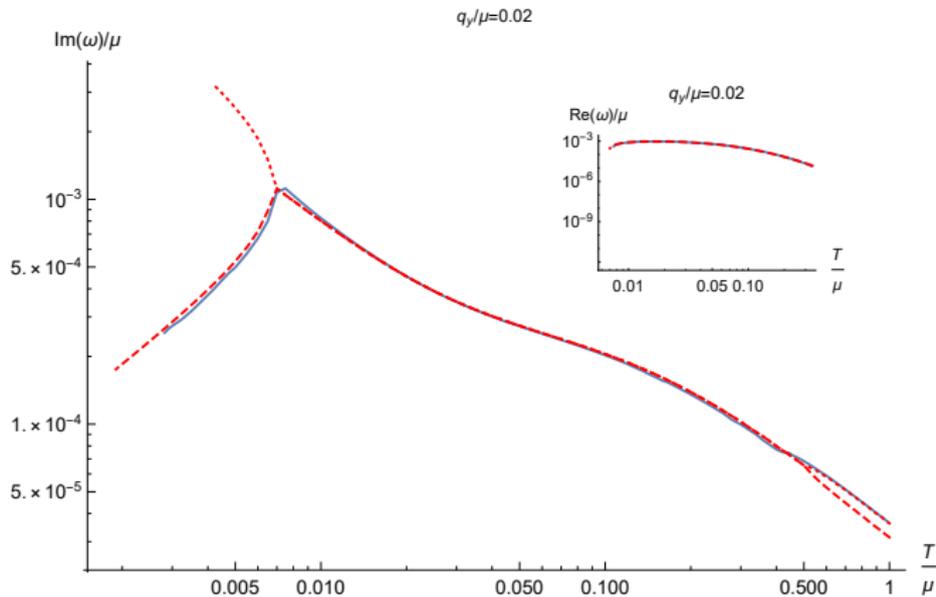
- If  $\phi_s = 0$ , then  $\psi_i = kx^i$  is a vev: **spontaneous breaking**.
- If  $\phi_s \neq 0$ , then  $\psi_i = kx^i$  is a source: **explicit breaking**.
- But if  $\phi_s/\mu \ll \phi_v/\mu^2$ , **pseudo-spontaneous breaking**.

Let us first set  $\phi_s = 0$ : **purely spontaneous breaking**

- The phase does not minimize the free energy: describes the low energy dynamics of phonons coupled to conserved densities, not the phase transition. We can choose  $k$ , but ultimately this would be fixed in a UV-complete model.
- The **phonon**: act with Lie derivative along  $\partial/\partial_x$ , find that  $\varphi \sim \delta\psi_{(0)}$  (normalization factor!) where  $\delta\psi_i = \delta\psi_{(-1)}/r + \delta\psi_{(0)} + O(r)$ .
- Recovers the **cdw hydro retarded Green's functions**:

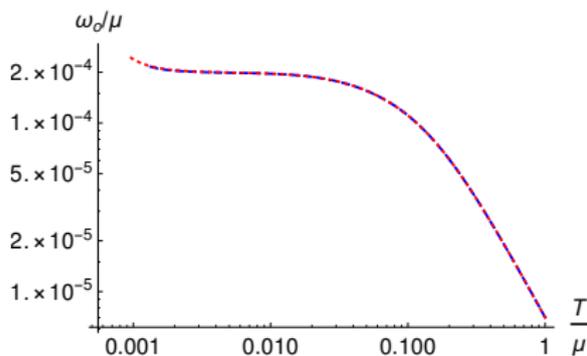
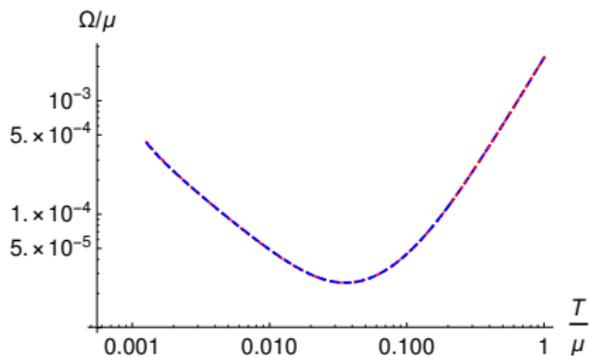
$$G_{\tau^{xy}\tau^{xy}}^R = -G + i\omega\eta, \quad G_{jj}^R = \frac{\rho^2}{\chi_{\pi\pi}} - i\omega\sigma_o, \quad G_{j\pi}^R = \rho,$$

$$G_{j\varphi}^R = \gamma_1 + \frac{\rho}{\chi_{\pi\pi}} \frac{i}{\omega}, \quad G_{\pi\varphi}^R = \frac{i}{\omega}, \quad G_{\varphi\varphi}^R = \frac{1}{\chi_{\pi\pi}\omega^2} - \frac{\xi}{G} \frac{i}{\omega}.$$



Solids support transverse sound waves (or do they?)

$$\omega_{shear} = \frac{1}{2} \left[ -iq_y^2 \left( \xi + \frac{\eta}{\chi_{\pi\pi}} \right) \pm q_y \sqrt{4 \frac{G}{\chi_{\pi\pi}} - q_y^2 \left( \frac{\eta}{\chi_{\pi\pi}} - \xi \right)^2} \right].$$

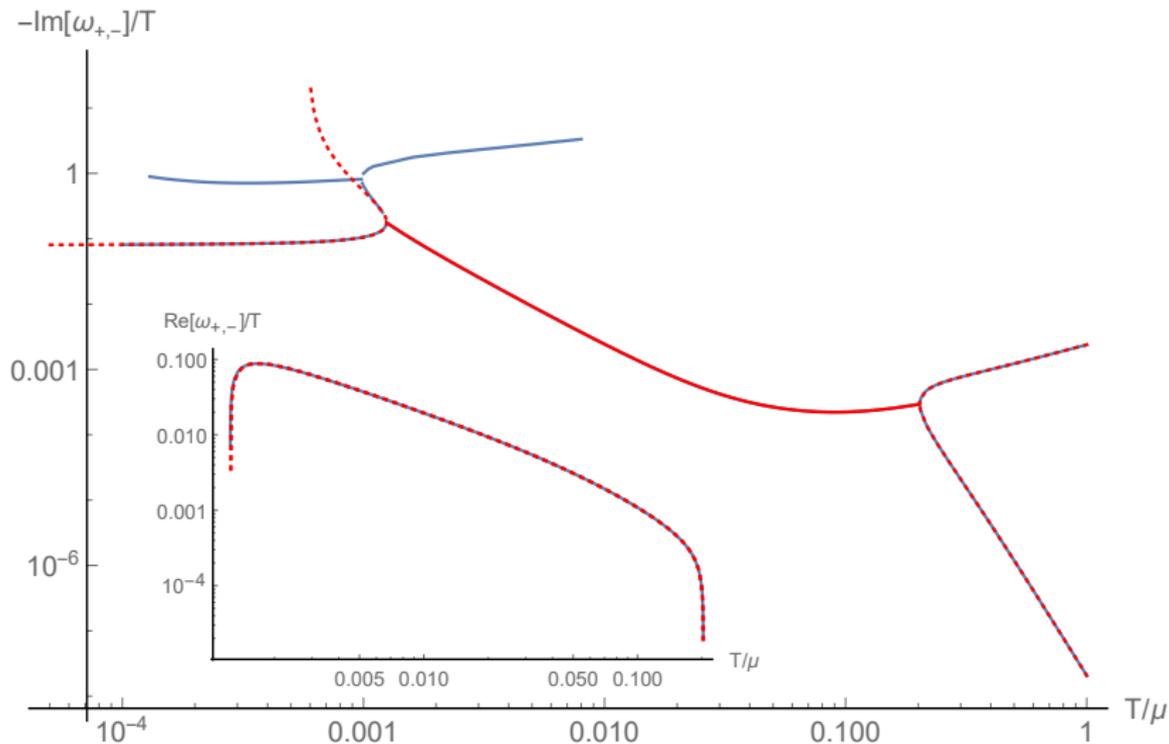


From the memory matrix

$$\Gamma_{cdw} \equiv M_{PP} = \Gamma + \frac{\omega_o^2}{\Omega} \underset{\text{holography}}{=} \frac{k^2 s Y_h}{4\pi \chi_{\pi\pi}}$$

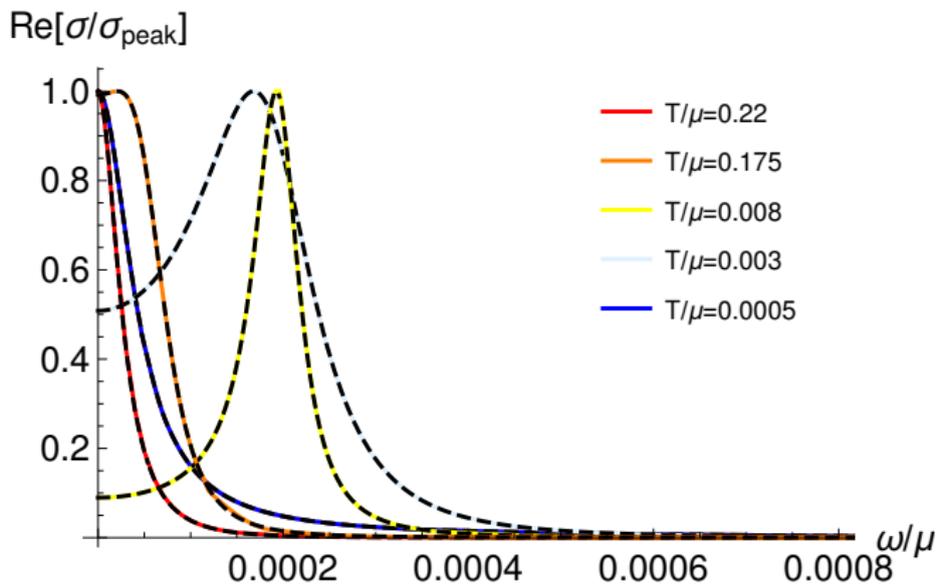
Analytical approximate expressions

$$\Omega^{-1} = \frac{1}{4\pi T} \int_0^{r_h} dr \left( \frac{s T Y_h \sqrt{g_{rr}}}{g_{xx} Y \sqrt{g_{tt}}} - \frac{1}{r_h - r} \right), \quad \frac{\omega_o^2}{\Omega} = \frac{k^2 s Y_h}{4\pi \chi_{\pi\pi}}, \quad \Gamma = 0$$



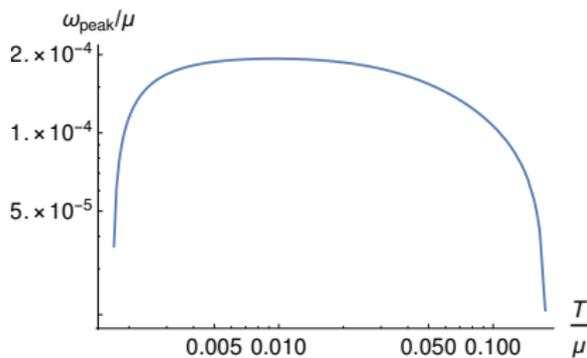
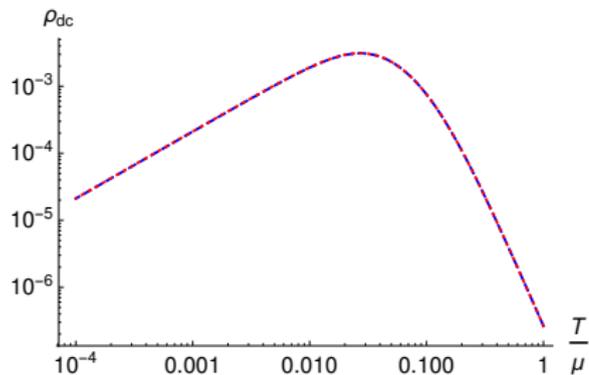
Hydro prediction for the location of the poles:

$$\omega_{\pm} = -\frac{i}{2}\Omega \pm \frac{1}{2}\sqrt{4\omega_0^2 - \Omega^2},$$



Hydro prediction for the ac conductivity:

$$\sigma(\omega) = \sigma_o + \frac{\omega_o^2 \gamma_1 (2\rho - i\gamma_1 \chi_{\pi\pi} \omega) - \frac{\rho^2}{\chi_{\pi\pi}} (\Omega - i\omega)}{\omega^2 - \omega_o^2 + i\omega\Omega}$$



The resistivity is linear in  $T$  at low  $T$  (same reason as in [DAVISON, SCHALM & ZAAENEN '13]) but is **always dominated by phonon dissipation**

$$\rho_{dc} \sim \omega_o^2 / (\Omega \omega_p^2)$$

The peak turns around when the system becomes metallic, but not with a  $T$ -linear dependence. Instead

$$\omega_{peak} = \sqrt{\omega_o^2 - \frac{1}{2}\Omega^2} \quad T \ll \mu \quad \sim \sqrt{a - \frac{b}{T}}$$

What have we learned?

- At the price of considering thermodynamically unstable phases, **the coupled low energy dynamics of phonons and conserved densities can be modeled holographically without actually constructing inhomogeneous spatially modulated backgrounds.**
- The low energy dynamics precisely matches the expectations from cdw hydrodynamics, including in the presence of **weak explicit translation breaking.**
- Holographic metal where relaxation is completely **dominated by phonon dissipation.**
- **Drude-like peaks** from slowly-fluctuating cdws when the ground state is metallic: interplay with off-axis peaks from pinning.  $O(1)$  effect on ac/dc transport.

- First part of the talk:  $\Omega \Leftarrow$  topological defects. But the holographic calculation reveals that **explicit breaking generates both a mass and a relaxation rate for the phonons** (see also [ANDRADE & KRIKUN'18]).
- Moreover,  $\Omega = m^2 \xi$ . Can we prove this from field theory? Does it also hold in other holographic models? (eg Bianchi VII [ANDRADE & KRIKUN'18], [A. DONOS' TALK]).
- In a quantum critical phase, we might expect  $\Omega \sim m \sim T$ . This would imply  $\xi \sim 1/T$ .  $\xi$  is a diffusivity.
- Diffusivities  $\sim 1/T$  are expected on general grounds in incoherent metals [HARTNOLL'14]. In both electron and hole-doped cuprates, the thermal diffusivity  $D_T \sim 1/T$  [ZHANG ET AL'16,'18].