

# Universal relaxation of density waves in hydrodynamics and holography

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## References:

- With Luca Delacrétaz, Sean Hartnoll and Anna Karlsson
  - *'Bad Metals from Density Waves'* [ARXIV: 1612.04381],
  - *'Theory of hydrodynamic transport in fluctuating electronic charge density wave states'* [ARXIV:1702.05104]
  - *'Theory of the collective magnetophonon resonance and melting of the field-induced Wigner solid'* [ARXIV:1904.04872].
- With Andrea Amoretti, Daniel Areán and Daniele Musso
  - *'DC resistivity of quantum critical, charge density wave states from gauge-gravity duality'* [ARXIV:1712.07994],
  - *'Effective holographic theory of charge density waves'* [ARXIV:1711.06610]
  - *'A holographic strange metal with slowly fluctuating translational order'* [ARXIV:1812.08118]
  - *'Diffusion and universal relaxation of holographic phonons'* [ARXIV:1904.11445].

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## Related recent holographic work:

- Probe branes: Jarvinen, Jokela, Lippert [[ARXIV:1408.1397](#)], [[ARXIV:1612.07323](#)], [[ARXIV:1708.07837](#)]
- Bianchi VII: Andrade, Baggioli, Krikun, Poovuttikul [[ARXIV:1708.08306](#)], [[ARXIV: 1812.08132](#)]
- Massive gravity: Alberte, Ammon, Baggioli, Gray, Grienering, Jimenez, Pujolas [[ARXIV:1708.08477](#)], [[ARXIV:1711.03100](#)], [[ARXIV:1904.05785](#)], [[ARXIV:1905.09164](#)], [[ARXIV:1905.09488](#)]
- Q-lattices: Donos, Martin, Pantelidou, Ziogas [[ARXIV:1903.05114](#)], [[ARXIV:1905.00398](#)], [[ARXIV:1906.03132](#)]
- Inhomogeneous spatially modulated phases: Donos [[ARXIV:1303.7211](#)], Withers [[ARXIV:1304.0129](#),[1304.2011](#),[1407.1085](#)], Donos and Gauntlett [[ARXIV:1512.06861](#)], Andrade, Krikun, Schalm and Zaanen [[ARXIV:1710.05791](#)], Cai, Li, Wang and Zaanen [[ARXIV:1706.01470](#)], Cremonini, Li and Ren [[ARXIV:1612.04385](#),[ARXIV:1705.05390](#)], Donos, Gauntlett, Griffin and Ziogas [[ARXIV:1801.09084](#)], Goutéraux, Jokela and Ponni [[ARXIV:1803.03089](#)]

- Rich dialogue between holography and effective theories: eg KSS bound, anomalies.

$$\frac{\eta}{s} \gtrsim \frac{1}{4\pi}$$

- First established in the context of asymptotically AdS black branes: Schwarzschild, RN, etc.
- Sharp definition in the context of relativistic hydrodynamics:  $\eta$  quantifies the diffusion of transverse momentum

$$G_{\pi_{\perp}\pi_{\perp}}^R(\omega, q) = \frac{(\epsilon + p)D_{\perp}q^2}{i\omega - D_{\perp}q^2}, \quad D_{\perp} = \frac{\eta}{\epsilon + p}$$

- Weaker bound  $\eta > 0$  for positivity of entropy production.
- The KSS bound relates a transport coefficient to the area of the horizon (holographic 'membrane paradigm'). Universality?
- Less symmetric cases?

- The low energy dynamics of the ordered phase differ from those of the disordered phase by the necessity to include **new gapless degrees of freedom** (the Goldstones).
- An important property of Goldstones is that they are **shift-symmetric**: they realize non-linearly the broken symmetry. More concretely, take broken translations along  $x$

$$x \rightarrow x + c \quad \Rightarrow \quad \varphi \rightarrow \varphi + c$$

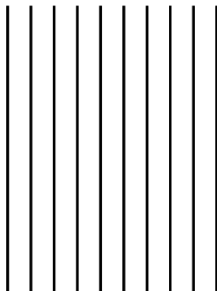
$$f = \frac{1}{2}(K + G)\lambda_{\parallel}^2 + \frac{1}{2}G\lambda_{\perp}^2 + \dots$$

where  $\lambda_{\parallel} = \nabla \cdot \vec{\varphi}$ ,  $\lambda_{\perp} = \nabla \times \vec{\varphi}$ .

Shift symmetry: **only gradient terms** in the effective IR action:

$$f = \frac{1}{2}(K + G)\lambda_{\parallel}^2 + \frac{1}{2}G\lambda_{\perp}^2 + \dots$$

where  $\lambda_{\parallel} = \nabla \cdot \vec{\varphi}$ ,  $\lambda_{\perp} = \nabla \times \vec{\varphi}$ .

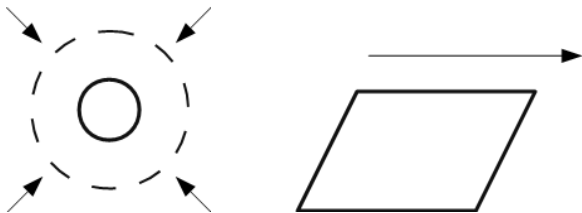


$$f = \frac{1}{2}(K + G)\lambda_{\parallel}^2 + \frac{1}{2}G\lambda_{\perp}^2 + \dots$$

- $K$  and  $G$  are the bulk and shear moduli:

$$\chi_{\lambda_{\parallel}\lambda_{\parallel}} \equiv \frac{\delta\lambda_{\parallel}}{\delta s_{\parallel}} = \frac{1}{K + G}, \quad \chi_{\lambda_{\perp}\lambda_{\perp}} \equiv \frac{\delta\lambda_{\perp}}{\delta s_{\perp}} = \frac{1}{G}$$

They are the static response to bulk compression and shear stress.



- Since  $\pi^i$  is the charge that generates the symmetry, then

$$[\varphi_i(x), \pi_j(y)] = i\delta_{ij}\delta(x-y) + \dots$$

- The effective Hamiltonian contains a term

$$H = \int d^d x v_i(x) \pi^i(x) + \dots$$

which leads to the 'Josephson' relations

$$\dot{\lambda}_{\parallel} = \nabla \cdot \mathbf{v} + O(\nabla^2), \quad \dot{\lambda}_{\perp} = \nabla \times \mathbf{v} + O(\nabla^2)$$

- At higher order in gradients (+relativistic symmetry), linear, diffusive couplings

$$\dot{\lambda}_{\parallel} = \nabla \cdot \mathbf{v} + \gamma_{\parallel} T \nabla^2 \left( \frac{\mu}{T} \right) + \xi_{\parallel} \nabla^2 \lambda_{\parallel} + O(\nabla^3),$$

$$\dot{\lambda}_{\perp} = \nabla \times \mathbf{v} + \xi_{\perp} \nabla^2 \lambda_{\perp} + O(\nabla^3)$$

- Constitutive relation for the electric current

$$j = \rho v - \sigma_o T \nabla \left( \frac{\mu}{T} \right) - \gamma_1 \nabla \lambda_{\parallel}$$

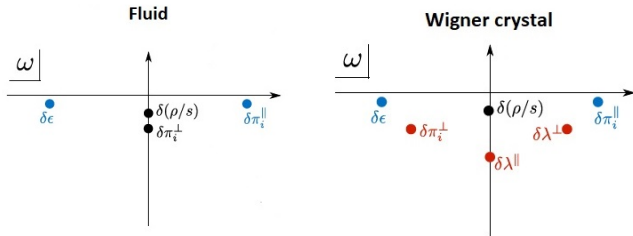
- Isotropic crystal

$$\frac{\xi_{\parallel}}{K + G} = \frac{\xi_{\perp}}{G} \equiv \Xi$$

- Bound ensuring positivity of entropy

$$\gamma_1^2 \leq \sigma_o \frac{\xi_{\parallel}}{K + G} = \sigma_o \Xi$$





- In a fluid: conservation of energy, charge and longitudinal momentum lead to two longitudinal sound poles and one longitudinal diffusion pole; conservation of transverse momentum to a shear diffusion pole.
- In a Wigner crystal: one extra 'phonon' longitudinal diffusion pole; the transverse phonon mixes with transverse momentum, leading to two transverse sound poles.

$$\omega_{\perp} = \sqrt{\frac{G}{\chi_{\pi\pi}}} q - \frac{i}{2} \left( \frac{\eta}{\chi_{\pi\pi}} + \xi_{\perp} \right) q^2 + O(q^3)$$

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} \partial\phi^2 - \frac{Z(\phi)}{4} F^2 - V(\phi) - Y(\phi) (\partial\psi_x^2 + \partial\psi_y^2) \right]$$

$$Y(\phi) = \phi^2 + O(\phi^3), \quad Z(\phi) = 1 + O(\phi), \quad V(\phi) = -6 + \phi^2 + O(\phi^3)$$

- Homogeneous generalized Q-lattice Ansatz [ANDRADE & WITHERS'13, DONOS & GAUNTLETT'13]:  $\psi_i = kx^i$ . Breaks Translations  $\times$  Global shifts to a diagonal U(1).

- UV boundary conditions on  $\phi$

$$\phi = \lambda r + \phi_v r^2 + \dots$$

- If  $\lambda = 0$ , then  $\psi_i = kx^i$  is a vev: **spontaneous breaking**.
- If  $\lambda \neq 0$ , then  $\psi_i = kx^i$  is a source: **explicit breaking**.
- But if  $\lambda/\mu \ll \phi_v/\mu^2$ , **pseudo-spontaneous breaking**.

Let us first set  $\lambda = 0$ : **purely spontaneous breaking**

- The phase does not minimize the free energy: describes the low energy dynamics of phonons coupled to conserved densities, not the phase transition. We can choose  $k$ , but ultimately this would be fixed in a UV-complete model.
- The **phonon**: act with Lie derivative along  $\partial/\partial_x$ , find that  $\varphi \sim \delta\psi_{(0)}$  where  $\delta\psi_i = \delta\psi_{(-1)}/r + \delta\psi_{(0)} + O(r)$ .
- Numerically recover the **WC hydro retarded Green's functions**:

$$G_{\tau^{xy}\tau^{xy}}^R = G - i\omega\eta, \quad G_{jj}^R = \frac{\rho^2}{\chi_{\pi\pi}} - i\omega\sigma_o, \quad G_{j\pi}^R = \rho,$$

$$G_{j\varphi}^R = \gamma_1 + \frac{\rho}{\chi_{\pi\pi}} \frac{i}{\omega}, \quad G_{\pi\varphi}^R = \frac{i}{\omega}, \quad G_{\varphi\varphi}^R = \frac{1}{\chi_{\pi\pi}\omega^2} - \equiv \frac{i}{\omega}.$$

Moreover all the diffusive couplings can be computed from a membrane paradigm analysis (and match the numerics)

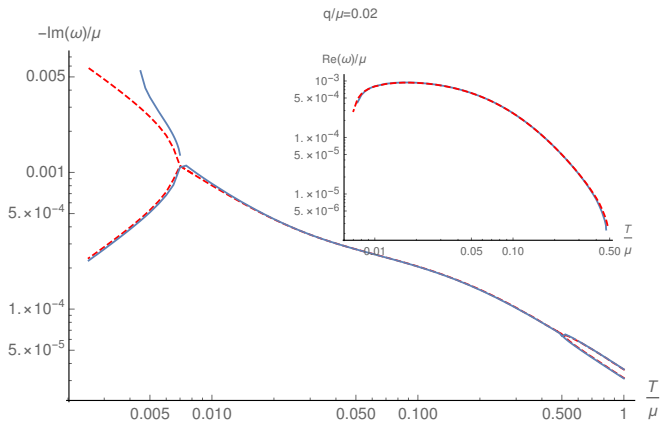
$$\sigma_o = \frac{(sT + k^2 l_Y)^2}{(\chi_{\pi\pi})^2} Z_h + \frac{4\pi k^2 (l_Y)^2 \rho^2}{s Y_h (\chi_{\pi\pi} Y)^2},$$

$$\gamma_1 = -\frac{4\pi l_Y \rho (sT + \mu\rho)}{s Y_h (\chi_{\pi\pi})^2} - \frac{\mu Z_h (sT + k^2 l_Y)}{(\chi_{\pi\pi})^2},$$

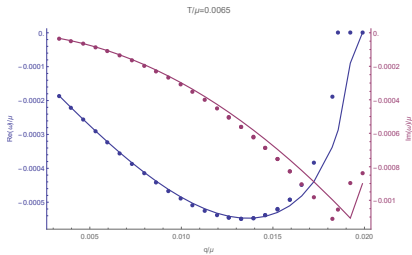
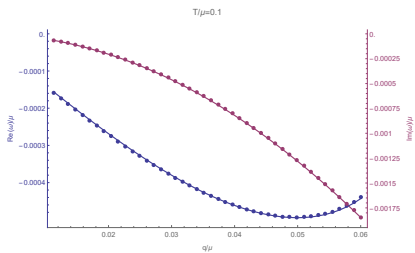
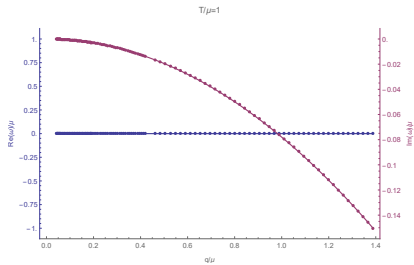
$$\equiv \frac{4\pi (sT + \mu\rho)^2}{k^2 s Y_h (\chi_{\pi\pi})^2} + \frac{\mu^2 Z_h}{(\chi_{\pi\pi})^2},$$

$$\chi_{\pi\pi} = sT + \mu\rho + k^2 l_Y, \quad l_Y = \int_0^{r_h} Y(\phi)$$

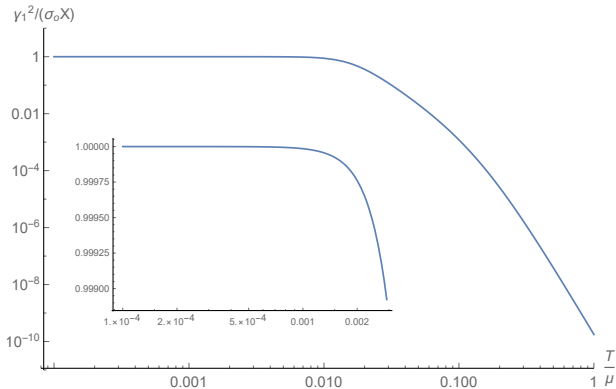
## Transverse QNMs at nonzero $q$ :



Very good match to the hydrodynamic dispersion relation (no fitting involved).



Hydrodynamics at all temperatures (no fitting involved).



The positivity of entropy production bound is obeyed

$$\sigma_o \equiv -\gamma_1^2 = \frac{4\pi s T^2 Z_h}{k^2 (\chi_{\pi\pi})^2 Y_h} \geq 0$$

Even saturates at low  $T$ . Why?

From

$$G_{j\varphi_{\parallel}}^R(\omega, \mathbf{q} = 0) = \gamma_1 + \frac{i\rho}{\chi_{\pi\pi}\omega},$$
$$G_{\varphi_{\parallel}\varphi_{\parallel}}^R(\omega, \mathbf{q} = 0) = \frac{1}{\chi_{\pi\pi}\omega^2} - \Xi \frac{i}{\omega},$$

we can write Kubo formulæ

$$\gamma_1 = \lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im} G_{j\dot{\varphi}}^R(\omega, \mathbf{q} = 0),$$
$$\Xi = \lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im} G_{\dot{\varphi}\dot{\varphi}}^R(\omega, \mathbf{q} = 0).$$

All we need is a mechanism producing a nonzero  $\partial_t \varphi$ .



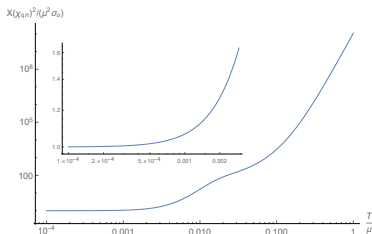
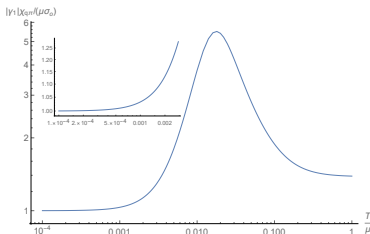
The saturation at low temperatures can be explained by universal relaxation into the heat current

$$\Delta H = \int dx \frac{\pi \cdot j_q}{\chi_{\pi j_q}} \Rightarrow \dot{\varphi} = \frac{j_q}{\chi_{\pi j_q}}$$

$$\gamma_1 = \frac{1}{\chi_{\pi j_q}} \lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im} G_{jj}^R(\omega, q=0) = -\frac{\mu}{\chi_{\pi j_q}} \sigma_o,$$

$$\Xi = \left( \frac{1}{\chi_{\pi j_q}} \right)^2 \lim_{\omega \rightarrow 0} \frac{1}{\omega} \text{Im} G_{j_q j_q}^R(\omega, q=0) = \left( \frac{\mu}{\chi_{\pi j_q}} \right)^2 \sigma_o.$$

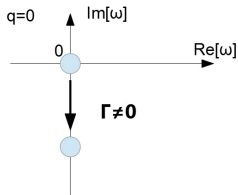
These values verify  $\gamma_1^2 = \sigma_o \Xi$  and match our numerics



Spacetime symmetries can be explicitly broken:  
focus on the case of broken translations.

Momentum **relaxes slowly**

$$\dot{\pi} = -\Gamma\pi + \dots$$



The Goldstones become **massive**, which breaks their shift symmetry

$$f = \frac{1}{2}(K + G)(\nabla \cdot \phi)^2 + \frac{1}{2}G(\nabla \times \phi)^2 + \frac{1}{2}m^2\phi^2 + \dots$$

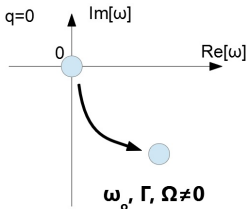
and damps them at a rate  $\Omega$ . Also relaxes momentum

$$\dot{\pi} = -\Gamma\pi - Gm^2\phi + \dots$$

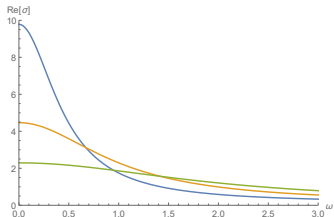
All poles are gapped

$$(\Omega - i\omega)(\Gamma - i\omega) + \omega_o^2 = 0$$

with  $\omega_o \equiv m\sqrt{(G/\chi_{\pi\pi})}$  the pinning frequency.



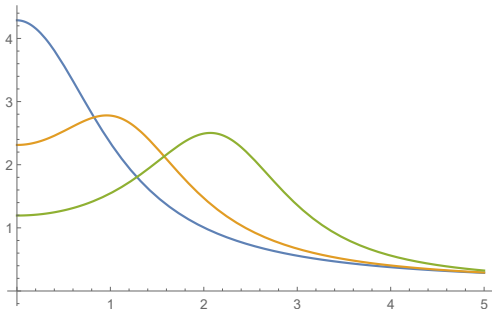
## Weakly-disordered metal



$$\sigma(\omega) = \sigma_o + \frac{\rho^2}{\chi_{\pi\pi}} \frac{1}{\Gamma - i\omega}$$
$$\sigma_{dc} \sim \frac{1}{\Gamma}$$

The dc conductivity is dominated by **momentum relaxation**

## Weakly-pinned Wigner crystal



$$\sigma(\omega) = \sigma_o + \frac{\rho^2}{\chi_{\pi\pi}} \frac{\Omega - i\omega}{(\Omega - i\omega)(\Gamma - i\omega) + \omega_o^2}$$
$$\sigma_{dc} = \sigma_o + \frac{\rho^2}{\chi_{\pi\pi} \Gamma_{WC}}, \quad \Gamma_{WC} = \Gamma + \frac{\omega_o^2}{\Omega}$$

$$S = \int d^4x \sqrt{-g} \left[ R - \frac{1}{2} \partial\phi^2 - \frac{Z(\phi)}{4} F^2 - V(\phi) - Y(\phi) (\partial\psi_x^2 + \partial\psi_y^2) \right]$$

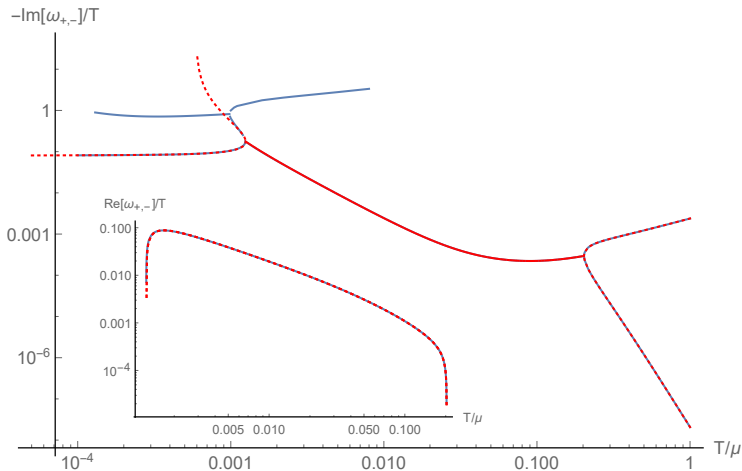
$$Y(\phi) = \phi^2 + O(\phi^3), \quad Z(\phi) = 1 + O(\phi), \quad V(\phi) = -6 + \phi^2 + O(\phi^3)$$

- UV boundary conditions on  $\phi$

$$\phi = \lambda r + \phi_\nu r^2 + \dots$$

- If  $\lambda \neq 0$ , then  $\psi_i = kx^i$  is a source: **explicit breaking**.
- But if  $\lambda/\mu \ll \phi_\nu/\mu^2$ , **pseudo-spontaneous breaking**.

# Numerically compute vector QNMs at $q = 0$

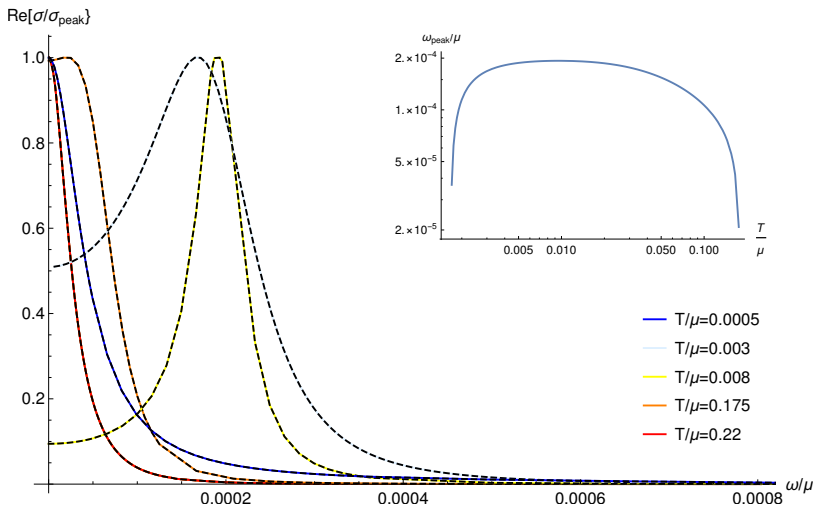


Red lines: plot of the solutions of  $(\Omega - i\omega)(-i\omega) + \omega_o^2 = 0$  together with

$$\Omega \simeq \chi_{\pi\pi} \omega_o^2 \Xi \simeq -\text{Im}[\omega_{QNM,k=0}]$$

Phonon relaxation dominated by  $k = 0$  dynamics.

The small  $\omega$  behavior of the ac conductivity is in excellent agreement with the WC/Drude hydro predictions (no fit).

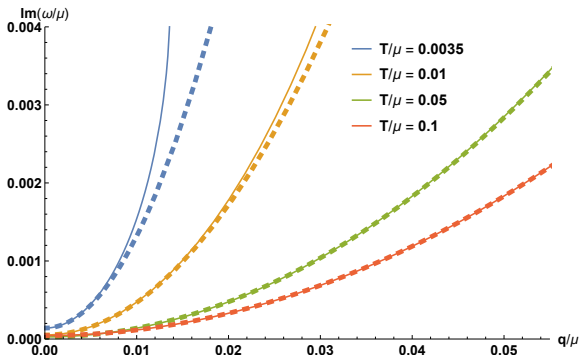


- At  $k = 0$ , no breaking of translations. For  $\lambda = 0$  ( $\lambda \neq 0$ ), it breaks the global shift symmetry spontaneously (explicitly).
- Accordingly, for small  $\lambda$ , expect a pseudo-Goldstone boson

$$\omega = -i\Omega - D_\varphi q^2 + O(q^3), \quad (\chi_{\varphi\varphi})^{-1} = m^2 + q^2$$

- Confirmed numerically, and analytically for small  $\omega$ ,  $q$ , with

$$D_\psi \simeq \Omega/m^2 \simeq G\Xi$$



$$\Omega \simeq m^2 D_\varphi \simeq m^2 G \Xi$$

- This relation still holds at  $k \neq 0$ , at all  $T$ s where the WC hydro picture is valid.
- Consequence of the fact that  $G, K$  are always 'small'.

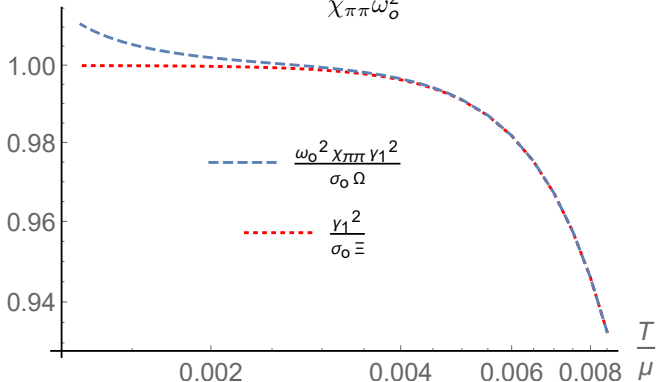
$$G = 2K = k^2 I_Y + O(k^4)$$

- Suggest that perhaps true for non-holographic systems with small bulk/shear moduli? Eg close to the translation-ordering phase transition or in phases with fluctuating charge density waves (eg cuprate high  $T_c$  superconductors).



- There is another positivity of entropy production bound

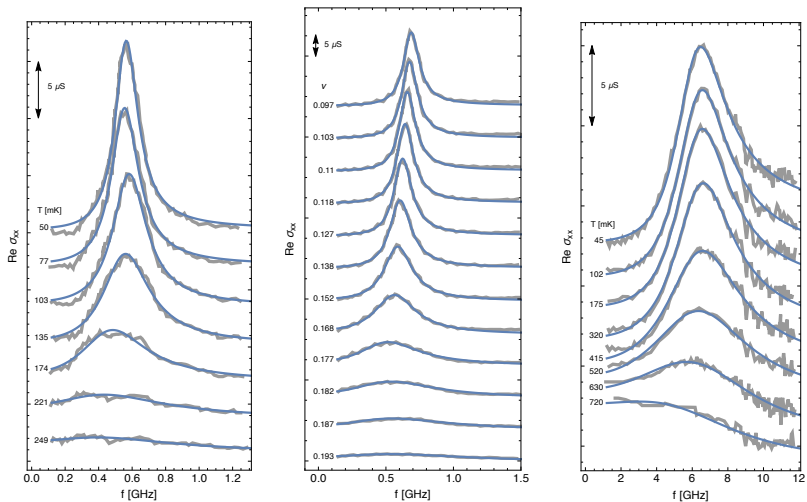
$$\gamma_1^2 \leq \frac{\Omega \sigma_o}{\chi_{\pi\pi} \omega_o^2}$$



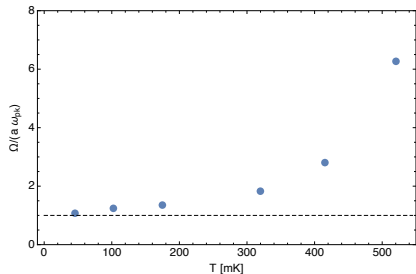
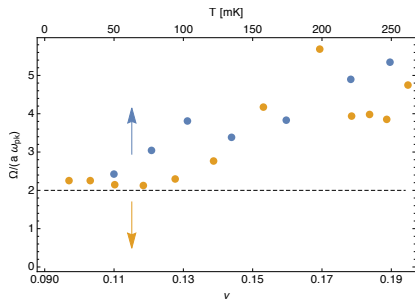
- Almost saturates: relaxation of phonons into the heat current.
- Violation when we get close to pole collision: breakdown of WC hydro picture.

- Now turn on a magnetic field: the longitudinal and transverse sound modes hybridize into (gapless) magnetophonons and gapped magnetoplasmons.
- Upon turning on disorder, the magnetophonons are pinned at  $\omega_0^2/\omega_c \sim O(1/B)$ : within hydrodynamics at large magnetic fields.
- Write down a similar hydrodynamic theory as before: conservation of charge, Josephson for magnetophonon, constitutive relations, solve and get conductivity.
- Also positivity of entropy production bound.

# Fit to data on GaAs heterojunctions (2DEG)



Different microscopic mechanisms appear to be at play in less/more disordered samples: phase relaxation by mobile dislocations or universal dissipation into hydrodynamic currents.



## Summary and Outlook

- Effective field theories (hydro) predict specific functional forms for conductivities, dispersion relation for modes, etc. in terms of a few transport coefficients and given an equation of state.
- The EoS and the transport coefficients must be computed from microscopics.
- When symmetries are explicitly broken weakly, the departures from hydrodynamics can be encapsulated in a few extra relaxation parameters.
- We have seen several examples in holography and real systems where it appears that relaxation is universal, in the sense that it is controlled by dissipation into a hydrodynamic operator.
- Deep statement? Artifact of the toy model? Overinterpretation of the data? Jury still out...